

VALIDATION OF INTELLIGENT COMPACTION TO CHARACTERIZE PAVEMENT FOUNDATION MECHANICAL PROPERTIES

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16. Abstract

Although intelligent compaction (IC) technologies have been used in the U.S. on over 380 pilot/demonstration projects since year 2000, current specifications lack a detailed framework for calibration (i.e., corrections from independent testing) and validation of results (i.e., accuracy and system quality checks) in terms of mechanical soil properties. The next big leap forward in pavement foundation construction quality could be realized through developing statistically valid relationships between IC measurement values (MVs) and mechanical properties of compacted materials. The main objectives of the research presented herein were to create a synthesis of literature that identifies methods used to compare IC measurements to soil mechanical properties, and to demonstrate the field calibration process using different IC technology providers. The literature review resulted in a synthesis of information that identifies methods/procedures used to compare IC measurements to soil mechanical properties, and to demonstrate the field calibration process using different IC technology providers. The literature review resulted in a synthesis of information that identifies methods/procedures used to compare IC measurements to soil mechanical properties, and the success of those methods/procedures along with a summary of current IC specifications.

As part of the field demonstration phase of this project, in coordination with Illinois State Toll Highway Authority, field testing was conducted on selected test sections on the Elgin O'Hare Western Access Tollway construction project in October 2016, April-May 2017, and in June 2017. Field evaluation was performed on a total of 18 test sections, of which in situ comparison and calibration testing was conducted on 12 test sections. Four different IC-MV technologies were evaluated including: CMV, HMV, MDP, and VIC. Field calibration testing was conducted using LWD, DCP, and static and cyclic APLT testing. To implement IC technologies on near-term Tollway projects, a calibration process and a guide specification were developed. A guide specification is recommended for implementation on upcoming construction projects.

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DISCLAIMER

The contents of this report reflect the view of the authors, who are responsible for the facts and the accuracy of the data presented herein. The contents do not necessarily reflect the official views or policies of the Illinois State Toll Highway Authority. This report does not constitute a standard, specification, or regulation.

NOTATIONS AND ABBREVIATIONS

А	Vibration amplitude
AASHTO	American Association for State Highway and Transportation Officials
а	Machine acceleration
a_1 , a_2 , and a_3	Regression coefficients
$A_{0.5\Omega}$	Drum acceleration amplitude at half of the operating frequency
A_Ω	Amplitude of the vertical drum acceleration at the operating frequency
$A_{2\Omega}$	Drum acceleration amplitude of the first harmonic or twice the operating frequency
A _{2.5Ω}	Drum acceleration amplitude at two and half times the operating frequency
$A_{3\Omega}$	Drum acceleration amplitude at three times the operating frequency
APLT	Automated plate load testing
ASTM	American Society of Testing and Materials
b	Machine internal loss coefficients specific to a machine
В	Contact width of the drum
BCD	Briaud compaction device
BST	Borehole shear test
с'	Effective cohesion
Cu	Undrained cohesion
С	Constant
CBR	California bearing ratio
CCC	Continuous compaction control
CCV	Continuous compaction value
CL	Low plasticity clay
CH	High plasticity clay
CIR	Col in-place recycling
CMV	Compaction meter value
COV	Coefficient of variation
CPT	Cone penetration test
DOT	Department of Transportation
DCP	Dynamic cone penetrometer
DPI	Dynamic penetration index
D-SPA	Dynamic seismic pavement analyzer
Е	Elastic modulus
ELWD	Elastic modulus determined using LWD

E _{vib}	Vibratory modulus
Fs	Drum force
F	Shape factor
FDR	Full depth reclamation
FFT	Fast Fourier Transform
FHWA	Federal Highway Administration
FS	Factor of safety
FWD	Falling weight deflectometer
g	Acceleration of gravity
GP	Poorly graded gravel
GPS	Global position system
GW	Well graded gravel
h	Thickness of the base or top layer (in layered analysis)
h _e	Equivalent thickness
HMA	Hot mix asphalt
HMV	Hamm measurement value
IC	Intelligent compaction
IC-MV	Intelligent compaction measurement value
ILT	Illinois Tollway
LWD	Light weight deflectometer
Mr	Resilient modulus
M _{r1}	Resilient modulus of the top layer (in layered analysis)
M _{r2}	Resilient modulus of the bottom layer (in layered analysis)
M _{r-Comp}	Composite resilient modulus
M _{r-Base}	Base or top layer resilient modulus
M _{r-SG}	Subgrade or bottom layer resilient modulus
NG	Nuclear gauge
Ko	Coefficient of lateral earth pressure at rest
k	Modulus of subgrade reaction
ks	Soil stiffness measurement value
k'u	Uncorrected modulus of subgrade reaction
ku	Modulus of subgrade reaction corrected for plate bending (uncorrected for saturation)
k _{u1 or 2}	1 represents value determined during 1 st loading cycle and 2 represents value determined using 2 nd loading cycle
k_1^*, k_2^*, k_3^*	Stress-dependent resilient modulus model parameters

L	Length of the drum
MDP	Machine drive power
MDP*	Machine drive power (rescaled)
MET	Method of equivalent thickness
m	Machine internal loss coefficients specific to a machine
m _d	Drum mass
m _e r _e	Eccentric moment of the unbalanced mass
n	Number of measurements
NCHRP	National Cooperative Highway Research Program
Pa	Atmospheric pressure
Pg	Gross power needed to move the machine
PCC	Portland cement concrete
PD	Padfoot drum
PGE	Porous granular embankment
PLT	Plate load test
QC	Quality control
QA	Quality assurance
r	Plate radius
R	Drum radius
R ²	Coefficient of determination
RAP	Recycled asphalt pavement
RC	Relative compaction
RTK	Real time kinematic
RPCC	Recycled portland cement concrete
SBAS	Satellite based augmentation system
SD	Smooth drum
SDG	Soil density gauge
SPA	Seismic pavement analyzer
SWCC	Soil water characteristic curve
V	Roller velocity
VIC	Validated intelligent compaction
VST	Vane shear test
W	Roller weight
W	Moisture content
Wopt	Optimum moisture content

X	Applied stress
У	Deflection in inches
σ_0	Applied stress
Zd	Drum displacement
α	Slope angle (roller pitch from a sensor)
φ	Phase angle
φ'	Effective friction angle
φu	Undrained friction angle
γd	Dry density
ν	Poisson ratio
v_1	Poisson ratio of the top layer (in layered analysis)
V ₂	Poisson ratio of the bottom layer (in layered analysis)
η	Poisson ratio
δ_r	Resilient deflection of plate during the unloading portion of the cycle
θ	Bulk stress
δ_i	Deformation measured during initial loading cycle
δr	Deformation measured during reload cycle
σ	Applied stress
σ_1	Applied cyclic stress ($\Delta \sigma_{cyclic}$) used in M_{r-comp} calculations because there is no confining stress at the surface
σ_2	$K_{o}\sigma_{1}$
σ_3	σ ₂
$\Delta\sigma_{cyclic}$	Cyclic stress
τ _{oct}	Octahedral shear stress

EXECUTIVE SUMMARY

The main objectives of this research were to create a synthesis of literature that identifies methods used to compare intelligent compaction (IC) measurements to soil mechanical properties, to develop a criteria or procedure for field validating IC measurements versus soil mechanical properties, and to demonstrate the field calibration process using different IC technology providers.

The literature review resulted in a synthesis of information that identifies methods/procedures used to compare IC measurements to soil mechanical properties, and the success of those methods/procedures along with a summary of current IC specifications. More than 300 documents were collected. A few key findings were as follows:

- IC technologies have been used in the U.S. on at least 381 pilot/demonstration projects since year 2000.
- A variety of in situ test measurements have been utilized with varying success to correlate IC measurement values (MVs) to independent in situ measurements.
- IC specifications were introduced in Europe in the 1990s. In the U.S., few state highway
 agencies and the FHWA have developed guide specifications, but not in terms of
 mechanical soil properties.

As part of the field demonstration phase of this project, in coordination with Illinois State Toll Highway Authority, field testing was conducted on selected test sections on the Elgin O'Hare Western Access Tollway construction project in October 2016, April-May 2017, and in June 2017. Field evaluation was performed on a total of 18 test sections, of which in situ comparison and calibration testing was conducted on 12 test sections. Four different IC-MV technologies were evaluated including: CMV, HMV, MDP, and VIC. Field calibration testing was conducted using LWD, DCP, and static and cyclic APLT testing. Detailed results are presents or all measurements. In brief, the results demonstrated the following:

- Regression relationships between the IC-MVs and in situ test measurements showed simple linear and non-linear (power) regression trends.
- Regression relationships in terms of R² values were variable between IC technologies and independent in situ measurements.
- IC-MVs showed variable pavement foundation support conditions.
- Validated IC calibration was performed using stress-dependent M_r values from cyclic automated plate load testing and modulus of subgrade reaction k-values. This testing produced relatively high R² values (≥ 0.90) and with relatively low standard error.
- Validated IC maps in a subgrade area identified high subgrade variability that traditional QC/QA inspection did not reveal.

To implement IC technologies on near-term Tollway projects, a calibration process and guide specification were developed. A guide specification is recommended for implementation on upcoming construction projects (likely, as "shadow" evaluations) in 2018/19. The near-term benefits of implementing the findings of this research are expected to be improved contractor efficiencies and more effective QC/QA processes, providing additional information in terms of meeting the pavement design assumptions, and generating baseline data to evaluate future pavement performance.

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CHAPTER 1 INTRODUCTION

1.1 PROBLEM STATEMENT

It is believed that earthwork construction and pavement foundation construction quality will be improved using intelligent compaction (IC) measurement values that are statistically validated in terms of mechanical properties of compacted materials. Providing contractors and owners with mechanical property outputs in real-time with nearly 100% spatial coverage of the project will substantially reduce the risk of not meeting the pavement design criteria, thus helping to insure long-term performance. To achieve this goal and advance the current state of the IC technology implementation, the Illinois State Toll Highway Authority sponsored a research project to develop a criteria or procedure for field validating the relationship between IC measurements and soil mechanical properties.

Intelligent compaction (IC) technologies have been used in the U.S. on about 381 research, demonstration, or pilot implementation projects from 2000 till 2016, of which nearly 100 projects were on pavement foundation materials. Many technical articles have been published on this topic since about 1980 with emphasis on sensor measurements, field trials and correlation analysis, data interpretation, and implementation challenges and recommendations. Currently, the Federal Highway Administration (FHWA) has put forth specifications that focus on IC equipment and the procedure/format for data reporting. Existing specifications lack a detailed framework for calibration (i.e., correlations with independent testing) and validation of IC results (i.e., accuracy and system quality checks) in terms of mechanical soil properties (not soil volumetric parameters).

1.2 OBJECTIVES OF THE STUDY

This study has three objectives:

- To create a synthesis of literature and manufacturer information that identifies methods used to compare IC measurements to soil mechanical properties, and the success of those methods;
- To develop a criteria or procedure for field validating the relationship between IC measurements and soil mechanical properties; and
- To demonstrate the field calibration process using three different IC technology providers.

The objectives of this research study were accomplished by performing the following tasks:

1.2.1 Task 1—Conduct a Comprehensive Literature Review

Conduct a thorough review of technical literature and vendor information to evaluate and summarize previous efforts to develop relationships between IC measurements and mechanical properties.

1.2.2 Task 2—Develop an IC Certification Process

Based on the lessons learned in Task 1, develop an implementable process for collecting IC measurements and field verification data to ensure confidence in the relationship between the two sets of data.

1.2.3 Task 3—Conduct Field Demonstration Projects

Arrange field demonstrations for the IC technologies during the 2016 and 2017 Tollway construction seasons. This task required working with the Tollway to identify potential contracts for the field demonstrations, and with the providers of IC technology to coordinate collection of IC measurements and providing data for analyses.

Our goal for the project was to develop guidelines for the Tollway that provide valuable information on how the mechanical properties of earth materials can be measured from IC technologies are directly related the in situ measurements to the assumed design values. Calibrated IC data with high degree of reliability not only provides high quality data to ensure critical mechanical properties have been achieved, but it also provides a rich database with numerous opportunities to how we analyze failures/ future performance of embankment fills and pavement foundations.

1.3 REPORT ORGANIZATION

This report is organized into five chapters. The objectives of the project and key tasks are present in Chapter 1 as described above. In Chapter 2, a synthesis of literature is presented that provides a summary of IC technologies and the various methods/procedures used to compare IC measurements to soil mechanical properties, and the success of those methods/procedures along with a summary of current IC specifications. More than 300 documents were collected, compiled, reviewed, and organized to create the synthesis presented in this chapter. In Chapter 3, a review of the existing ILT specifications for the different pavement foundation layers is summarized to study the quality assurance target values used on the project, a summary of field testing and analysis procedures is provided, and a detailed account of all field results and correlation analysis results are provided. Chapter 4 describes a process for field verification/calibration of IC measurements and guide specification language to implement IC technology. Chapter 5 provides the summary of key findings and recommendations. A list of references reviewed as part of the synthesis, the test bed summary reports, in situ test records, and a guide specification are provided in the appendices of this report.

CHAPTER 2 LITERATURE REVIEW

The objective of this review was to create a synthesis of literature and manufacturer information that identifies methods/procedures used to compare IC measurements to soil mechanical properties, and the success of those methods/procedures along with a summary of current IC specifications. More than 300 documents that have been published on the general topic of IC were collected, compiled, reviewed, and organized to create the synthesis presented in this chapter. A list of these references is provided in Appendix A.

The words "intelligent compaction" (IC) means different things to researchers and practitioners in different industries and agencies. In Europe, the technology was originally referred to as the "continuous compaction control" (CCC) and IC was reserved for rollers with integrated control algorithms that automatically adjust vibration amplitude and/or vibration frequency. The automatic feedback was the "intelligent" aspect and was primarily used to prevent chaotic motion while vibrating on hard ground. CCC and IC definitions were limited only to compactors that vibrated, whereas recent technologies provide measurements in the non-vibratory mode. Consequently, the word "intelligent" became trendy as a shorthand used at meetings and conferences, which is the current terminology in the United States.

Presently, IC represents a catch-all category of compactors with integrated sensors that measure machine-ground interaction properties and various machine operational (e.g., pass count, temperature) and position measurements. In this chapter, and elsewhere in this report, the term IC has been used to reflect the current use of this terminology. In this report we also refer to Validated Intelligent Compaction (VIC), where "validated" indicates that the output has been calibrated to independent engineering measurements.

2.2 HISTORY AND DESCRIPTION OF IC MEASUREMENT VALUES

Several IC measurement systems have been documented in the literature for subgrade and aggregate base materials. A detailed description and evolution of these measurements is well-documented in the technical literature (see Mooney et al. 2010, Mooney and Adam 2007, White et al. 2011). A brief account of this historical development is provided below along with a brief description of the different measurement systems.

The research and development on IC was initiated in the early 1970s by Dr. Heinz Thurner in Sweden with field studies on vibratory smooth drum rollers instrumented with accelerometers. Those initial field tests have shown that the compaction state of the material and soil stiffness was related to ratios of the vibration amplitudes at selected frequencies. The initial testing led to the development of Compaction Meter Value (CMV), and several technical articles have appeared on this topic in the First International Conference on Compaction in Paris in 1980 (Thurner and Sandstrom 1980, Forssblad 1980).

The concept of CMV is illustrated by Thurner and Sandström (1980) as shown in Figure 1. When roller drum interacts with a layer consisting of "soft" rubber material, there would be no first harmonic motion and the CMV is theoretically zero. If the compaction layer consists of sand material, the vibration amplitude of the first harmonic increases with increasing compaction effort (number of passes) and consequently this results in a higher CMV. CMV is an index parameter calculated using Equation 1:

$$CMV = C \cdot \frac{A_{2\Omega}}{A_{\Omega}}$$
(1)

where C = constant, $A_{2\Omega}$ = drum acceleration amplitude of the first harmonic or twice the operating frequency, and A_{Ω} = amplitude of the vertical drum acceleration at the operating frequency (Thurner and Sandström 1980). The CMV system is currently available on Caterpillar, Dynapac, and Hamm rollers. Hamm reports the value as Hamm Measurement Value (HMV). Each manufacturer may use a different *C* value and different algorithms in processing the acceleration data. The relationship between CMV and soil density, soil stiffness and soil modulus are empirical and is influenced by roller dimensions (e.g. drum diameter, weight), roller operation parameters (e.g. frequency, amplitude, speed), and soil conditions, i.e., soil type and underlying soil stratigraphy (Sandström and Pettersson 2004).

In the early 1980s, Bomag developed the Omega value as an alternative to CMV. The Omega value is determined by integrating the drum force transmitted to the soil and drum displacement time history over two cycles of vibration, which essentially provides a measure of the energy transmitted to the soil (Kröber 1988). In the late 1990s, Bomag replaced the Omega value with vibratory modulus (E_{vib}) by Bomag. Like the *Omega* value, E_{vib} is also determined by modelling the drum-soil assembly as shown in Figure 2. But the drum force (F_s) and displacement (z_d) behavior is related to E_{vib} (Equation 2) using Lundberg's theoretical solution for a rigid cylinder resting on a homogeneous, isotropic elastic half-space for a parabolic loading condition across the drum width (Lundberg 1939).

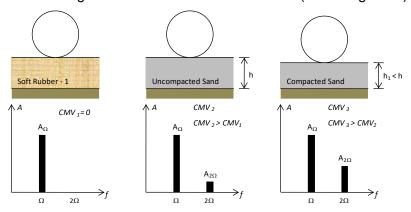


Figure 1. Illustration of relationship between subsurface conditions and CMV (reproduced from Thurner and Sandstrom 1980).

According to Hertz (1895), the contact width of a cylindrical drum (*B*) can be calculated using the geometry of the drum, applied force, and the material properties (Equation 3). Equations 2 and 3 are numerically solved to determine E_{vib} .

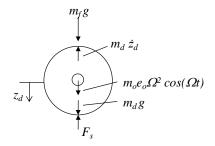


Figure 2. One-degree-of-freedom lumped parameter model representation of vibratory compactor (reproduced from Kröber 1988).

$$z_{d} = \frac{\left(1 - \upsilon^{2}\right)}{E_{vib}} \cdot \frac{F_{s}}{L} \cdot \frac{2}{\pi} \cdot \left(1.8864 + \ln\frac{L}{B}\right)$$
(2)

where
$$B = \sqrt{\frac{16}{\pi} \cdot \frac{R(1-\upsilon^2)}{E_{vib}} \cdot \frac{Fs}{L}}$$
 (3)

where v = Poisson's ratio of the material, L = length of the drum, B = contact width of the drum, and R = radius of the drum.

During the late 1990s, Ammann introduced the soil stiffness measurement value, k_s , considering a lumped parameter two-degree-of-freedom spring dashpot system described in Figure 3 (Anderegg 1998). The drum inertia force and eccentric force time histories are determined from drum acceleration and eccentric position (neglecting frame inertia). The drum displacement z_d is determined by =integrating the measured peak drum accelerations. The soil stiffness k_s is determined using Equation 4 when the drum is near the bottom of its trajectory (i.e. z_d is at maximum). The k_s value represents quasi-static stiffness and is independent of the excitation frequency between 25 to 40 Hz (Anderegg and Kaufmann 2004).

$$k_{\rm s} = 4\pi^2 f^2 \left(m_{\rm d} + \frac{m_{\rm e} r_{\rm e} \cos(\varphi)}{A} \right) \tag{4}$$

where *f* is the excitation frequency, m_d is the drum mass, $m_e r_e$ is the eccentric moment of the unbalanced mass, φ is the phase angle, *A* is vibration amplitude.

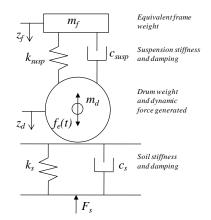


Figure 3. Lumped parameter two-degree-of-freedom spring dashpot model representing vibratory compactor and soil behavior (reproduced from Yoo and Selig 1980).

Sakai introduced the Continuous Compaction Value (CCV) in early 2000 which considers the vibration amplitude that corresponds to six different harmonics. The vibration acceleration signal from the accelerometers mounted on the drum is transformed through the Fast Fourier Transform (FFT) method and then filtered through band pass filters to detect the acceleration amplitude spectrum (Scherocman et al. 2007, Nohse and Kitano 2002). The formula to calculate *CCV* is presented in Equation 5, and the concept of changes in amplitude spectrum depending on the ground condition is illustrated in Figure 4.

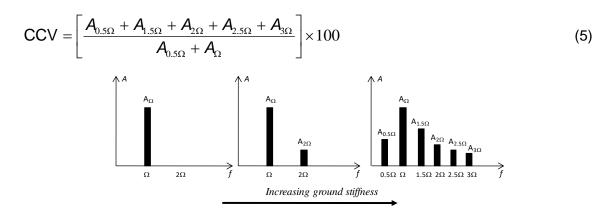


Figure 4. Changes in amplitude spectrum with increasing ground stiffness (reproduced from Schor (reproduced from Scherocman et al. 2007).

In early 2000, Caterpillar developed the principal of rolling resistance due to drum sinkage, called the machine drive power (MDP). Machine drive power (MDP) technology relates the mechanical performance of the roller during compaction to the properties of the compacted soil. The use of MDP as a measure of soil compaction is a concept originated from study of vehicle-terrain interaction (Bekker 1969). The basic premise of determining soil compaction from changes in equipment response is that the efficiency of mechanical motion pertains not only to the mechanical system but also to the physical properties of the material being compacted. More detailed background information on the MDP system is provided in White et al. (2005). The basic formula for MDP is:

$$MDP = P_g - WV \left(\sin \alpha + \frac{a}{g} \right) - \left(mV + b \right)$$
(6)

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where P_g = gross power needed to move the machine (kJ/s), W = roller weight (kN), a = machine acceleration (m/s²), g = acceleration of gravity (m/s²), α = slope angle (roller pitch from a sensor), V = roller velocity (m/s), and m (kJ/m) and b (kJ/s) = machine internal loss coefficients specific to a machine. The second and third terms of Equation 6 account for the machine power associated with sloping grade and internal machine loss, respectively. MDP is a relative value referencing the material properties of the calibration surface, which is generally a hard-compacted surface (MDP = 0 kJ/s). Positive MDP values therefore indicate material that is less compact than the calibration surface, while negative MDP values would indicate material that is more compacted than the calibration surface (i.e. less roller drum sinkage). Currently, the MDP values are index values that range between 1 and 150, where 150 represents a hard-compacted surface with MDP close to 0 kJ/s and 1 represents a soft condition as defined during calibration.

Kimmel and Mooney (2011) documented a "smart pad" method which involves an instrumented roller pad with sensors to monitor normal force, contact stress distribution, and pad deflection. According to Kimmel and Mooney (2011), by combining these measurements, soil stiffness or modulus can be potentially determined.

Validated Intelligent Compaction (VIC) technique is an original approach and was developed by Ingios Geotechnics, Inc. It uses advanced data analytics and requires site specific calibration of the roller sensor measurements using in situ plate load test measurements (i.e., modulus of subgrade reaction, in situ elastic modulus, or in situ resilient modulus). The approach is different from the other measurement values described above, as it requires a field calibration to output mechanistic parameter values that are tied to pavement design parameters, as oppose to index values. Recent field calibrations on subgrade and base materials using this approach showed coefficient of determination $(R^2) > 0.95$ are achievable, compared to R^2 of 0.6 using CMV for the same data (White et al. 2014b).

Since the year 2000, IC technology has been utilized on at least 381 roadway construction projects in the U.S. either in a research/demonstration setting or with a pilot specification. The project locations are shown in Figure 5. Of these, most of the projects (220+) involved hot mix asphalt (HMA) construction (full depth HMA or overlay), 75+ project sites involved subgrade and aggregate base materials, and over 25+ project sites involved cold in-place recycling (CIR) or full-depth reclamation (FDR) materials.

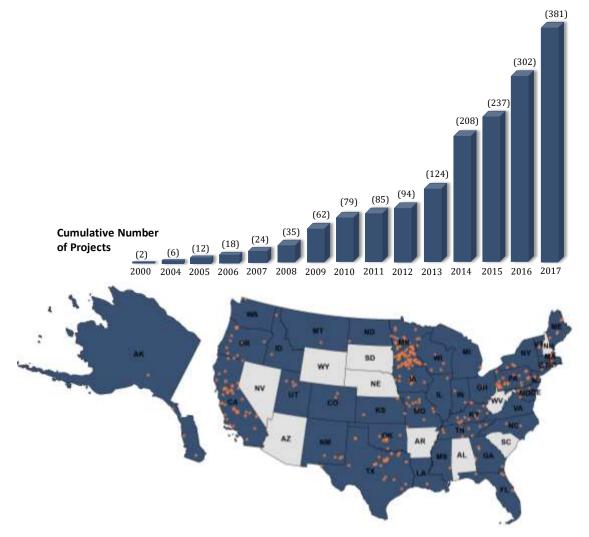


Figure 5. U.S. map showing IC project locations between 2000 and 2017 and a bar chart of cumulative number of projects for each year [States highlighted in darker color have participated in or conducted at least one IC demo/research/pilot project and points represent the project locations]

The projects with HMA involved use of rollers with self-propelled dual drum configuration. On projects with embankment materials and on CIR/FDR materials, most of the projects involved using self-propelled vibratory smooth drum rollers. Self-propelled padfoot rollers have been used on a few projects with Caterpillar's MDP measurement value, and on a few selected projects with Sakai's CCV and Ammann's k_s value.

2.2 SUMMARY OF CORRELATIONS

Since 1980, many technical articles have been published with results from field calibration testing that involved performing various in situ point tests to determine the soil physical and mechanical properties (i.e., dry density, moisture content, stiffness, modulus) using a variety of measurement techniques. Those studies have been compiled and a summary of those correlations are presented in this section. First, the key mechanical properties used to measure pavement foundation layer properties are discussed and then the summary of correlation studies is presented. This information helps establish the basis for the need for validation of IC measurements within a specification.

2.2.1 Mechanical Properties of Foundation Layers in Embankment and Pavement Design

A summary of example mechanical properties used in design of embankment fill layers and pavement foundation subgrade and base layers is provided in Table 1. The embankment fill section is divided into three parts: (1) embankment fill > 3 ft. below pavement, (2) pavement foundation layers ≤ 3ft. of pavement including earth fills in critical areas (e.g., box culverts), and (3) fill materials in critical areas such as box culverts and bridge backfills, etc. Geotechnical design criteria for these conditions are summarized in Table 1. The pavement foundation layer mechanical properties are summarized based on three commonly used pavement design procedures. The associated different field and laboratory test measurements to determine mechanical properties are identified in Table 2, which is highlighted with the test measurements that are utilized in this research during the field testing phase.

Foundation Layers	Design Procedure	Mechanical Properties*
Embankment fill (> 3ft	Limit equilibrium slope stability analysis with FS ≥ prescribed value (e.g., 1.5)	Effective cohesion c' and effective friction angle ϕ , or undrained cohesion c_u or undrained friction angle ϕ_u (accounting for geometric factors and water table)
below pavement layer	Total settlement criteria (e.g., ≤ 2% of fill height)	Modulus of subgrade reaction k-value
	Differential settlement criteria (e.g., ≤ 1 in.)	w% ≥ strain softening condition for post-saturation and ≤ required to achieve strength/stiffness criteria
	1993 AASHTO Guide for Design of Pavement Structures	<u>PCC:</u> <i>k</i> -value for subgrade based on 30-in. plate diameter, composite <i>k</i> -value based on empirical relationships with base layer thickness and elastic modulus (E). <u>HMA:</u> M_r on each layer (base/subbase and subgrade) or empirical relationships with CBR.
Pavement foundation layers (subgrade, stabilized subgrade, unbound base and fill ≤3 ft. below bottom of pavement layer) – new construction	2001 United Facilities Criteria (UFC) 3-260-02 Pavement Design for Airfields	<u>CBR Method for HMA:</u> California Bearing Ratio (CBR) <u>Layered Analysis Method for HMA:</u> M _r on saturated specimens or empirical relationships with CBR, unconfined compressive strength (for stabilized materials), <u>PCC:</u> <i>k</i> -value for subgrade based on 30-in plate diameter and corrected for bending and saturation.
	AASHTOWare [™] Pavement ME Design	Level 1: Mr coefficients k_1 , k_2 , and k_3 from AASHTO T307 or NCHRP 1-28A testing, Poisson's ratio (assumed), soil-water characteristic curve (SWCC) fitting parameters from pressure plate (ASTM C1699) or filter paper (ASTM D5298) testing. Levels 2 and 3: Mr based on soil classification, and w_{opt} , maximum γ_d , and SWCC parameters from empirical relationships with gradation parameters.
Fill materials in critical areas (e.g., structural	Total settlement criteria (e.g., ≤ 1% of fill height)	Modulus of subgrade reaction <i>k</i> -value
foundations and box culverts	Differential settlement criteria (e.g., ≤ 0.5 in.)	w% ≥ strain softening condition for post-saturation and ≤ required to achieve strength/stiffness criteria

Table 1. Summary of key mechanica	I properties for embankments and	pavement foundations
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*Only properties related to stability are provided and properties related to drainage and freeze-thaw assessment are omitted.

Mechanical Property			Measurement Devices	Comments		
California Bearing Ratio	Lab	ASTM D1883	CBR test device	Sample is compacted in lab. Differences in field vs. lab compaction and boundary conditions can influence results.		
(CBR)	Field	ASTM D6951	Dynamic Cone Penetrometer (DCP)	Empirically related to CBR. Can determine individual layer CBR in situ.		
	Lab	AASHTO T-307 NCHRP 1-28A	Repetitive triaxial test device	Sample is compacted in lab. Differences in field vs. lab compaction and boundary conditions can influence results.		
Resilient Modulus (Mr)		ASTM E1196 AASHTO T-307* NCHRP 1-28A* Automated Plate Load Test (APLT)		Can <i>directly</i> measure confining stress dependent M_r values to determine k_1 , k_2 , and k_3 values. Test measures composite moduli values, but layered moduli can be determined based on layered analysis.		
	Field	ASTM D4694	A D4694 Falling weight Layered analysis of deflectometer (FWD) individual layer mo			
		ASTM E2583 ASTM E2835	Light weight deflectometer (LWD)	Results can be empirically correlated to		
		Nazarian et al. (1995)	Seismic pavement analyzer (SPA)	M _r (Nazarian et al. 2014)		
Elastic Modulus	Field	ASTM D1196 AASHTO T222	Automated Plate Load Test (APLT)	Test measures composite moduli		
(E)		ASTM E2583	Light weight deflectometer (LWD)	values, but layered moduli can be determined based on layered analysis.		
Modulus of subgrade Field reaction <i>k</i> -value		AASHTO T222 CRD-C 655-95 ASTM D1196	Automated Plate Load Test (APLT)	Can be determined using 30 in., 18 in., 12 in., and 8 in. diameter plates		
	Lab	ASTM D4767 ASTM D2850	Triaxial testing	Need an undisturbed sample from field for fine-grained soils.		
		ASTM D3080	Direct shear testing	For coarse-grained soils only.		
Shear strength parameters (<i>c</i> _u ,		Handy (2002)	Borehole shear test (BST)	Can directly measure the effective shear strength parameters in situ.		
<i>c</i> ', <i>φ</i> _u and <i>φ</i>)	Field	ASTM D5778	Cone penetration test (CPT)	Can provide layered profile along with pore-pressure measurements.		
		ASTM D2573	Vane shear test (VST)	Can only measure undrained shear strength parameters.		
Soil water		ASTM C1699	Pressure plate	-		
characteristic	Lab	ASTM D5298	Filter paper	Can directly measure the SWCC parameters needed in design.		
curves (SWCC)		ASTM D2325	Tempe cell			

*APLT can be configured to perform in accordance with the stress sequences listed;

NOTE: Highlighted are test methods utilized by the research team during the field demonstration/testing phase of this project.

2.2.2 Correlations between Soil Physical and Mechanical Properties and IC Measurements

Several field studies have been documented since 1980 focusing on correlating IC measurement values (IC-MVs) and in situ point test measurements. The details of these studies are summarized in Table 3 along with project location, IC manufacturer, type of roller drum, soil types, point-MVs, and key findings. The field testing documented in these studies involved performing point measurements in conjunction with obtaining the IC-MVs on calibration test strips with multiple roller passes to large production areas. Most of the studies characterized the strength of the relationships between the point-MVs and IC-MVs using the coefficient of determination (R²) value. A variety of point-MVs have been documented in the correlation studies, which include:

- Nuclear gauge (NG), electrical soil density gauge (SDG), water balloon method, sand cone replacement method, radio isotope method, "undisturbed" Shelby tube sampling, and drive core samples to determine moisture content and dry unit weight.
- Light weight deflectometer (LWD), soil stiffness gauge (SSG), static plate load test (PLT), falling weight deflectometer (FWD), Briaud compaction (BCD), dynamic seismic pavement analyzer (D-SPA), and Clegg hammer to determine stiffness or modulus.
- Dynamic cone penetrometer (DCP), cone penetration testing (CPT), "undisturbed" Shelby tube sampling, rut depth measurements under heavy test rolling to determine shear strength or California bearing ratio (CBR).

Most of the field studies involved constructing and testing controlled field test sections for research purposes and correlation development, while a few studies were conducted on full-scale earthwork construction projects (White et al. 2008a, 2009a). Based on the findings from a comprehensive correlation study conducted on 17 different soil types from multiple project sites across the U.S. as part of the National Cooperative Highway Research Program (NCHRP) 21-09 project (Mooney et al. 2010), the factors that commonly affect the correlations are as follows:

- Heterogeneity in underlying layer support conditions
- High moisture content variation
- Narrow range of measurements
- Machine operation setting variation (e.g., amplitude, frequency, speed, and roller "jumping")
- Non-uniform drum/soil contact conditions
- Uncertainty in spatial pairing of point measurements and roller MVs
- Limited number of measurements
- Not enough information to interpret the results
- Intrinsic measurement errors associated with the RICM and in-situ point measurements.

Reference; Project Location	Roller drum type; IC-MV; Soil types	In situ test measurements (Point-MVs)	Key findings and Comments
Forssblad (1980); Sweden.	Dynapac SD; CMV; Fine and coarse rock fill.	Water balloon, PLT, FWD, and surface settlement	Typical values of CMV are provided for different materials, when compacted at near optimum moisture content. CMV represents a composite value in layered soil condition and are influenced by roller speed (higher speeds result in lower CMV). Compaction growth curves of the different point-MV and CMV are presented, which provided good comparisons, but direct correlations are not presented except for between CMV and surface settlement.
Hansbo and Pramborg (1980); Sweden.	Dynapac SD; CMV; Gravelly sand, silty sand, and fine sand.	Sand cone, pressuremeter, PLT, CPT, and DCP	Compaction growth curves showed improvement in CMV and other mechanical properties (i.e., modulus and cone resistance) with increasing pass. Relative compaction measurement was not sensitive to changes in compaction. No direct correlations presented.
Floss et al. (1983); Munich, Germany.	Dynapac Dual SD; CMV; Sandy to silty gravel fill	Water balloon and sand cone, PLT, and DCP	Scatter plots are presented comparing CMV and in situ point- MVs, regression relationships and the strength of the relationships are not presented. The trends generally showed increasing CMV with increasing density, modulus, and DCP penetration blows (per 0.6 m penetration). Correlations with modulus and penetration blows are generally better than density. CMV measurements are dependent on speed, vibration frequency and amplitude, soil type, gradation, water content, and strength of subsoil.
Samaras et al. (1991); Stuttgart, West Germany	Unknown SD; CMV; granular soil	Density, PLT	General trends between CMV and point-MVs are presented, but raw data was not included. Plots are presented showing how wide the scatter is around a regression relationship, which indicated PLT-based initial and reload measurements showed tighter relationships with CMV than dry density measurements.
Adam (1997); Unknown.	Unknown SD; CMV	PLT	Correlation between CMV and PLT modulus (initial) is shown for a material as an example. Soil conditions and testing are not defined. The relationship presented showed $R^2 = 0.99$.
Brandl and Adam (1997); Unknown.	Bomag SD; CMV and Omega	PLT	Correlation between CMV and PLT modulus (initial) showed different regression trends for partial uplift and double jump operating conditions. Regressions in partial uplift and double jump conditions yielded $R^2 = 0.9$ and 0.6, respectively.
Nohse et al.(1999); Tomei, Japan.	Sakai SD; CMV; Clayey Gravel	Radio-isotope	Results from calibration test strips are presented, which showed average dry density and CMV increased with increasing roller passes. Linear regression relationships with $R^2 > 0.9$ are observed for correlations between dry density and CMV.
Krober et al. (2001); Germany.	Bomag SD; E _{vib} ; Silty gravel	PLT	Correlations between E_{vib} and initial/reload moduli values from PLT showed $R^2 > 0.9$. Initial moduli values and E_{vib} values were similar in magnitude during early compaction passes, while reload moduli values and E_{vib} were similar at near full compaction.
Preisig et al. (2003); Various sites, Sweden.	Ammann SD; k₅ (presented as k _B); sandy and silty gravel	PLT	Correlations between k_s and initial/reload moduli values from PLT showed R ² values of 0.83 and 0.79, respectively, with linear relationships.
White et al. (2004, 2005); Edwards, IL.	Caterpillar PD; MDP; Lean clay	NG, Drive core, DCP, and Clegg hammer	Correlations between MDP and in-situ test measurements using simple and multiple regression analyses are presented. MDP correlated relatively better with dry unit weight ($R^2 = 0.86$) than with DCP ($R^2 = 0.38$) or Clegg impact value ($R^2 = 0.46$). Including moisture content via multiple regression analysis greatly improves the R^2 values for DCP and Clegg impact value ($R^2 > 0.9$). These results are developed by averaging data over 20m long strip per pass.

Table 3. Summary of findings from correlation studies documented in the literature

Reference; Project Location	Roller drum type; IC-MV; Soil types	In situ test measurements (Point-MVs)	Key findings and Comments
Petersen and Peterson (2006); TH53, Duluth, MN.	Caterpillar SD; CMV and MDP; Fine sand	LWD, DCP, and soil stiffness gauge (SSG)	Weak correlations are obtained on a point-by-point basis comparison between in-situ test measurements and roller measurements, likely due to the depth and stress dependency of soil modulus, and the heterogeneity of the soils. Good correlations are obtained between CMV values and DCP measurements for depths between 200 and 400 mm depth.
White et al. (2006a,b); Edwards, IL.	Caterpillar SD; MDP; Well- graded silty sand	NG and DCP	Average MDP values showed a decreasing (logarithmic) trend, dry unit weight values showed an asymptotic increase, and DCP index showed an asymptotic decrease with increasing roller pass. Correlations between MDP and point-MVs showed good correlations ($R^2 = 0.5$ to 0.9). Incorporating moisture content into analysis is critical to improve correlations for dry unit weight.
Ryden and Mooney (2007); Albertville, MN	Ammann SD; k₅; clay subgrade	Surface wave testing	Correlation between shear wave velocity (V _s) representing different measurement depths (0 to 1.0 m and 0 to 1.6 m) and k _s are presented based on 10 test locations. The regression relationships showed $R^2 = 0.69$ for 0 to 1.0 m depth and $R^2 = 0.12$ for 0 to 1.6 m depth.
Thompson and White (2007); Edwards, IL.	Caterpillar SD; MDP and CMV; well- graded sand	NG, LWD, DCP, and Clegg hammer	Test results obtained from a test bed area with multiple lift thicknesses and passes are presented. Correlations between MDP and in-situ test measurements using multiple regression analyses are presented by incorporating moisture content. The results were based on averaging several test measurements for each pass and not based spatially paired test data. All multiple regression relationships (incorporating moisture content) showed R ² values ranging between 0.7 and 0.9 for averaged-MVs.
Rahman et al. (2008); Multiple sites, KS	Bomag SD; E _{vib} ; granular soil	NG, FWD, LWD, DCP, SSG,	Tests were obtained on a proof test section that was well- compacted, and correlations were developed between Evib and point-MVs. It is unclear how the spatial pairing was performed (in terms of the accuracy of the GPS measurements). Regression relationships showed relatively poor correlations between all point-MVs and E _{vib} values. Reasons for poor correlations were attributed to the differences in the measurement influence depths between the IC measurement values and point-MVs. Although not noted in the paper, the E _{vib} values were obtained in the automatic feedback control model, which are affected by the variable amplitude and frequencies and consequently affect the correlations.
Thompson and White (2008); Edwards, IL.	Caterpillar PD; MDP; Silt and lean clay	NG, DCP, Clegg Hammer, and LWD	Correlations between MDP and point-MVs are presented using simple and multiple regression analysis. Averaging the data along the full length of the test strip (per pass) improved the regressions. Multiple regression analysis by incorporating moisture content as a regression parameter further improved the correlations.
White et al. (2007a, 2008a); TH64, Ackley, MN.	Caterpillar SD; CMV; Poorly graded sand and well- graded sand with silt	LWD, DCP, and NG	Project scale correlations by averaging data from different areas on the project are presented, which showed R ² values ranging from 0.52 for density and 0.79 for DCP index value. Correlations with LWD showed poor correlations because of loose surficial material. The variability observed in the CMV data was like DCP and LWD measurements but not to density measurements.
White et al. (2007b), Edwards, IL.	Caterpillar PD; MDP; Sandy lean clay	NG and DCP	Based on average measurements over the length of the test strip (~20 m); correlations between MDP and point-MVs showed $R^2 = 0.87$ for density and 0.96 for DCP index values.

Reference; Project Location	Roller drum type; IC-MV; Soil types	In situ test measurements (Point-MVs)	Key findings and Comments
White et al. (2008b); FM156, Roanoke, TX.	Dynapac SD and Ammann PD; CMV, ks; granular base and lime stabilized subgrade.	NG, LWD, PLT, FWD, D-SPA	CMV measurements showed good repeatability but are influenced by vibration amplitude. High amplitude (i.e., > 1.5 mm) caused drum bouncing and affected the CMV measurements. Increasing amplitude generally showed an increase in CMV. Results showed that FWD modulus point measurements tracked well with variations in CMV in some cases and in some cases, it did not. The reason for poor correlations with FWD measurements in some cases is attributed to the possible influence of heterogeneity observed in the material across the drum width due to moisture segregation. The CMV measurements however were well correlated with variations in moisture content as evidenced by a decrease in CMV with increasing moisture content. D-SPA, PLT, and DCP measurements tracked well with the variations in CMV.
Vennapusa et al. (2009), Edwards, IL.	Caterpillar PD; MDP; Crushed gravel base	DCP and LWD	Correlations were obtained on a test bed with multiple lifts placed on a concrete base and a soft subgrade base. Correlations between MDP and point-MVs yielded $R^2 = 0.66$ to 0.85 for spatially nearest point data, and $R^2 = 0.74$ to 0.92 for averaged data (over the length of concrete pad or soft subgrade pad).
White et al. (2009a,b), TH60, Bigelow, MN.	Caterpillar PD–MDP ₈₀ and SD– CMV; Sandy lean clay to lean clay with sand	Heavy test roller, DCP, LWD, and PLT	Correlations are presented from multiple calibration test strips and production areas from the project. MDP ₈₀ and LWD modulus correlation showed two different trends ($R^2 = 0.35$ and 0.65) over the range of measurements as the MDP ₈₀ reached an asymptotic value of about 150 which is the maximum value on the calibration hard surface. CMV correlation with LWD modulus produced $R^2 = 0.70$, and with rut depth produced $R^2 = 0.64$.
White et al. (2009a); TH36, North St. Paul, MN.	Caterpillar SD; CMV; Granular subbase and select granular base	DCP, SSG, Clegg Hammer, LWD, PLT, FWD, and CPT	Correlations between CMV and point-MVs from calibration and production test areas based on spatially nearest point data are presented. Positive trends are generally observed with R ² > 0.5 (for LWD, FWD, PLT, SSG, and Clegg) with exception of one test bed (FWD, LWD, and CPT) with limited/narrow range of measurements.
White et al. (2009a); US10, Staples, MN	Caterpillar SD; CMV; Poorly graded sand with silt to silty sand	LWD, PLT, and DCP	Correlations between CMV and point-MVs from calibration and production test areas based on spatially nearest point data are presented. Correlations between CMV and point-MVs showed R ² value ranging from 0.2 to 0.9. The primary factors contributing to scatter are attributed to differences in measurement influence depths, applied stresses, and the loose surface of the sandy soils on the project. Correlations between CMV and LWD or DCP measurements improved using measurements at about 150-mm below the compaction surface.
White et al. (2009a); CSAH 2, Olmsted County, MN	Caterpillar PD; MDP ₈₀ ; Sandy lean clay	LWD	MDP_{80} values are influenced by the travel direction of the roller due to localized slope changes and roller speed. Correlations between MDP_{80} and LWD generally showed $R^2 > 0.6$ (with exception of one case) when regressions are performed by separating data sets with different travel directions and speed. Data was combined by performing multiple regression analysis incorporating travel speed and direction which showed correlations with $R^2 = 0.93$.

Reference; Project	Roller drum type; IC-MV;	In situ test measurements	Key findings and Comments
Location Mooney et al. (2010); Minnesota, Colorado, North Carolina, Maryland, Florida.	Soil types Caterpillar PD – MDP and SD – CMV, Dynapac SD – CMV; Bomag SD – E_{vib} ; Ammann SD – k_s ; Two types of cohesive soils, eleven types of granular soils.	(Point-MVs) NG, DCP, LWD, FWD, PLT, Clegg hammer, SSG	Key findings and Comments Simple and multiple regression analysis results are presented. Simple linear correlations between IC-MVs and compaction layer point-MVs are possible for a compaction layer underlain by relatively homogenous and stiff/stable supporting layer. Heterogeneous conditions in the underlying layers, however, can adversely affect the relationships. A multiple regression analysis approach is described that includes parameter values to represent underlying layer conditions to improve correlations. Modulus measurements generally capture the variation in IC-MVs better than traditional dry unit weight measurements. DCP tests are effective in detecting deeper "weak" areas (at depths > 300 mm) that are commonly identified by IC-MVs and not by compaction layer point-MVs. High variability in soil properties across the drum width and soil moisture content contribute to scatter in relationships. Averaging measurements across the drum width, and incorporating moisture content into multiple regression analysis, when statistically significant, can help mitigate the scatter to some extent. Relatively constant machine operation settings are critical for calibration strips (i.e., constant amplitude, frequency, and speed) and correlations are generally better for low amplitude settings (e.g., 0.7 to 1.1 mm).
White et al. (2010a); US219, Springville, NY.	Caterpillar SD and Bomag SD; CMV and MDP, and E _{vib} ; Well-graded gravel.	DCP, LWD, FWD, PLT, BCD, NG, and SDG	Non-linear power, exponential, and logarithmic relationships between IC-MVs and point-MVs. Correlations between IC-MVs and different point-MVs are generally weak when evaluated independently for each test bed due to narrow range of measurements. When data are combined for site wide
White et al. (2010b); US84, Waynesboro, MS.	Caterpillar PD, Sakai SD; MDP and CCV; poorly graded to silty sand	DCP, LWD, FWD, NG, and PLT	correlations with a wide measurement range, the correlations improved. IC-MVs generally correlated better with modulus/stiffness and CBR point-MVs than with dry density point- MVs. Correlations between IC-MVs and FWD and PLT measurements showed the strongest correlation coefficients.
Rinehart et al. (2012); Colorado	SD; CMV; granular soil	NG and LWD	Regression relationships between CMV and in situ point MVs were presented based on testing on calibration test strips and production areas. Results showed R ² of about 0.7 with dry density and 0.63 with LWD modulus.
White et al. (2013); Boone, IA	Caterpillar SD; MDP and CMV; poorly graded gravel	LWD, DCP, FWD	Regression relationships between MDP and CMV vs. in situ point MVs were presented based on 200+ comparative measurements obtained over a wide range of stiffness conditions (very soft to hard) on pavement foundations constructed with a variety of stabilization methods (mechanical and chemical). R ² was highest (0.84) for CMV vs. FWD modulus. R ² value was about 0.54 for CMV vs. LWD modulus, and the low R ² value was attributed to lower measurement influence depth for LWD and measurement range than for FWD. Regression relationships with MDP yielded relatively low R ² values (< 0.4).
Liu et al. (2014); China	SD; CV; rock fill	Density and Relative Compaction	A relatively new IC-MV, called the compaction value (CV) was introduced in this paper, which followed a similar concept as CMV but used the ratio of vertical drum accelerations at four times the fundamental frequencies and two times the fundamental frequency. The CV was correlated with relative compaction and density, which showed R ² of 0.68 to 0.80.

Reference; Project Location	Roller drum type; IC-MV; Soil types	In situ test measurements (Point-MVs)	Key findings and Comments
Vennapusa and White (2014); Jacksonville, FL	Caterpillar SD; MDP and CMV; poorly graded sand and recycled granular materials	DCP, LWD	Results from test strips constructed with poorly graded sand and a recycled asphalt layer over poorly graded sand were used to develop regression relationships between MDP, CMV, and in situ point-MVs. Results showed that MDP correlated well with LWD modulus and CBR measurements averaged over the top 300 mm depth, while CMV measurements correlated well with CBR measurements averaged over the top 800 mm depth, suggesting the differences in the measurement influence depth of the two measurements.
Unpublished report from 2014; Knoxville, TN	SD; VIC; fly ash and gypsum	PLT	Elastic modulus values determined from 18 in. diameter plate with two loading cycles were used for calibration. Calibration records showed R ² of 0.97. Tests were obtained from about 20 test locations obtained over a large spatial area with moduli values varying between 3 and 50 ksi.
White et al. (2014b); Louisville, KY	SD; VIC; crushed rock, lime stabilized subgrade, and clay subgrade	Cyclic PLT	In situ resilient moduli values determined using 18 in. diameter loading plate from cyclic plate load testing on granular and non- granular materials at about 15 test locations. Calibration showed predicted versus actual resilient modulus with R ² of 0.96.
Unpublished report from 2016; Knoxville, TN	SD; VIC; fly ash and gypsum	PLT, surface wave testing, DCP	Elastic modulus values determined from 30 in. diameter plate with two loading cycles, shear and compression wave velocities (V _s and V _p) from surface wave testing, and CBR values determined from DCP were used for calibration. Calibration records showed R ² values ranging 0.90 to 0.99 for predicted vs. actual measurements, for all test measurements except DCP-CBR R ² values for DCP-CBR varied between 0.6 and 0.93.
Liu et al. (2016); China	SD; CMV; lime stabilized subgrade	Relative compaction	A new measure of compaction, the compaction power per unit volume, was introduced in this study, which was incorporated in the regression relationships to predict dry density along with CMV. The compaction power value was derived from the drum excitation frequency, calculated excitation force, assumed compaction value, speed of roller, and number of roller passes. Results showed that the regression relationships were material specific when compared with just CMV with R ² of about 0.7 and were not material specific when the compaction power parameter was included in the regression.

In general, results from controlled field studies indicate that statistically valid simple linear or simple non-linear correlations between IC-MVs and compaction layer point-MVs (e.g., modulus or density) are possible when the compaction layer is underlain by a relatively homogenous and stiff/ stable supporting layer. For example, Figure 6 presents simple linear regression relationships between CMV and in-situ LWD modulus and dry density point-MVs obtained from a calibration test strip with plan dimensions of 30 m x 2 m (White et al. 2011). The test strip consisted of silty sand with gravel base material underlain by a very stiff fly ash stabilized subgrade layer. For this case, correlations between CMV and both LWD modulus and dry density measurements showed $R^2 > 0.8$.

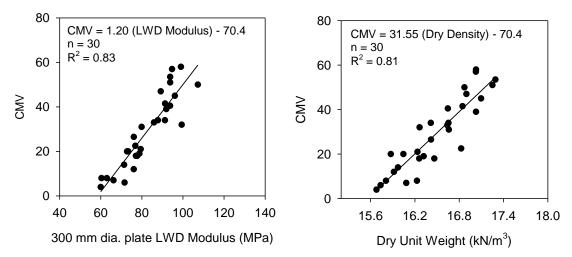


Figure 6. Simple linear regressions between CMV (amplitude = 1.00 mm) and in-situ point-MVs (LWD modulus and dry unit weight) – silty sand with gravel underlain by relatively stiff fly ash stabilized subgrade (White et al. 2011).

On the contrary, many field studies summarized in Table 1 indicate that modulus or stiffnessbased measurements (i.e. determined by FWD, LWD, PLT, etc.) generally correlate better with the IC-MVs than compaction layer dry unit weight or CBR measurements. This is illustrated in Figure 7, based on data obtained from several calibration and production test areas with lean clay subgrade, recycled asphalt subbase, recycled concrete base, and crushed limestone base materials compacted with a vibratory smooth drum roller. CBR measurements presented in Figure 7 are obtained from DCP tests using empirical correlations between DCP index values and CBR (White et al. 2011). One of the primary reasons for why modulus measurements correlated better is that modulus measurements represent a composite layered soil response under an applied load which simulates vibratory drumground interaction. The density and CBR measurements are average measurements of the compaction layer and do not directly represent a composite layered soil response under loading. Although DCP-CBR measurements did not correlate well in the two cases presented in Figure 7, other field studies (White et al. 2009, Mooney et al. 2010, Vennapusa and White 2014) have indicated that DCP tests are effective in detecting deeper "weak" areas (at depths > 300 mm) that are commonly identified by the IC-MVs and not by point-MVs obtained on the surface. This is primarily because of the differences in measurement influence depths which are reported to be in the range of 0.8 m to 1.5 m for vibratory roller measurements depending on the soil layering, drum mass, and the excitation force (ISSMGE 2005, Rinehart and Mooney 2009, Mooney et al. 2010, Vennapusa et al. 2011, Vennapusa and White 2014), while most point-MVs have influence depths < 0.5 m (Vennapusa et al. 2011, Vennapusa and White 2014, White et al. 2013). The differences in the measurement influence depths for different point-MVs and IC-MVs are illustrated in Figure 8. Statistical multiple regression analysis techniques can be used to account for heterogeneity in the underlying layers if the underlying layer IC-MVs or in-situ point MV measurements have been demonstrated (Mooney et al. 2010).

High variability in soil properties across the drum width and soil moisture content also contribute to scatter in relationships. Averaging point measurements across the drum width, and incorporating moisture content into multiple regression analysis, when statistically significant, can help mitigate the scatter to some extent.

As summarized in Table 3, the new VIC technique was documented in a few recent studies and has shown promise with consistently producing R^2 values > 0.9 with APLT measured field mechanical properties including the modulus of subgrade reaction, initial and reload moduli, and resilient modulus. Validation testing was performed on granular and non-granular pavement foundation materials, coal

combustion by products such as fly ash and gypsum using vibratory smooth drum rollers. The technique has the advantage of using the field calibration to output mechanistic parameter values that are tied to pavement design parameters, as oppose to index values, and is evaluated as part of the field demonstration/testing phase of this research project.

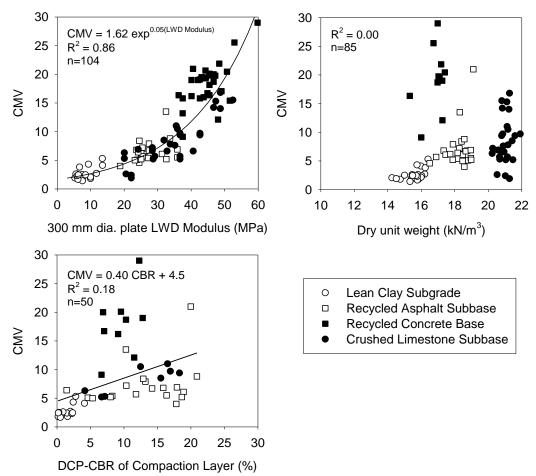


Figure 7. Relationships between CMV (theoretical amplitude = 1.50 mm) and in-situ point measurements (LWD modulus, dry unit weight, and CBR determined from DCP) (White et al. 2011).

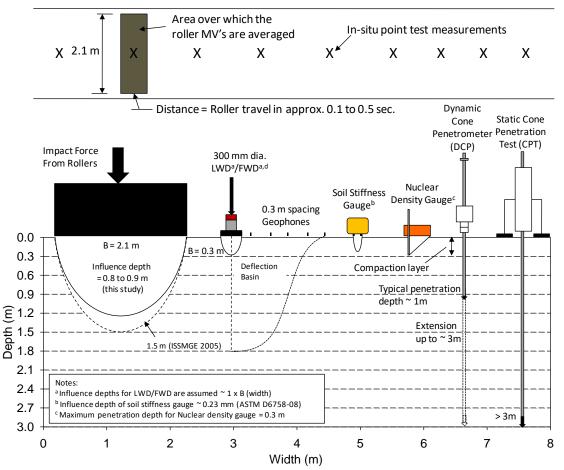


Figure 8. Illustration of differences in measurement influence depths of different testing devices (reproduced from Vennapusa et al. 2011).

2.3 SUMMARY OF EXISTING SPECIFICATIONS

IC specifications have been introduced in Europe (Austria, Germany, and Sweden) in the 1990s, and in 2005, the ISSMGE developed recommended construction specifications based primarily on the Austrian specifications. In the U.S., currently a few state highway agencies and the FHWA have developed specifications to facilitate implementation of IC technologies for embankment and pavement foundation layer materials. A summary of the key elements of the specifications implemented by these different agencies is provided in Table 4. The FHWA has provided a generic specification, and several state DOTs have now adopted the FHWA specification by modifying and customizing it to the QA requirements traditionally accepted in their state.

The ISSMGE and European specifications require performing either static or dynamic plate load tests on calibration strips to determine average target values (typically based on 3 to 5 measurements) and use the same for QA later in production areas. The German, ISSMGE, and Austrian specifications suggest performing at least three static PLTs or dynamic LWDs in locations of low, medium, and high degree of compaction during calibration process. Further, it is specified that linear regression relationships between roller measurement values and plate load test results should achieve a minimum R^2 of 0.49.

In the U.S., state agencies have specified the use of dry density measurements, LWD test measurements, and DCP test measurements for calibration and QA testing. Calibration in the FHWA

(2014) specifications involved performing the point tests at selected locations after consecutive compaction passes and comparing the IC-MVs with the point-MVs via linear regression to establish an IC target value. Also, language is provided in the specification for establishing IC target value using compaction curve data, by conducting compaction passes until an average change of less than 5% between consecutive compaction passes is achieved. It is unclear in some of the state agency specifications that adopted this language, on which method takes precedent in determining the IC target value that is implemented in the production area. The QA is largely based on independent spot testing acceptable to the state. The IC data is being used to evaluate a production area meeting the IC target value established from the calibration, for e.g., the FHWA (2014) specification allows a production area must achieve a minimum of 70% of the IC target value established from calibration over at least 90% of the production area. The current U.S. specifications on IC are method and prescriptive specifications and focus on IC equipment and the procedure/format for data reporting.

		Calibration Requirements					
Reference	Equipment	Area	Location	Documentation	Compaction Specs	Speed	Freq.
FHWA (2014)	Smooth drum or padfoot vibratory roller	225 ft long by 24 ft wide	Material at optimum moisture content and for each material type.	Color-coded IC-MVs including the stiffness response values, location of the roller, number of roller passes, roller speed, vibration frequency, and vibration amplitude	The target IC-MV is determined based on a compaction curve with repetitive roller passes until a less than 5% change in IC-MV is observed between consecutive roller passes. The estimated target density will be peak of the nondestructive readings within the desired moisture range. Another option for linear regression relationships between IC-MV and density measurements is also provided to establish target IC-MV. Production mapping is recommended at the final surface of the fill and the elevation levels at 1.0 ft., 2.0 ft., 4.0 ft., and 8.0 ft. below the final surface as applicable. The magnitude of the evaluation areas may vary with production, but they need to be at least 25,000 ft ² for evaluation and not greater than 100,000 ft ² . A minimum coverage of 90% of the individual construction area shall meet the optimal number of roller passes and 70% of the target IC-MV determined from the test sections. Construction areas not meeting the IC criteria shall be reworked and reevaluated prior to continuing with the operations in that area.		ified but ing the ars during
Georgia DOT (2012)	Smooth drum or padfoot vibratory roller	500 ft long by 24 ft wide	Material at no less than 1% below optimum and for each material type, 8 in. thick lift	Same as FHWA (2014) specification requirements.	Like FHWA (2014) specification requirements. Exceptions/additions include obtaining a minimum of 10 measurements for linear regression analysis and target density being 95% of maximum Proctor density, and the minimum size of the production evaluation area is 5,000 ft ² .	Not spec	ified.
Indiana DOT (2014)	Smooth drum or padfoot vibratory roller	225 ft long by 24 ft wide	Material type specific moisture limits are required. Test section for each material type.	Same as FHWA (2014) specification requirements.	Test sections to be constructed to determine number of roller passes for verification of DCP blow count requirement (specific to each material type) in the top 12 in. lift. Target IC-MV to be determined based on the target DCP criteria and regression analysis. In production areas, a minimum 70% of the mapped construction area shall equal or exceed the target IC-MV. Minimum size of the production evaluation area is 5,000 ft ² and maximum is 75,000 ft ² . Deficiencies exhibiting excessive pumping or rutting or by not meeting the IC-MV target values shall be reworked and retested for acceptance. Deficient areas that do not meet the target IC-MV criteria may be accepted if the target DCP and moisture criteria is met. DCP testing frequency for QA is one test per 1400 yd ³ for each lift.	Not spec	ified.

Table 4. Summary of the existing IC specifications

		Calibration F	Requirements				
Reference	Equipment	Area	Location	Documentation	Compaction Specs	Speed	Freq.
Iowa DOT (2010)	Self-propelled padfoot roller weighing at least 10,800 kg.	5 m wide x 75 m long compacted for 12 passes.	IC roller shall be used for measurement at vertical intervals of 0.6 m or less in proof areas. Surface shall be relatively smooth and uniform.	Machine model, type, and serial/machine number; roller drum dimensions (width and diameter); roller and drum weights; file name; date stamp; time stamp; RTK based GPS measurements showing Northing, Easting, and Elevation; Roller travel direction; Roller speed; Vibration setting, amplitude, and frequency (if vibration used); Surface temperature; Compaction measurement value			
КҮТС (2015)	Smooth drum or padfoot vibratory roller	Not specified. Cross referenced to KYTC standard specifications section 302.03.04 for test strip construction to determine optimum rolling pattern and target density for base materials.		Same as FHWA (2014) specification requirements.	Any areas a minimum of 50 square feet in area not achieving the 80% of the stiffness value determined by the latest control strip shall be tested by other means approved by the Engineer. If the material doesn't pass the testing is shall be repaired based on current standards to the satisfaction of the Engineer.	Not specified.	
MnDOT (2017)	Smooth drum or padfoot vibratory roller	Control strips are specified for full-depth reclamation and cold in-place recycling materials to establish rolling pattern to achieve target density. Minimum 400 square yards.		Displays real-time, color-coded maps of: line work (alignment file), roller drum location, number of roller passes, IC-MV for systems with an accelerometer, displays and store current value for: roller speed, vibration frequency, vibration amplitude, GNSS coordinates, and pass count, and ability to internally store data until data transfer, to automatically transfer data to cloud storage, and to manually transfer data using a removable media device, and allows operator to define, label, and/or select the standardized name of each lot.	Not specified for IC-MVs.		ring n and n on

		Calibration R	equirements					
Reference	Equipment	Area	Location	Documentation	Compaction Specs	Speed	Freq.	
Michigan DOT (2013)	Self- propelled smooth drum vibratory roller	100 ft long by 20 ft wide	Test section for each material type, with at least 2 lifts of material for subbase soils.	Same as FHWA (2014) specification requirements.	Test strip to be constructed to establish a rolling pattern for each subbase and base material type. Initiate test strip with 2 passes and perform density and moisture measurements, and perform additional testing or every 2 consecutive passes, until the maximum density is reached per project specifications. Proof rolling is performed in production areas with the IC roller and QA is based on achieving density and moisture at locations selected by Engineer based on the IC map.	Constant 3 mph	Per vendor recomme ndation.	
TxDOT (2004)	Self- propelled smooth drum vibratory roller	500 ft long by full width of the material course layer	Test section for each material type	Same as FHWA (2014) specification requirements.	Control strip is constructed by proof mapping an existing area and placing the new material layer. Initiate 2 compaction passes and perform density and moisture measurements at 3 random locations and perform additional testing or every 2 consecutive passes at the same 3 test locations, until the maximum density is reached per project specifications. Production compaction is achieved using the same rolling pattern as established from control strip and deliver data to the engineer. Engineer will establish IC-TV, and the IC-TV will be 1.05 times the IC-TV of the previous layer. In case of no control strip, the IC-MV data is color-coded based as "RED" in areas achieving 25% of the average IC-MV data from the control strip, "YELLOW" in areas achieving 25% to 85% of the average IC-MV, and "GREEN" in areas achieving > 85% of the average IC-MV. The color- coded map is used by the engineer to select test locations.	Not specif	Not specified.	
ISSMGE (2005)	chocon by the width of		Homogenous, even surface. Track overlap ≤ 10% drum width.	Rolling pattern, sequence of compaction and measuring passes; amplitude, speed, dynamic measuring values, frequency, jump operation, and corresponding locations	Correlation coefficient ≥ 0.7 . Minimum value $\ge 95\%$ of E_{v1} and mean should be $\ge 105\%$ (or $\ge 100\%$ during jump mode). Dynamic measuring values should be lower than the specified minimum for $\le 10\%$ of the track. Measured minimum should be $\ge 80\%$ of the specified minimum. Standard deviation (of the mean) must be $\le 20\%$ in one pass.	Constant 2–6 km/h (± 0.2 km/h)	Constant (± 2 Hz)	
Austria — RVS 8S.02.6. (1999)	Vibrating roller compactors with rubber wheels and smooth drums suggested	100 m long by the width of the site	No inhomogeneitie s close to surface (materials or water content). Track overlap ≤ 10% drum width.	Compaction run plan, sequence of compaction and measurement runs, velocity, amplitude, frequency, speed, dynamic measuring values, jump operation, and corresponding locations	Correlation coefficient ≥ 0.7 . Minimum value $\ge 95\%$ of E_{v1} , and median should be $\ge 105\%$ (or $\ge 100\%$ during jump mode). Dynamic measuring values should be lower than the specified minimum for $\le 10\%$ of the track. Measured minimum should be $\ge 80\%$ of the set minimum. Measured maximum in a run cannot exceed the set maximum (150% of the determined minimum). Standard deviation (of the median) must be $\le 20\%$ in one pass.	Constant 2–6 km/h (± 0.2 km/h)	Constant (± 2 Hz)	

		Calibration Requirements					
Reference	Equipment	Area	Location	Documentation	Compaction Specs	Speed	Freq.
Germany — ZTVE StB/TP BF-StB (1994)	Self- propelled rollers with rubber tire drive are preferred; towed vibratory rollers with towing vehicle are suitable.	Each calibration area must cover at least 3 partial fields ~20 m. long	Level and free of puddles. Similar soil type, water content, layer thickness, and bearing capacity of support layers. Track overlap ≤ 10% machine width.	Dynamic measuring value; frequency; speed; jump operation; amplitude; distance; time of measurement; roller type; soil type; water content; layer thickness; date, time, file name, or registration number; weather conditions; position of test tracks and rolling direction; absolute height or application position; local conditions and embankments in marginal areas; machine parameters; and perceived deviations	The correlation coefficient resulting from a regression analysis must be \geq 0.7. Individual area units (the width of the roller drum) must have a dynamic measuring value within 10% of adjacent area to be suitable for calibration.	Constant	
Sweden — ROAD 94 (1994)	Vibratory or oscillating single-drum roller. Min. linear load 15–30 kN. Roller- mounted compaction meter optional.	Thickness of largest layer 0.2– 0.6 m.	Layer shall be homogenous and non- frozen. Protective layers < 0.5 m may be compacted with sub-base.		Bearing capacity or degree of compaction requirements may be met. Mean of compaction values for two inspection points \geq 89% for sub-base under roadbase and for protective layers over 0.5 m thick; mean should be \geq 90% for roadbases. Required mean for two bearing capacity ratios varies depending on layer type.	Constant 2.5–4.0 km/h	_

2.4 CONCLUDING REMARKS

As discussed above in this chapter, IC technologies have been used in the U.S. on over 380+ pilot/demonstration projects since year 2000. While in general using the technology presents a significant step forward in the right direction, the current European and U.S. specifications lack detailed framework for calibration (i.e., corrections from independent testing) and validation of results (i.e., accuracy and system quality checks) in terms of mechanical soil properties. Further, the mechanical soil properties that some agencies are using, do not directly link to the pavement design input parameters (e.g., k-value or stress-dependent M_r value). Albeit considerable evidence in the literature from numerous correlation studies that correlating IC-MVs with dry density can be challenging and practically impossible in many cases (see Mooney et al. 2010, White et al. 2011), some states and a version of the current FHWA specification still require the IC data be calibrated with density measurements.

White et al. (2014) recently documented findings from a series of annual workshops conducted with participants from state agencies, academia, industry, and contractors from 2008 to 2012. The workshops identified a list of the IC research and implementation needs and prioritized them, to further successful implementation of the technology. The most recent prioritized list in 2012 was as follows (highest to least priority in that order):

- 1. Data management and analysis
- 2. Specifications/guidance
- 3. In-situ correlations
- 4. Understanding impact of non-uniformity on performance
- 5. Standardization of roller outputs and format files
- 6. Standardization of roller sensor calibration protocols
- 7. Education program/certification process
- 8. Understanding roller measurement influence depth
- 9. Project scale demonstration and case histories
- 10. In situ testing advancements and new mechanistic based QC/QA
- 11. Intelligent compaction technology advancements and innovations

The topic of *data management and analysis* became the highest priority in 2012 and is believed to be a result of the agencies getting hands-on experience with the technology and becoming more involved with data aspects. Simplifying the data management and analytics, automating generation of compaction reports, and automating data archival need be resolved to successfully implement the IC technology.

CHAPTER 3 FIELD DEMONSTRATION PROJECTS

As part of the field demonstration phase of this project, field testing was conducted on selected test sections on the Elgin O'Hare Western Access Tollway construction project in October 2016, April-May 2017, and in June 2017. Field evaluation was performed on a total of 18 test sections, of which in situ comparison and calibration testing was conducted on 12 test sections. Tests were conducted on embankment subgrade, subgrade aggregate special or porous granular embankment (PGE), and improved subgrade or CA6 capping layer materials.

Four different IC-MV technologies have been evaluated including: CMV, HMV, MDP, and VIC. The CMV and MDP IC-MVs were obtained from Caterpillar CS74 vibratory smooth drum IC roller, HMV IC-MVs were obtained from Hamm H11 vibratory smooth drum IC roller, and VIC IC-MVs were obtained on a retrofitted Caterpillar CS56 vibratory smooth drum roller. Field calibration testing was conducted using LWD, DCP, and static and cyclic APLT testing.

In this chapter, a review of the existing Illinois Tollway (ILT) specifications for the different pavement foundation layers is summarized to study the quality assurance target values used on the project, a summary of field testing and analysis procedures is provided, and a detailed account of all field results and correlation analysis results are provided.

3.1 REVIEW OF CURRENT ILT SPECIFICATIONS

A summary of the current ILT specifications for embankment fill materials (Zone A only), and PGE and capping layer materials is provided in Table 5. The summary includes the reference, soil property used to assess quality, target value of the property, testing frequency, and the type of test used.

The quality of the general embankment fill and the subgrade layers is assessed based on field target moisture and dry density requirement relative to standard Proctor test, proof rolling, and DCP penetration index criteria. For PGE and capping layers, the quality requirements include assessing quality of the aggregate and the gradation properties.

MATERIAL / LAYER	SPECIFICATION REFERENCE	PROPERTY/QUALITY	TARGET VALUE	TESTING FREQUENCY	TYPE OF MISTIC ¹ TEST
		Lift Thickness	8 in. (loose lift – maximum)	None	NA
	IDOT Articles	Moisture and Density Curve (note: Standard Proctor per AASHTO T99)	NA	For each major change in embankment material	NA
Embankment (General Embankment Fill – Zone A only)	205.04, 205.06 & Project Procedures Guide [Sampling Schedules]	Density	Height < 1.5 ft = 95% RC (relative compaction) Height 1.5 ft to 3.0 ft = 1 st lift 90% RC and remaining 95% RC Height > 3 ft = Bottom $1/3^{rd}$ height (max 2 ft) to 90% RC, next 1 ft to 93% RC, and the remaining to 95% RC.	1 test every 20,000 Cubic Yards. Confined areas: 1 test per 3 ft of lift	Process Control (Project Inspector)

Table 5. Summary of existing QC/QA specifications for embankment and pavement foundation materials on the field demonstration project (ILT Contracts I-15-4662, I-14-4644, and I-14-4642)

-		1			
	Project Specification & Project Procedures	Moisture	Max. 110% of the optimum moisture for all clay soils Max. 105% of the optimum moisture for all clay loam soils	Not specified	Process Control (Project Inspector)
	Guide [Sampling Schedules]	Stability (DCP)	Max. 1.5 inches/blow (for general embankment fill)	Not specified in contract (determined by DGE)	Process Control (Project Inspector)
	Subgrade Stability Manual & Project Procedures	Stability (DCP)	Near top of subgrade (below the modified/improved subgrade ²) the criterion is max. 2 inches/blow in the top 6 in. (See Table 1 in the manual for additional description)	Not specified in contract (determined by DGE)	Process Control (Project Inspector)
	Guide [Sampling Schedules]	Proofrolling (500 to 1,000 ft sections) using fully loaded tandem axle truck	Rutting < 0.5 in. (note: permanent, based 3 to 4 passes). Additional DCP testing in areas with excess rutting to determine remedial layer thickness.	Not specified in contract (determined by DGE – Currently used on all subgrade that is completed)	Process Control (Project Inspector)
Subgrade Aggregate Special (PGE) & CA6 Capping Material ("Improved Subgrade")	Project Specifications & IDOT Article 1004.01	Aggregate quality and gradation	RAP does not exceed 50% of the final product. Gradation requirements for sieve sizes: 5 in., 4 in., 2 in., #4, #200 for PGE Gradation requirements for sieve sizes: 1.5 in., 1 in., 1/2 in., #4, #16, #200 for CA6	Tollway Construction Bulletin 15-02	Process Control (Project Inspector)
	IDOT Article 301.04 & Project	Density	95% RC	1 test per 1500 ft of entire length of subgrade	Process Control (Project Inspector)
Subgrade	Procedures Guide [Sampling Schedules]	Stability (DCP) (note: DCP per IDOT Test Procedure 501, similar equipment to ASTM D6954)	Max. 0.9 inches/blow (note: not used on current project due to relatively weak soil conditions)	Not specified (determined by DGE)	Process Control (Project Inspector)
Modified Soil with Lime, Portland Cement,	IDOT Article 302.09 & Project	Density	95% RC	1 test per 1500 ft of treated area	Process Control (Project Inspector)
Portland Blast- Furnace Slag, or Fly	Procedures Guide [Sampling Schedules]	Stability (DCP)	Max. 0.75 inches/blow	Not specified (determined by DGE)	Process Control (Project Inspector)

NOTES:

¹MISTIC – Materials Integrated System for Test Information and Communication

 ²Modified/improved subgrade is the 12 in. layer that is directly below the pavement (3 in. of bituminous stabilized base, 3 in. of CA6 capping, and 6 in. of PGE or Subgrade Aggregate Special).
 ³Not used on the Elgin/O'Hare Tollway projects. The requirements are generic IDOT standard specification requirements. These are modified on the Elgin O'Hare project to use Improved Subgrade over the embankment fill as a target DCP of 0.9 inches/blow could not be met for the soils on the project. Lime modified subgrade use only in pavement test section area.

3.2 TEST SECTIONS AND MATERIALS

Field testing was conducted on 18 test sections spanning periods of October 2016, April-May 2017, and in June 2017. In situ comparison and calibration testing was conducted on 12 of these test sections. On a few sections, contractor trained roller operators performed production mapping. A summary of the 18 test sections along with dates, location, and field notes is provided in Table 6.

In situ tests were conducted on embankment subgrade, subgrade aggregate special or porous granular embankment (PGE), and improved subgrade or CA6 capping layer materials. A summary of the soil index properties of these materials is provided in Table 7. The PGE layer was nominal 6 in. thick and was placed over the subgrade and consisted of poorly graded recycled portland cement concrete (RPCC) material with a maximum particle size of about 5 in. and no fines passing the No. 200 sieve. The CA6 capping layer was about 3 in. thick and was placed on the PGE layer and consisted of well-graded recycled asphalt pavement (RAP) material with a maximum particle size of about 1.5 in. and about 1% passing the No. 200 sieve.

		Material /			In-Situ	
Date	TS	Layer	IC Roller	IC-MV	Testing	Comments / Notes
10/1/16 to 10/2/16 (Contract 4642)	1	PGE	Hamm H11 Smooth Drum Roller	HMV	LWD and DCP	6 in. PGE layer with RPCC aggregate on WB lane. Testing was performed at 25 points (LWD only) across the PGE layer width, and at 15 points (LWD and DCP) selected based on the IC map.
10/13/16 (Contract 4642)	2	PGE	Hamm H11 Smooth Drum Roller	НМ∨	LWD and DCP	6 in. PGE layer with RPCC aggregate and a portion overlain with RAP material on EB lane. Testing was performed at 10 points (LWD and DCP) selected based on IC map
10/13/16 (Contract 4642)	3	PGE	Hamm H11 Smooth Drum Roller	НМ∨	LWD and DCP	6 in. PGE layer with RPCC aggregate on EB lane, including RAMP. Testing was performed at 5 points (LWD and DCP) selected based on IC map only in the ramp area because of stiff conditions.
10/14/16 (Contract 4642)	4	CA6 Capping	Hamm H11 Smooth Drum Roller	HMV	LWD and DCP	3 in. CA6-RAP capping layer over 6 in. PGE layer with RPCC aggregate on EB lane. Testing was performed at 5 points (LWD and DCP) selected based on IC map only in the ramp area because of stiff conditions.
10/15/16 (Contract 4662)	5	Embankment	Caterpillar 815 Padfoot Roller	MDP	DC and DCP	Caterpillar 815 padfoot roller was used on Section 4662 (near York Road) and testing was performed with DCP and DC's after compaction work. Plote performed compaction operations with the roller. Proctor information for the material was obtained from Interra Services. The test section was reportedly re-worked after this testing.
10/14/16 (Contract 4642)	P1	PGE	Hamm H11 Smooth Drum Roller	HMV	None.	Production operations by contractor.
10/17/16 (Contract 4642)	P2	PGE	Hamm H11 Smooth Drum Roller	НМ∨	None.	Production operations by contractor.
10/18/16 (Contract 4642)	P6	CA6 Capping	Hamm H11 Smooth Drum Roller	НМ∨	None.	Production operations by contractor.
04/11/17 (Contract 4642)	6	PGE	Hamm H11 Smooth Drum Roller	HMV	LWD and DCP	Remap of test section 1. Testing was performed at 15 points (LWD and DCP) selected based on the IC map.

Table 6. Summary of test sections.

04/12/17 and 04/18/16 (Contract 4642)	7	CA6 Capping Layer	Hamm H11 Smooth Drum Roller Caterpillar CS74B Smooth Drum Roller	HMV CMV and MDP	LWD and DCP	West of test section 1. CA6 Capping Layer (WB Lane) Between Lively Blvd and Wood Dale Road, just east of Wood Dale overpass. In situ testing at 25 selected locations for calibration with LWD and DCP.
04/12/17 (Contract 4642)	8	CA6 Capping Layer	Hamm H11 Smooth Drum Roller	НМ∨	None.	Map over test section 6.
04/18/17 (Contract 4642)	9	CA6 Capping Layer	Hamm H11 Smooth Drum Roller Caterpillar CS74B Smooth	HMV CMV and	LWD and DCP	CA6 Capping Layer (WB Lane) Between Prospect Avenue and Salt Creek Bridge. In situ testing at 15 selected locations for calibration with LWD and DCP on 04/18/16.
04/25/17			Drum Roller Hamm H11 Smooth Drum Roller	MDP HMV		Project 4644 EB Lane between Lively Blvd and
(Contract 4644)	10) PGE	Caterpillar CS74B Smooth Drum Roller	CMV and MDP	None.	IL83 with PGE placed and compacted on 04/23 and 04/24/17. No in situ testing.
04/25/17 to 04/26/17 (Contract 4644)	11	PGE	Hamm H11 Smooth Drum Roller Caterpillar CS74B Smooth Drum Roller	HMV CMV and MDP	None.	Project 4644 WB Lane between Lively Blvd and IL83 with PGE placed and compacted on 04/25/17. No in situ testing.
05/04/17 (Contract 4644)	12	CA6 Capping Layer	Hamm H11 Smooth Drum Roller Caterpillar CS74B Smooth Drum Roller	HMV CMV and MDP	LWD and DCP	Mapping on CA6 layer placed over TS10. Project 4644 EB Lane between Lively Blvd and IL83 with RAP Capping placed on 05/02 to 05/03/17. In situ testing performed at 15 test locations.
06/21/17	13	CA6 Capping Layer	Ingios VIC outfitted Smooth Drum Roller	VIC	Cyclic APLT,	Thorndale Ave (EB), between Hamilton Lakes Dr. and N. Arlington Heights Rd. In situ testing performed at 20 test locations.
06/22/17	14	Subgrade	Ingios VIC outfitted Smooth Drum Roller	VIC	LWD, and DCP	Thorndale Ave (EB), just West of Hamilton Lakes Dr. overpass. In situ testing performed at 6 test locations.
06/23/17	15	PGE	Ingios VIC outfitted Smooth Drum Roller	VIC	Static APLT	PGE placed over TS14 subgrade. In situ testing performed at 10 test locations.

Parameters	Subgrade (TS14) ¹	Embankm ent Fill (TS5) ¹	Large RAP Haul Road (TS3) ²	RAP CA6 Capping (TS4) ²	RAP CA6 Capping (TS13) ¹	Subgrade Aggregate or PGE (TS15) ¹	Subgrade Aggregate or PGE (TS1) ²
Classification	Sandy lean clay	Fat Clay	Well graded gravel with sand	Well graded sand with gravel	Well graded gravel with sand	Poorly graded gravel	Well graded gravel
USCS	CL	СН	GW	GW	GW	GP	GW
AASHTO	A-7-6 (9)	NA	A-1-a	A-1-a	A-1-a	A-1-a	A-1-a
Particle-Sizes							
Max. Particle Size (in.)	3/8		6.5	1.5	1.5	5.0	5.9
% Gravel	1		75	43	68	98	79
% Sand	32	NA	25	55	31	2	19
% Silt	36		0	2	1	0	2
% Clay	31						2
Atterberg Limits							
Liquid Limit	34						
Plastic Limit	17	NA	Non- Plastic	Non- Plastic	Non- Plastic	Non-Plastic	Non-Plastic
Plasticity Index	17						
Standard Proctor							
Optimum Moisture Content (%)	14.0	16.4	NA	NA	NA	NA	NA
Max. Dry Density (pcf)	114	107.9					

Table 7. Properties of soil materials tested

¹Details provided by Interra Services.

²Tests performed by the research team

The gradation results of the large particles (see Figure 9) and accompanying image analyses are provided in Figure 10, 11 and 12. For material less than 3-in. diameter, ASTM C136 was followed. The entire available material in each bucket was sieved. The field imaging method developed at UIUC was followed to identify the sizes of particles above 3 in.

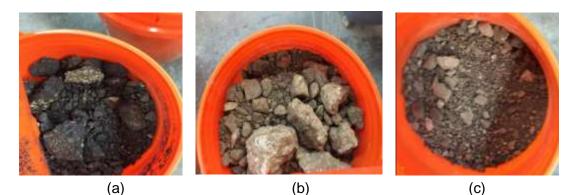


Figure 9. Large aggregate base samples: (a) Large RAP (with sizes above 3-in. unfractionated RAP particles), (b) PGE (with four different sizes above 3-in. particles), and (c) RAP Capping Material (maximum 1-in. size)

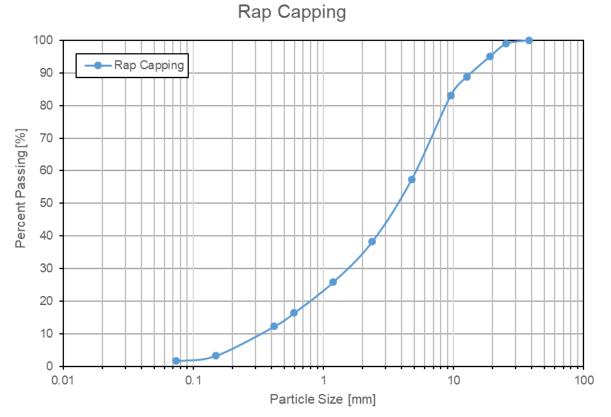


Figure 10. RAP Capping Material (maximum 1-in. size) gradation results

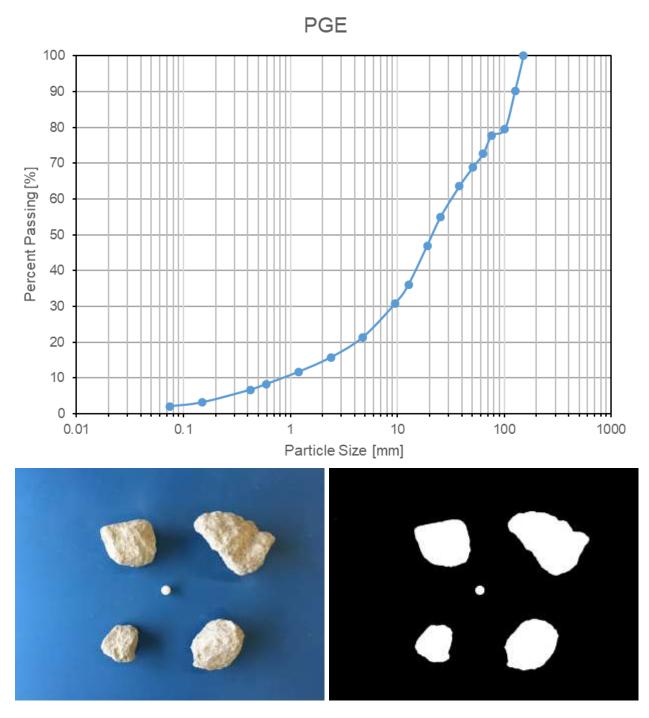


Figure 11. PGE gradation and image analysis results for four large particles above 3-in. diameter according to the approach adopted by Moaveni (2015).

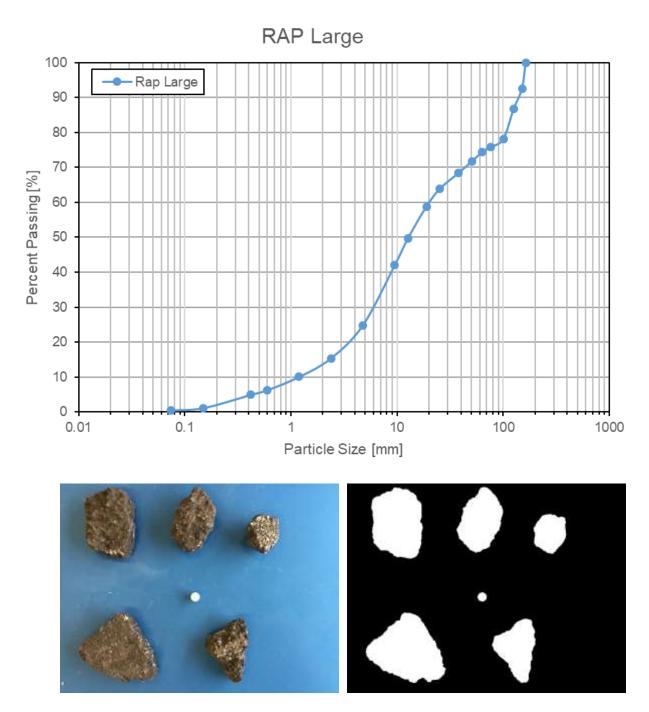


Figure 12. Large RAP gradation and image analysis results for five large particles above 3-in. diameter according to the approach adopted by Moaveni (2015).

3.3 FIELD TESTING METHODS

3.3.1 IC Measurement Values

In this study, four different IC measurement values were evaluated:

- Hamm measurement value (HMV) on Hamm's H11i smooth drum vibratory roller (Figure 13);
- Compaction meter value (CMV) on Caterpillar CS74B smooth drum vibratory roller (Figure 14);
- Machine drive power (MDP) on the CS74 smooth drum roller and Caterpillar 815F padfoot roller (Figure 15), and
- Validated intelligent compaction (VIC) on retrofitted Caterpillar CS56 smooth drum vibratory roller (Figure 16).

The Hamm H11i IC roller weighs about 24,857 lbs. was outfitted with global positioning system (GPS) with corrections from satellite-based augmentation system (SBAS). The Caterpillar CS74B smooth drum vibratory roller weighs about 35,264 lbs. and was outfitted with real-time kinematic (RTK) GPS system that is tied to an onsite GPS bas station. The Caterpillar 815 series padfoot vibratory roller weighs about 45,765 lbs. and was also outfitted with RTK-GPS that is tied to an on-site GPS base station. The CS56 smooth drum roller equipped with retrofit VIC system weights about 27,450 lbs. was outfitted with SBAS-GPS.

The IC-MVs are described in Chapter 2, but a brief description as it relates to the field demonstration projects is provided below.



Figure 13. Hamm H11i vibratory smooth drum IC roller



Figure 14. Caterpillar CS74B smooth drum IC roller



Figure 15. Caterpillar 815F padfoot IC roller



Figure 16. Caterpillar CS6 smooth drum roller with retrofit VIC system

3.3.1.1 Hamm Measurement Value (HMV) or Compaction Meter Value (CMV)

The HMV and CMV are similar and are dimensionless compaction parameter values that depend on roller dimensions (i.e., drum diameter and weight), and roller operation parameters (e.g., frequency, amplitude, and speed) and are determined using the dynamic roller response. They are calculated as the ratio of the acceleration of the first harmonic component of the vibration (A2 Ω) and the acceleration of the fundamental component of the vibration (A Ω) multiplied by a constant value (C). The C value used depends on the manufacturer. As noted in the literature review, the HMV or CMV measurements are influenced by drum bouncing. Based on past studies, HMV or CMV measurements have a measurement influence depth of about 3 to 5 feet.

In this study, HMV value reported by Hamm on the on-board display was different than what was exported. After a close review of the data and communication with representatives at Hamm, it was determined that the outputted values are 10 times higher than the values displayed on the screen. It was unclear which values (reported on screen or the outputted) were the correct ones to use. For this reason, the HMV values reported as part of correlation analysis with the in situ point measurements show 10x higher values than reported in the color-coded maps in this study.

3.3.1.2 Machine Drive Power (MDP)

The MDP value provides a measure of roller sinkage with units of power (e.g., kJ/s). In this study, the MDP value reported on the machine are index values that range between 1 and 150 and are therefore referred to as MDP* from hereafter. MDP* of 150 value represents a hard-compacted surface with MDP close to 0 kJ/s and MDP* of 1 represents a soft condition as defined during calibration.

3.3.1.3 Validated Intelligent Compaction (VIC)

In this study, the VIC was calibrated to produce stress-dependent Mr values and *k*-values. The Mr and *k*-value calibration was performed using APLT testing over a range of ground stiffness

conditions. For comparison purposes, CMV was also simultaneously measured to evaluate the relationships with the in situ point measurements.

3.3.2 Light Weight Deflectometer (LWD) Testing

The LWD was setup with a 11.81-in. diameter plate (Figure 17), and the tests were performed following manufacturer recommendations (Zorn 2003). The elastic modulus values were determined using Equation 7:

$$\boldsymbol{E}_{LWD} = \frac{(1 - \boldsymbol{v}^2)\boldsymbol{\sigma}_0 \boldsymbol{r}}{\boldsymbol{D}_0} \times \boldsymbol{F}$$
(7)

where E_{LWD} = elastic modulus (psi), D_0 = measured peak plate deformation (in.), v = Poisson's ratio (assumed as 0.4), σ_0 = applied stress (psi) = 14.5 psi, r = radius of the plate (in.) = 5.9 in., F = shape factor depending on stress distribution (assumed as 8/3 for granular materials). The measurement influence depth of LWD testing is about 1 to 2 ft. based on the criteria of 1 to 1.5 times the diameter of the loading plate (Mooney et al. 2010, Vennapusa et al. 2012).

3.3.3 Dynamic Cone Penetrometer (DCP) Testing

DCP tests were performed in accordance with ASTM D6951-03. The tests involved dropping a 17.6 lb. hammer from a height of 22.6 in. and measuring the resulting penetration depth (Figure 17). A 30-in. penetrating rod was used. California bearing ratio (CBR) values were determined using Equations 8 and 9, whichever is appropriate, where the dynamic penetration index (DPI) is in units of mm/blow.

$$CBR(\%) = \frac{292}{DPI^{1.12}}$$
 for all materials except CL soils with CBR <10 (8)

CBR (%) =
$$1/(0.017019 \times DPI)^2$$
 for CL soils with CBR <10 (9)

The DCP test results were used to determine an average CBR of a given layer or for a given depth. For tests conducted on 6 in. of PGE over subgrade and 3 in. of RAP over 6 in. of PGE and subgrade, average CBR of the top and bottom layer are reported. The top layer was either the PGE or PGE + RAP, and the bottom layer was the top 12 in. of the subgrade which represents the top subgrade layer. The average value was reported by calculating the DPI value based on the total number of blows taken to the desired depth.



Figure 17. LWD (left) and DCP (right) testing on compacted CA6 capping layer.

3.3.4 Automated Plate Load Testing (APLT)

APLT was developed recently to directly and rapidly measure the in situ M_r and k values through automated cyclic and static plate load testing, respectively (White and Vennapusa 2017). The major advantages of using in situ cyclic testing to determine M_r using APLT is the ability to perform a conditioning stage like a laboratory M_r test (AASHTO T307-99) and obtain M_r values at various cyclic and confining stresses. APLT was used in this study (Figure 18) to measure the composite resilient modulus (M_{r-Comp}), individual top (aggregate base) and bottom (subgrade) layer resilient modulus (M_{r-Base} and M_{r-SG}), and modulus of subgrade reaction k-value. The data analysis procedures are summarized below.

3.3.4.1 In Situ Composite Resilient Modulus

Cyclic APLT using a 12-in. diameter loading plate was conducted to determine in situ composite resilient modulus (M_{r-Comp}) at six different stress levels, which involved one conditioning sequence with 500 cycles followed by six loading steps with 100 cycles each. Average of the last 5 cycles from each step were used for calculations.



<image>

(b) (c) Figure 18. (a) APLT setup; (b) setup for measuring in situ resilient modulus using cyclic testing with 12 in. diameter plate, and (d) in situ modulus of subgrade reaction with static testing with 30 in. diameter plate

The M_{r-Comp} was calculated as the ratio of the cyclic stress divided by the resilient deflection (during unloading) using the Boussinesq's half-space equation:

$$M_{r-Comp} = \frac{(1-\eta^2)\Delta\sigma_{cyclic}r}{\delta_r} \times F$$
(10)

where: M_{r-Comp} = in situ composite resilient modulus (psi), δ_r = the resilient deflection of plate during the unloading portion of the cycle (determined as the average of three measurements along the plate edge), η = Poisson's ratio (assumed as 0.4), $\Delta \sigma_{cyclic}$ = cyclic applied stress (psi), r = radius of the plate (in.), F = shape factor depending on stress distribution (assumed as 8/3 for granular materials). Using the criteria of 1 to 1.5 times the plate diameter for measurement influence depth, the M_{r-Comp} values have an influence depth of about 1 to 1.5 ft.

The M_r parameter is a highly stress-dependent parameter, and most soils exhibit the effects of increasing stiffness with increasing bulk stress and decreasing stiffness with increasing shear stress.

The APLT testing program was designed to assess the in situ composite resilient modulus at six different stress levels. The results were used to model the behavior using the "universal" model (AASHTO 2015):

$$\boldsymbol{M}_{r} = \boldsymbol{k}_{1}^{*} \boldsymbol{P}_{a} \left(\frac{\boldsymbol{\theta}}{\boldsymbol{P}_{a}}\right)^{\boldsymbol{k}_{2}} \left(\frac{\boldsymbol{\tau}_{oct}}{\boldsymbol{P}_{a}} + 1\right)^{\boldsymbol{k}_{3}^{*}}$$
(11)

where M_r = in situ resilient modulus (psi); P_a = atmospheric pressure (psi); θ = bulk stress (psi) = $\sigma_1 + \sigma_2 + \sigma_3$; σ_1 = applied cyclic stress ($\Delta\sigma_{cyclic}$) used in M_{r-comp} calculations because there is no confining stress at the surface; $\sigma_2 = K_o \sigma_1$; $\sigma_3 = \sigma_2$, K_o = coefficient of lateral earth pressure at rest = $\eta/(1-\eta)$; η = Poisson's ratio assumed as 0.4; r_{oct} = octahedral shear stress (psi) =

 $\sqrt{(\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2}$ /3; and k_1^* , k_2^* , and k_3^* = regression coefficients determined from in situ testing (these coefficients are presented herein with a * to differentiate with the regression coefficients traditionally developed using laboratory test results).

3.3.4.2. In Situ Layered Resilient Modulus

Individual subgrade and base layer resilient modulus values were determined by obtaining resilient deflections measured at radii of 12 in. (2*r*), 18 in. (3*r*), and 24 in. (4*r*) away from the plate center. The test setup is shown in Figure 18b and it is illustrated as shown in Figure 19. The layered analysis measurement system was developed specifically for testing unbound materials and provides average resilient deflections measured over one-third (60 degrees) of the circumference of a circle at the selected radii. This method was designed to improve practices that use point measurements, which are often variable from point-to-point for unbound aggregate materials.

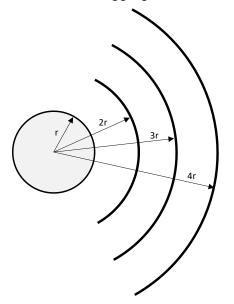


Figure 19. Deflection basis measurement kit positioned at 2r, 3r, and 4r positions (where 'r' is the radius of the plate) from the plate center axis.

Equation 12 as suggested by AASHTO (1993) can be used to determine subgrade layer resilient modulus value:

$$M_{r-SG} = \frac{(1-v^2) \cdot P}{\pi \cdot r' \cdot \delta_{r,r'}}$$
(12)

where M_{r-SG} is in situ subgrade resilient modulus (psi), $\delta_{r,r'}$ is the resilient deflection (in.) during the unloading portion of the cycle at r' = 2r or 3r or 4r away from plate center, ν is the Poisson ratio (assumed as 0.4), and *P* is the cyclic load (lbs.).

AASHTO (1993) suggests that the *r*' must be far enough away that it provides a good estimate of the subgrade modulus, independent of the effects of any layers above, but also close enough that it does not result in a too small value. A graphical solution is provided in AASHTO (1993) to estimate the minimum radial distance based on an assumed effective modulus of all layers above the subgrade and the $\delta_{r=0}$ value. Salt (1998) indicated that if the modulus values are plotted against radial distance *r*, in linear elastic materials such as sands and gravels, the modulus values decrease with increasing distance and then level off after a certain distance. The distance at which the modulus values level off can be used as *r*' in Eq. 4. In some cases, the modulus values decrease and then increase with distance. Such conditions represent either soils with moderate to high moduli with poor drainage at the top of the subgrade or soft soils with low moduli. In those cases, the distance where the modulus is low can be used as *r*' in Eq. 4. In this study, r' = 2r or 3r were used to determine M_{r-SG} .

Ullidtz (1987) described Odemark's method of equivalent thickness (MET) concept which involves transforming a two-layer system into an equivalent thickness h_e with properties of the bottom layer. The h_e is calculated using Equation 13 which can be simplified to Equation 14, if Poisson's ratio (v) is assumed as the same for the two layers:

$$h_{e} = h \times \sqrt[3]{\frac{M_{r1}(1 - v_{1}^{2})}{M_{r2}(1 - v_{2}^{2})}}$$

$$h_{e} = h \times \sqrt[3]{\frac{M_{r1}}{M_{r2}}}$$
(13)
(14)

Using the Boussinesq's solution for linear-elastic materials and Odemark's MET method, Equation 15 from AASHTO (1993) can be solved to determine the resilient modulus of the base layer (M_{r-Base}) :

$$\delta_{c} = (1 - v^{2})\sigma_{0} r f \left[\frac{1}{M_{r-SG} \sqrt{1 + \left(\frac{h}{r} \times \sqrt[3]{\frac{M_{r-Base}(1 - v_{1}^{2})}{M_{r-SG}(1 - v_{2}^{2})}}} + \frac{\left(\frac{1 - \frac{1}{\sqrt{1 + \left(\frac{h}{r}\right)^{2}}}\right)}{M_{r-Base}} \right]$$
(15)

where v_1 and v_2 are Poisson ratios for base and subgrade layer, respectively (assumed as 0.40 for both layers), and *h* is the thickness of the base layer (in.). Past research has shown that stress measurements in two-layer systems of aggregate base over compressible subgrade are very similar to those predicted by Boussinesq's analysis (e.g., McMahon and Yoder, 1960; Sowers and Vesic, 1961).

The two-layered analysis using the Odemark method is applicable for conditions with moduli values decreasing with depth (i.e., hard over soft), preferably by a factor of at least two between the consecutive layers (Ullidtz 1987). Ullidtz (1987) also noted that the he should be larger than the radius of the loading plate, i.e., $h_e/r > 1$.

The M_{r-SG} and M_{r-Base} values were calculated at different applied stress levels from layered analysis to assess the stress-dependent behavior of each layer. Like in situ composite Mr values, the calculated M_{r-SG} and M_{r-Base} values were used to model the behavior using the "universal" model (AASHTO 2015) shown in Equation 11.

In modeling M_{r-Base} behavior, the bulk stress (θ) values are the same as the σ_{cyclic} stress. In case of M_{r-SG} , the θ values were calculated using the following steps:

- The applied cyclic stress at the base/subgrade interface was calculated using the KENLAYER layered elastic analysis program. The interface stresses are a function M_{r-Base}/M_{r-SG} ratio, thickness of the base layer, radius of the plate, and the applied cyclic stress at the surface (see Huang 2004). The stresses were calculated at the center of the plate.
- The applied vertical stress (σ₁) is calculated by adding the calculated cyclic stress at the interface and confining stress due to the aggregate layer over the subgrade (calculated assuming a total unit weight of 130 pcf and layer thickness).
- The horizontal stresses (σ_2 and σ_3) were calculated using the procedure described under M_{r-Comp} determination discussion, assuming v = 0.4 for subgrade.
- The bulk stress (θ) values were calculated as the sum of σ_1 , σ_2 , and σ_3 .

The analysis approach descried above assumes a flexible loading plate with uniform stress distribution at the surface and the assumption that both subgrade and base layers are linear elastic with homogenous conditions. The calculated stress values at the interface should therefore be considered approximate.

3.3.4.3. Modulus of Subgrade Reaction

Static plate load tests were conducted in general accordance with the AASHTO standard for non-repetitive loading using static plate load test (AASHTO T222-81) to determine k value using a 30-in. diameter loading plate setup shown in Figure 18c. A thin layer of fine silica sand was used as a bedding material for all tests. Using the criteria of 1 to 1.5 times the plate diameter for measurement influence depth, the k values determined using the 30-in. diameter loading plate have an influence depth of about 2.5 to 3.8 ft.

The test standard requires increasing applied stresses up to 30 psi in 5 psi increments. In this study, applied stresses were increased up to a maximum of at least 15 psi in 2.5 psi increments. The test was performed for two loading cycles. Plate deformations were measured at three locations along the edge of the plate. The uncorrected k value was determined using Equation 16.

$$k'_{u} = \frac{10psi}{\delta}$$
(16)

where k'_{u} = uncorrected modulus of subgrade reaction (pci), δ = deformation corresponding to the 10psi loading increment (inches). In this study, a plot of applied stress on x-axis and average plate deflection on y-axis is prepared for the two loading cycles. Then a second order polynomial curve is fit separately for both first and second loading cycles, using model shown in Equation 17:

$$y = a_1 x^2 + a_2 x + a_3$$
(17)

where y = deflection in inches; x = applied stress in psi; a_1 , a_2 , and $a_3 =$ regression coefficients. To assess the quality of the regression fit, the coefficient of determination (R²) value is determined. A minimum R² value of 0.98 has been established as required to achieve acceptable results.

Using the second order polynomial fit parameters the average plate deflections corresponding to a target applied stress (σ) are computed using the following equations for the first and second load cycles, respectively:

$$\delta_i = a_1 \sigma^2 + a_2 \sigma$$
 for 1st loading cycle (18)

$$\delta_r = a_1 \sigma^2 + a_2 \sigma$$
 for 2nd loading cycle (19)

In this study a target applied stress of $\sigma = 10$ psi has been used. The $\dot{k_u}$ values calculated for 1st and 2nd loading cycles are reported as $\dot{k_{u(1)}}$ and $\dot{k_{u(2)}}$, respectively. The $\dot{k_u}$ values were then corrected for plate bending to determine k_u following the procedure described in AASHTO T222 and the following Equation for $\dot{k_u} \ge 100$ pci and ≤ 1000 pci.

$$k_u = -39.9178 + 5.5076 \times \left[k_u\right]^{0.7019}$$
⁽²⁰⁾

The k_u values calculated for 1st and 2nd loading cycles are reported as $k_{u(1)}$ and $k_{u(2)}$, respectively.

3.3.4 Drive Core Testing

Drive core testing (see Figure 20) was performed in accordance with ASTM D2937 to determine in situ moisture and dry density of cohesive subgrade materials. The drive cylinders extracted from the subgrade layer were carefully wrapped and sealed in plastic bags, and stored in a cooler in a humid environment, and were transported to the laboratory for processing.

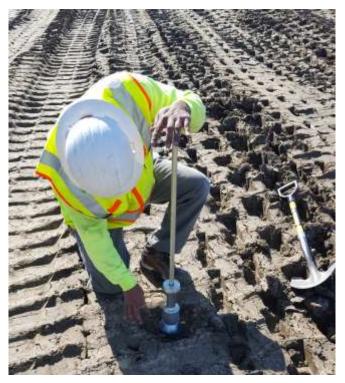


Figure 20. Drive core test equipment.

3.4 FIELD TESTING RESULTS

In this section, results from field testing conducted in different test sections are presented separately for each of the IC-MV technologies along with relevant pictures taken during field testing activities, key observations, color-coded IC-MV maps, selected in situ test measurements, calibration test results, and a statistical summary of IC-MVs and field measurement values. IC-MV maps and individual test results (e.g., DCP profiles) are presented for selected test sections to highlight some of the key observations. All results are included in the Appendices.

The technology providers' software was used to export color-coded images of IC-MVs and export the raw data and perform calibration analysis. For calibration/regression analysis, the GPS coordinates of the in situ point measurement were spatially paired with the GPS referenced IC-MVs from each roller. The in situ test location GPS coordinates were obtained using contractors' RTK-GPS equipment that is tied to an on-site base station or using the rover on the IC roller. The spatial pairing was performed in ArcGIS software. Compaction reports are also generated by the research team for each test section and are included in Appendix B. LWD and DCP test results are summarized in the following sections and raw test results are included in Appendix C.

3.4.1 Calibration Testing and Analysis – Hamm H11 HMV Measurements

HMV IC-MV measurements were obtained from 12 test sections, of which 6 consisted of nominal 6 in. thick PGE layer at the surface and the remaining 6 consisted of nominal 3 in. thick CA6 capping layer placed over the PGE layer at the surface. In situ tests were conducted at a total of 135 test locations for calibration analysis, of which 70 were obtained on PGE test sections and the remaining 135 on CA6 test sections. Test locations were selected using a systematic-random approach.

Pictures of test sections with PGE and CA6 capping layers where HAMM IC-MVs were obtained are shown in Figure 21. A screenshot of HMV IC-MV map from TS1 is shown in Figure 22. On TS1, 25 LWD test measurements were obtained across the pavement width, with either 2 or 3 test measurements in each roller lane. E_{LWD} test results across the pavement width along with the reported HMV value (one at the center of each lane) are also shown for comparison in Figure 22. In addition, DCP profiles showing CBR and cumulative blows with depth are shown for two selected locations with relatively stiff and soft conditions, as identified in the IC-MV map. The initial assessment of these results indicates that the IC-MV maps generally match with the pavement foundation layer parameter values measured using the LWD and DCP point measurements. Similar results are presented in Figure 23 and Figure 24 for TS3 PGE layer test section and TS5 CA6 capping layer test section, respectively.

In Figure 25, HMV IC-MV maps obtained on TS1 in October 2016 are compared with measurements obtained on TS6 in April 2017, in the same area. The two maps are not at the same zoom level, therefore, two locations identified as "A" and "B" are highlighted on each map for reference. Results indicated that average HMV on TS1 was about 9.9 and on TS6 was about 6.2, which suggests the foundation support conditions have weakened in comparison to the Fall 2016 testing, likely due to spring thaw action and saturated subgrade conditions during testing in April 2017. This reduction in foundation support was also confirmed with E_{LWD} measurements which decreased from an average of about 8,083 psi in Fall 2016 to 6,976 psi in April 2017.

In Figure 26, HMV IC-MV map obtained from TS6 on PGE is compared with map obtained from TS8 on CA6 capping layered placed over TS6. Comparison of the two maps reveal that "soft" and "stiff" zones identified on the PGE layer are reflected on the CA6 mapping layer.

Histograms and univariate statistics of HMV measurements obtained from the PGE layer and CA6 capping layer test sections are shown in Figure 27. The coefficient of variation in the HMV values

were 84% and 97% in the PGE and CA6 capping layer test sections. These results indicate high variability.

Similarly, E_{LWD} and DCP-CBR measurement histograms are shown in Figure 28 to Figure 30. For DCP-CBR measurements, average of the top layer, i.e., representing the top 6 in. PGE layer in the PGE test sections and the top 9 in. in the CA6 capping layer test sections, and the average of the top 12 in. of subgrade are presented. DCP-CBR of subgrade was more variable (with COV of 110%) than the DCP-CBR of the top PGE or PGE+CA6 layers (with COV of 64 to 67%).

Regression relationships between the HMV IC-MV and in situ test measurements from all the test sections are shown in Figure 31. Simple linear regression trends were used for all the measurements. The regression relationships, the R² value, and the standard error of the estimate are o included in the presentation of results. The regression relationship with E_{LWD} showed the highest R² value of about 0.63 but presented significant scatter. The regression relationships showed R² about 0.14 with DCP-CBR of top layer, and about 0.56 with DCP-CBR of the top 12 in. of the subgrade layer. Results indicate that the HMV measurements are correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top PGE or PGE+CA6 layer.









Figure 21. Pictures showing: (a) PGE layer from TS1 (10/13/16); (b) PGE layer from TS3 (10/13/16); and (c) CA6 Capping layer from TS4 (10/14/16).

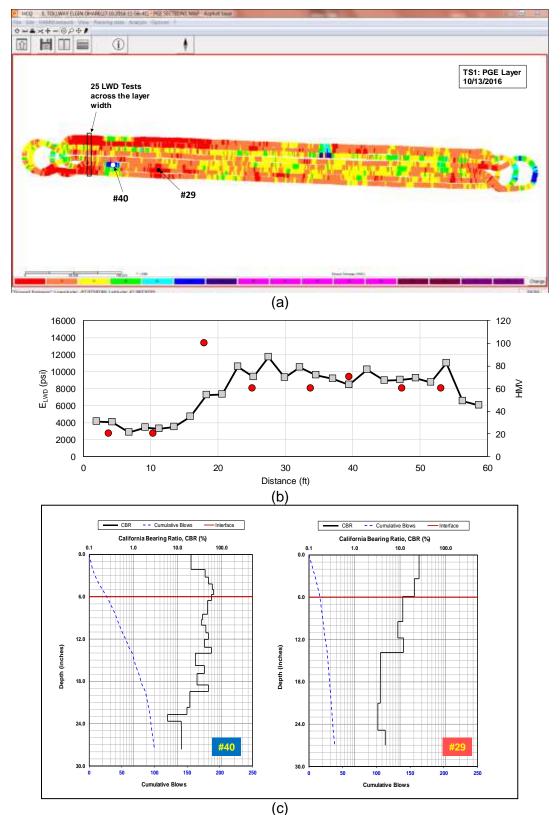


Figure 22. (a) Color-coded spatial map of HMV from TS1; (b) E_{LWD} test measurements across the PGE layer width (red dots are the HMV values); and (c) DCP profiles at two selected test locations shown on the HMV map.

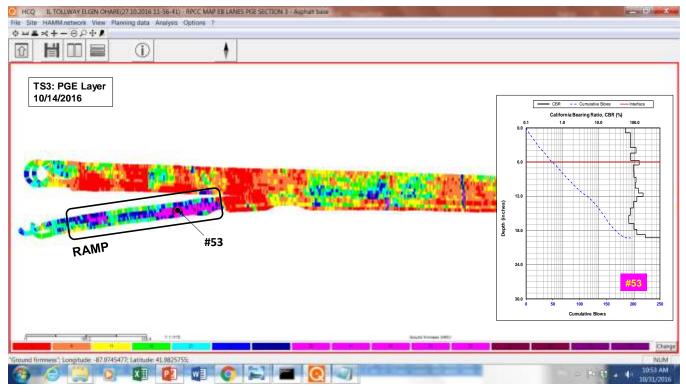


Figure 23. Color-coded spatial map of HMV from TS3 on PGE layer along with DCP profile at test location #53 representing very stiff conditions.

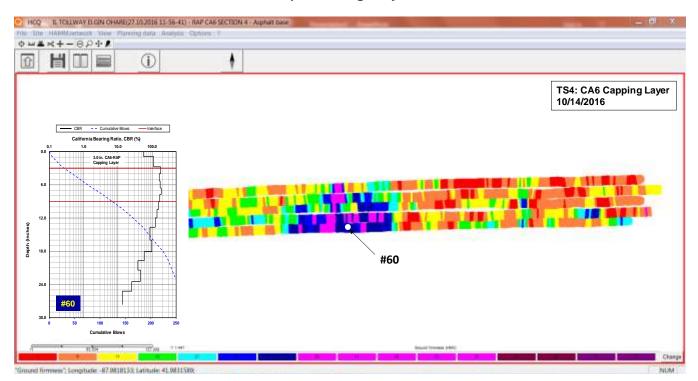
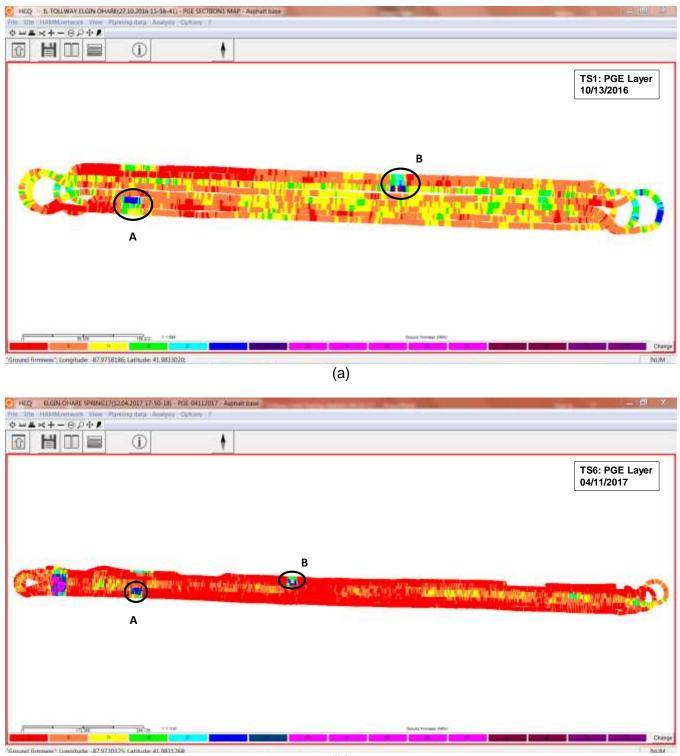


Figure 24. Color-coded spatial map of HMV from TS4 on CA6 capping layer along with DCP profile at test location #60 representing very stiff conditions.



(b)

Figure 25. Color-coded spatial map of HMV from: (a) TS1 PGE layer from 10/13/16, and (b) TS6 PGE layer from 04/11/17. (Note: The two maps have different zoom scale and zones labeled as "A" and "B" are shown as reference points between the two maps. Average HMV from TS1 = 9.9 and TS6 = 6.2)

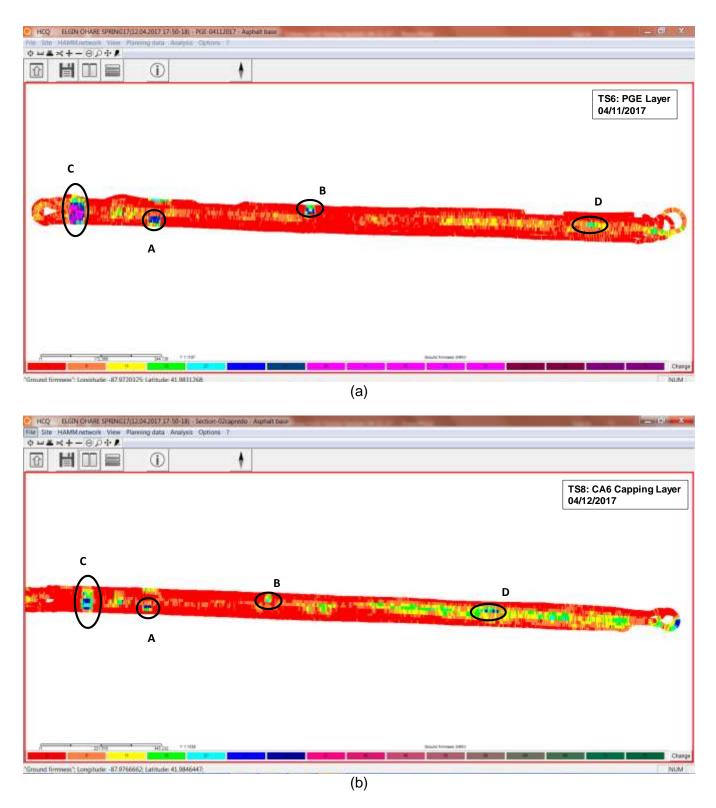


Figure 26. Color-coded spatial maps of HMV from PGE and overlaid CA6 capping layer: (a) TS6 PGE layer from 04/11/17, and (b) TS8 Ca6 capping layer rom 04/12/17. (Note: The zones labeled as "A" to "D" are shown as reference points between the two maps. Average HMV from TS6 = 6.2 and TS8 = 7.8).

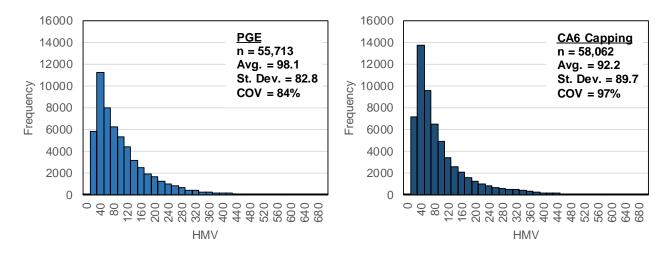


Figure 27. Histograms of HMV measurements on PGE layer test sections [TS1, 2, 3, and 6] (left) and CA6 capping layer test sections [TS4, 7, 8, 9, and 12] (right).

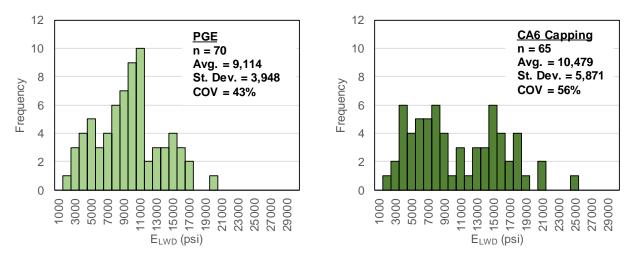


Figure 28. Histograms of E_{LWD} measurements on PGE layer test sections [TS1, 2, 3, and 6] (left) and CA6 capping layer test sections [TS4, 7, 8, 9, and 12] (right).

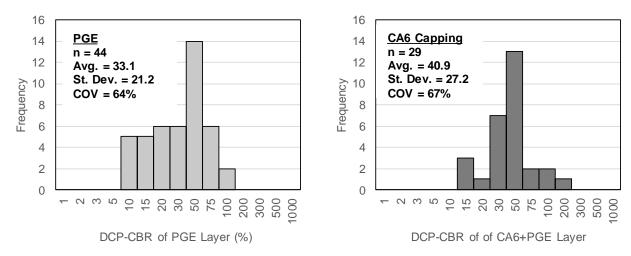


Figure 29. Histograms of DCP-CBR measurements of the top layer on PGE layer test sections [TS1, 2, 3, and 6] (left) and CA6 capping layer test sections [TS4, 7, 8, 9, and 12] (right).

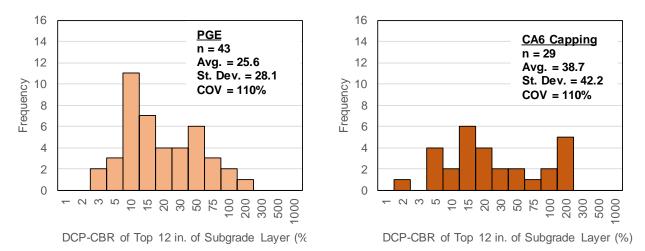
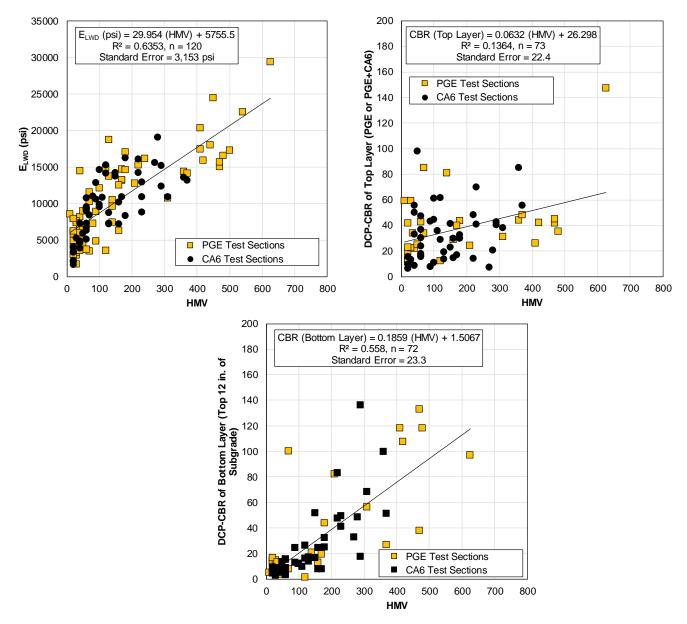
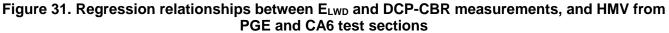


Figure 30. Histograms of DCP-CBR measurements of the bottom subgrade layer on PGE layer test sections [TS1, 2, 3, and 6] (left) and CA6 capping layer test sections [TS4, 7, 8, 9, and 12] (right).





3.4.2 Calibration Testing and Analysis – CMV and MDP Measurements

3.4.2.1 Caterpillar 815F Padfoot IC roller

The Caterpillar 815 padfoot IC roller was used by the contractor in embankment fill construction areas. The research team conducted field testing in TS5 in October 2016, with in situ drive core and DCP testing. Testing was conducted after compaction operations were completed. Pictures during fill placement and compaction on TS5 are shown in Figure 32. Screen shots of IC data showing maps of elevation, pass count, and MDP* summaries are shown in Figure 33 to Figure 35. In situ drive core test results relative to laboratory Proctor test results are shown in Figure 36, which showed that the embankment fill material was relatively wet and near the zero-air void line. GPS referenced in situ test

locations were compared with the IC data and was found that no IC data was recorded in the area where in situ testing was performed (Figure 37). The reason for this issue could not be resolved. The test area was reportedly later rejected by the field QA inspector and was reworked. No additional analysis was performed on this data.



Figure 32. Pictures of embankment fill area (TS5) on 10/14/2017.

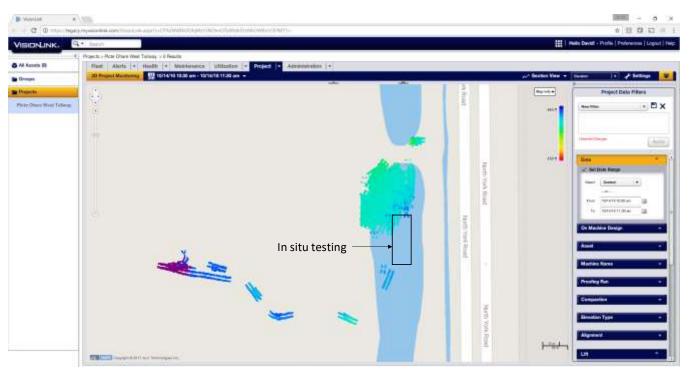


Figure 33. Screenshot of elevation map in TS5 embankment fill area.

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Figure 34. Screenshot of MDP* summary in TS5 embankment fill area.

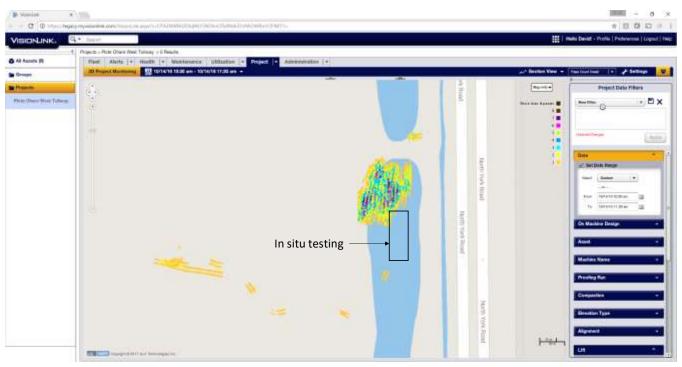


Figure 35. Screenshot of pass count summary in TS5 embankment fill area.

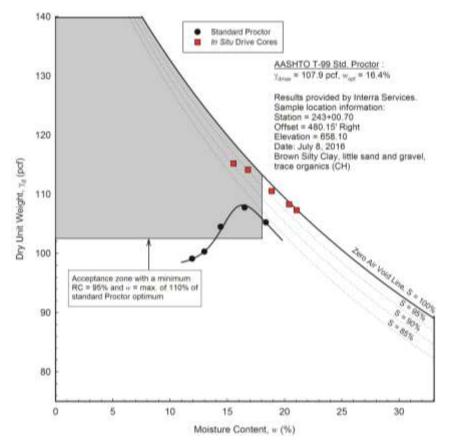


Figure 36. In situ dry density and moisture content measurements from drive core testing overlaid on standard Proctor test results for embankment fill material.

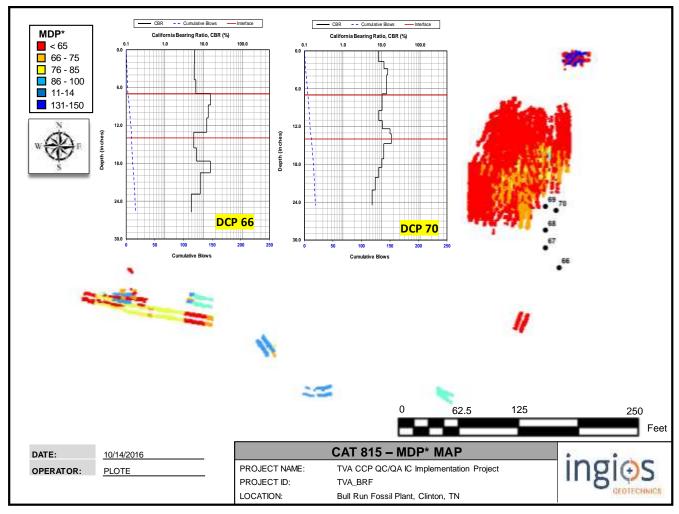


Figure 37. GPS referenced MDP* measurements map overlaid with in situ test locations and DCP-CBR profiles at two selected test locations

3.4.2.2 Caterpillar CS74 Smooth Drum IC roller

CMV and MDP* measurements were obtained at five test sections, of which two consisted of nominal 6 in. thick PGE layer at the surface and the remaining three consisted of nominal 3 in. thick CA6 capping layer placed over the PGE layer at the surface. In situ tests were conducted at a total of 35 test locations for calibration analysis.

Pictures of a test sections with PGE and CA6 capping layers where the IC maps were obtained are shown in Figure 38. Screenshots of the CMV and MDP* maps from TS10 and TS11 with PGE material are shown in Figure 39. The mapping in TS10 and TS11 was performed by the contractor, and no in situ testing was available for those sections. Screenshots of the CMV and MDP* maps from TS7 with CA6 capping layer test section is shown in Figure 40. On TS7, in situ tests were obtained from 15 test locations selected based on the CMV map to capture the variations observed in the map. DCP profiles showing CBR and cumulative blows with depth are shown in Figure 41 for two selected locations with relatively stiff and soft conditions, as identified in the CMV map.

Histograms and univariate statistics of CMV and MDP* measurements obtained from the PGE layer and CA6 capping layer test sections are shown in Figure 42. The coefficient of variation in the CMV values were 50% and 80% in the PGE and CA6 capping layer test sections, respectively. The

MDP* measurements showed lower COV with \leq 10%. As identified in the literature review, MDP* has a relatively shallow measurement influence depth (1 to 2 ft) compared to CMV measurements (3 to 5 ft). The shallow influence depth of MDP* and the fact that the DCP measurements showed variability in the subgrade was greater than in the top PGE and PGE+CA6 layer, and the narrow measurement range of MDP* are likely the reasons why the COV of MDP* was very low.

Regression relationships between the CMV and in situ test measurements from all the test sections are shown in Figure 43. Non-linear power relationship was observed for CMV vs. E_{LWD} with R^2 of 0.65, and for CMV vs. DCP-CBR of the top CA6+PGE layer with R2 of 0.16. CMV vs. DCP-CBR of the subgrade layer yielded a linear relationship with $R^2 = 0.59$. Like HMV regression relationships, results indicate that CMV is correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top layer

Comparison between MDP* and in situ test measurements is shown in Figure 44. Regression relationships between the CMV and in situ test measurements from all the test sections are shown in Figure 44. No statistically significant relationship was observed between MDP* and in situ test measurements in the test sections.



Figure 38. Pictures of CA6 capping material from PGE material from TS11 (middle-04/25/17) and CA6 material from TS12 (bottom-05/04/17)



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Figure 39. Screenshot of CMV and MDP* summary maps for TS10 and TS11 with PGE material.

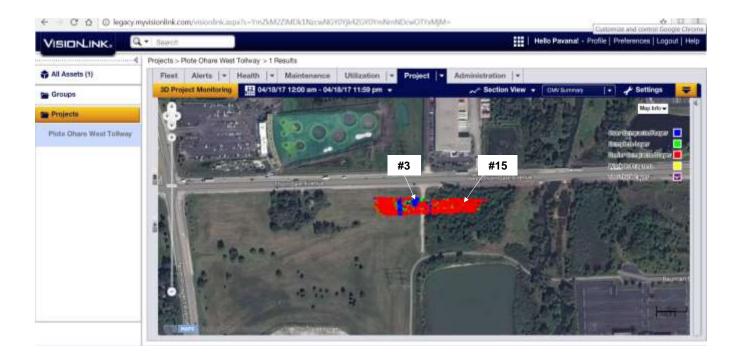
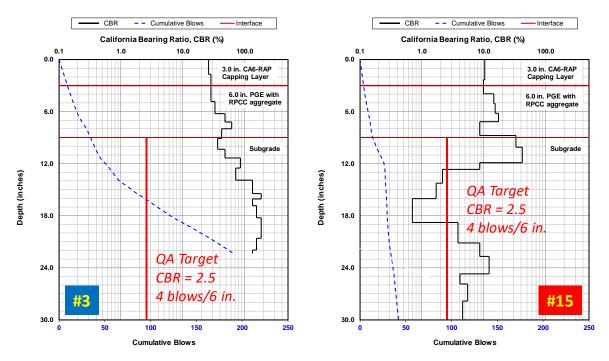




Figure 40. Screenshot of CMV and MDP* summary maps for TS9 with CA6 capping layer (Note: #3 and #15 are test locations representing very stiff and soft conditions)





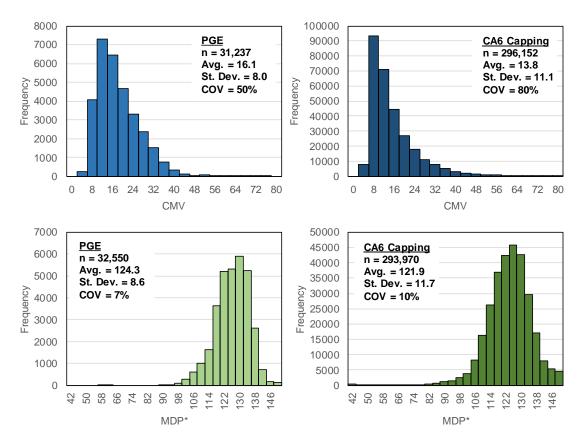


Figure 42. Histograms of CMV and MDP* measurements from PGE test sections (TS10 and 11) and CA6 test sections (TS7, 9, and 12).

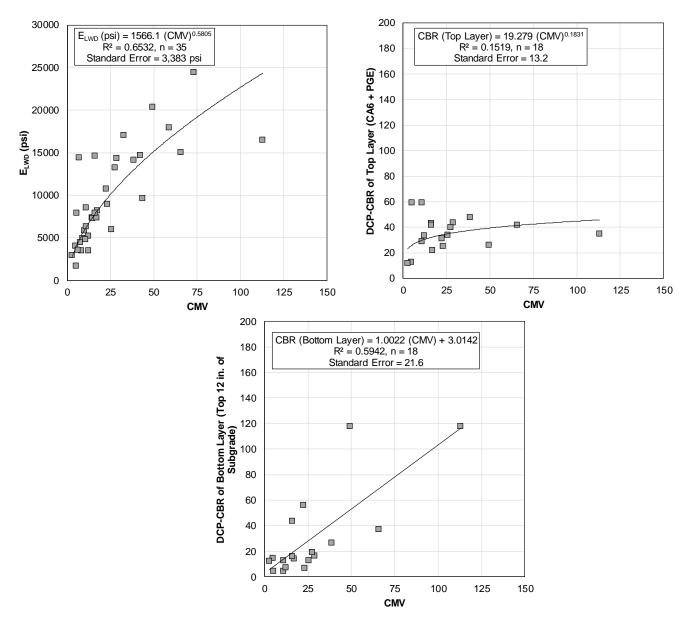
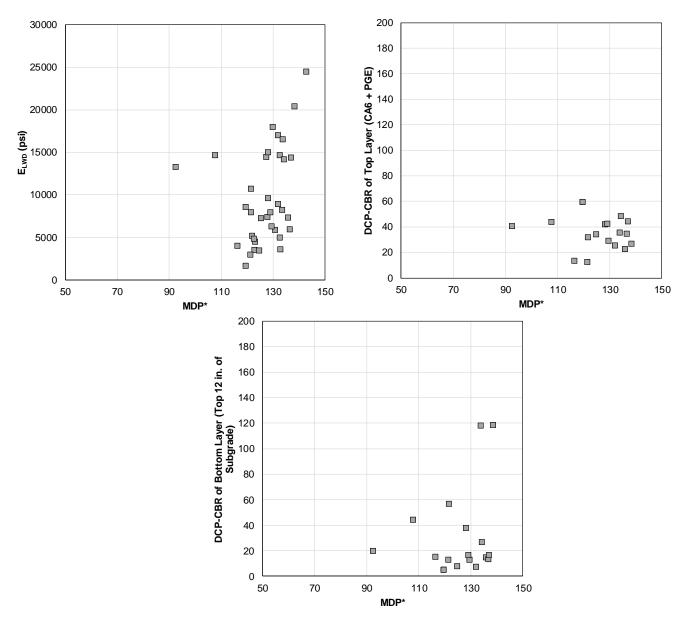


Figure 43. Regression relationships between E_{LWD} and DCP-CBR measurements, and CMV measurements





3.4.3 Calibration Testing and Analysis – VIC Measurements

VIC field calibration was performed with cyclic APLTs on 3 in. thick RAP base material over 6 in. thick PGE and compacted subgrade material, and directly on compacted subgrade material to capture a wide range of stiffness conditions. Static 30 in. APLTs were performed on 6 in. PGE over compacted subgrade to calibrate with static *k* measurements. CMV measurements were also simultaneously obtained for field calibration. Pictures of test sections where VIC calibration was performed are shown in Figure 45.



(c) Figure 45. Pictures of (a) CA6 capping layer on TS13; (b) subgrade on TS14; and (c) PGE layer on TS15.

Results of both VIC and CMV calibrations with cyclic APLT measurements showing measured versus predicted M_{r-Comp} values at 15 psi cyclic stress are shown in Figure 46. The 15-psi cyclic stress level is selected here as an example, to match with the stress level applied with LWD test measurements, but M_{r-Comp} at all other stress levels measured (5 psi to 40 psi) showed similar trends and R^2 values, and are included in Appendix C. E_{LWD} measurements were also obtained at these test locations, and the calibration results are also shown in Figure 46.

 $VIC - M_{r-Comp}$ calibration measurements showed R² of 0.92 and a standard error of about 7,200 psi for M_{r-Comp} values that ranged between 4,800 and 95,000 psi. For the same M_{r-Comp} data set, the CMV calibration measurements showed R² of 0.23 and a standard error of about 21.9 ksi. The two points that fell far away from the best fit regression line are test locations that where the roller drum experienced jumping because of relatively stiff conditions. As noted earlier in the literature review, it is well-documented that the CMV measurements are influenced by drum bouncing (Brandl and Adam 1997, Mooney et al. 2010, Vennapusa et al. 2011).

The VIC – E_{LWD} calibration showed R² of 0.7 with standard error of about 3,500 psi for E_{LWD} values that ranged between 700 psi to 21,300 psi. For the same E_{LWD} dataset, the CMV calibration showed R² of 0.56 with standard error of about 4,200 psi. Results show that the E_{LWD} measurements were on average about 3 times lower than the M_{r-Comp} values obtained at similar applied stress (~15 psi). It must be noted here that the moduli values obtained from LWD are not the same as M_r . This is because LWD measures peak deflections and not rebound deflections, and conditioning cycles (which can take up to several 100 cycles) are not applied with LWD, which contributes to the quality of the data that can be obtained from an LWD test device. LWD testing method, although provides a rapid measurement, should therefore not be considered a direct measure of M_r or compared directly with the design input parameter value. It is also a well-documented that moduli values provided by different LWD manufacturer can be significantly different (on the order of 2 to 3 times) because of differences in the measured/calculated deflections and applied stresses (Vennapusa and White 2008, Vennapusa et al. 2011).

Results of VIC and CMV measurement calibration with static APLT measurements showing measured versus predicted $k_{u(1)}$ and $k_{u(2)}$ values are shown in Figure 47. The VIC – $k_{u(1)}$ calibration measurements showed R² of 0.92 and a standard error of about 25 pci for $k_{u(1)}$ values that ranged between 26 and 305 pci. Similarly, the VIC – $k_{u(2)}$ calibration measurements showed R² of 0.93 and a standard error of about 195 pci for $k_{u(2)}$ values that ranged between 212 and 2,291 pci. On the other hand, the CMV – $k_{u(1)}$ calibration measurements showed R² of 0.71 and a standard error of about 47 pci for $k_{u(1)}$ values that ranged between 26 and 305 pci. Similarly, the CMV– $k_{u(2)}$ calibration measurements showed R² of 0.74 and a standard error of about 384 pci for $k_{u(2)}$ values that ranged between 212 and 2,291 pci.

Results from the calibration testing and the analysis showed that VIC calibration with both M_{r-comp} and k_u measurements obtained from APLT produced high R² values (≥ 0.90) and with relatively low standard error. Higher R² values and low standard errors suggest higher reliability in future predictions of the respective measurement values in a production area. Following VIC calibration, M_{r-Comp} maps at different stress levels and k_u maps were produced at this site, with the objective of demonstrating the ability to spatially assess the compacted layer properties in terms of mechanical property values (i.e., M_r or k) and directly comparing them with the design target values.

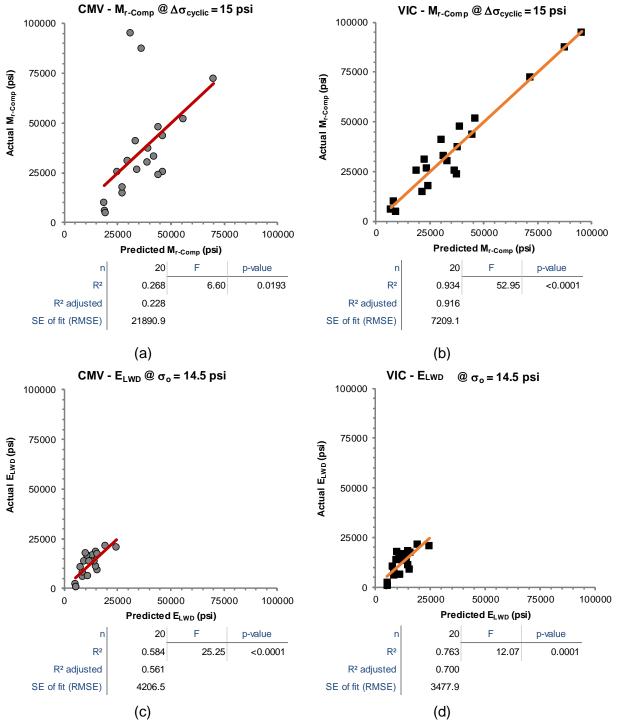


Figure 46. Summary of calibration results showing predicted versus measured values along with a summary of statistics for each calibration relationship: (a) CMV – M_{r-Comp} at $\Delta \sigma_{cyclic} = 15$ psi, (b) VIC – M_{r-Comp} at $\Delta \sigma_{cyclic} = 15$ psi, (c) CMV – E_{LWD} at $\sigma_o = 14.5$ psi, (d) VIC – E_{LWD} at $\sigma_o = 14.5$ psi

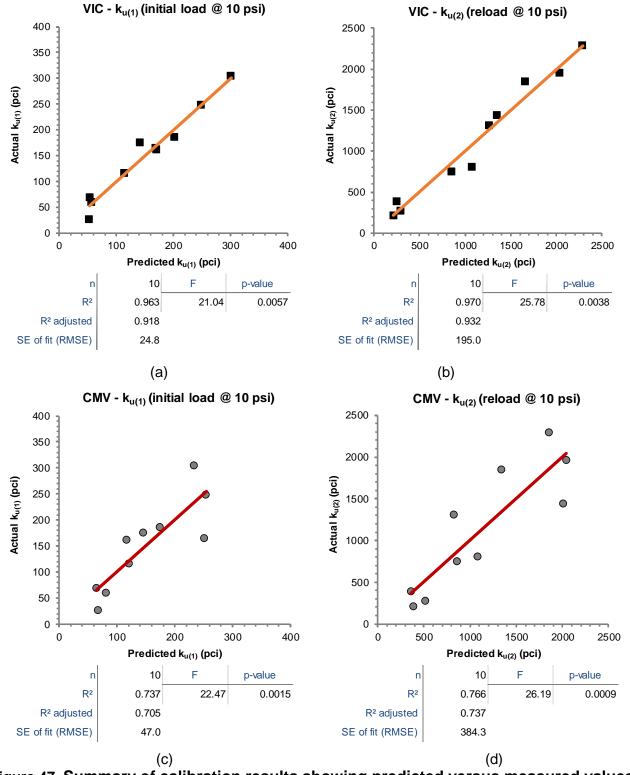


Figure 47. Summary of calibration results showing predicted versus measured values along with a summary of statistics for each calibration relationship: (a) VIC – $k_{u(1)}$ (b) VIC – $k_{u(2)}$, (c) CMV – $k_{u(1)}$, and (d) CMV – $k_{u(2)}$

An example M_{r-Comp} map at 20 psi cyclic stress on a compacted subgrade area is presented in Figure 48. Average M_{r-Comp} of the entire area was about 24.3 ksi with a COV of about 78%. In addition, M_{r-comp} versus cyclic stress results from two selected test locations (labeled as A and B) representing stiff and soft conditions in the area are also included in Figure 48 for reference. Test location A with relatively stiff conditions showed that the M_{r-Comp} values increased with increasing cyclic stress up to about 19 psi and then decreased with increasing stress. On the other hand, at test location B with relatively soft conditions, the M_{r-Comp} values generally decreased with increasing cyclic stress.

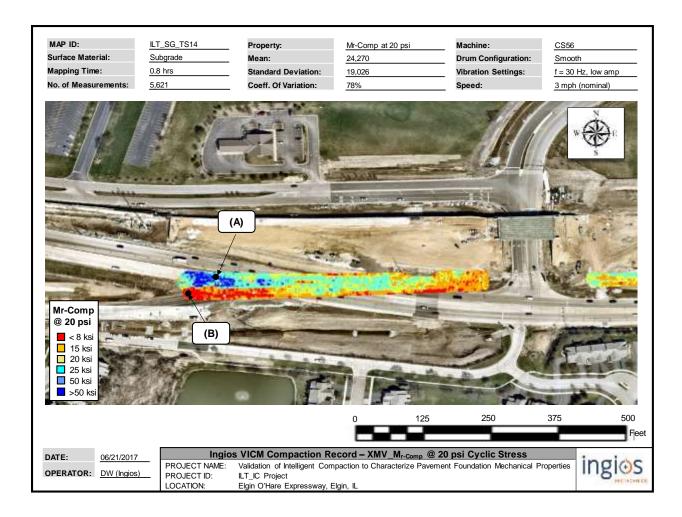
Subgrade conditions under proof-rolling at test location B showed rutting, which is confirmed with the relatively low M_{r-Comp} values (~5 ksi at 15 psi cyclic stress) near that test location. Decreasing M_{r-Comp} with increasing stress is a characteristic of wet or soft cohesive subgrade soils, and test location B is representative of such a condition. On the other hand, an increase in M_{r-Comp} with increasing stress is a characteristic or relatively dry cohesive subgrade soils. Test location A is representative of such a condition. The decrease in modulus beyond 19 psi stress, which is considered a "break point" (BP) stress, is likely because of deeper soil conditions that are wetter than the near surface subgrade materials.

The sharp contrast seen in the M_{r-Comp} map (Figure 48) with a clear boundary of the "red" area, especially near the west half of the test area, is related to how the construction progressed in the area. The final subgrade layer in the area was constructed over nearly a 20+ ft. embankment constructed next to a retaining wall. The south half of the test area was constructed later than the northern half and in a narrow space due to limitations with how the material could be sloped and matched with the progress of the retaining wall construction. The narrow space limitation could be linked to lack of adequate compaction during fill placement in the area.

As VIC measurements were only obtained for research purposes, the mapping results were not used at this site to make field quality assurance decisions. About a 100-ft. long x 30-ft. portion near test location B was recompacted as part of the quality assurance evaluation of the subgrade, as it showed rutting under proof rolling. Pictures of the subgrade during proof rolling near test location B is show in Figure 49.

Immediately after the subgrade repair work, 6 in. PGE layer was placed above the subgrade layer. M_{r-Comp} map of the PGE layer is presented in Figure 50, which showed reflections of the underlying subgrade layer properties when compared with M_{r-Comp} map presented in Figure 48. Average M_{r-Comp} of the entire area was about 28.8 ksi with a COV of about 43%. On average, the M_{r-Comp} on the PGE layer was slightly higher than on the subgrade and the COV is reduced from 78% to 43%.

Static 30 in. plate load tests were conducted on the PGE layer, and results from two select locations (C and D) are presented in Figure 50. Test location C was in a location with relatively stiff subgrade condition and produced $k_{u(1)}$ of 185 pci and $k_{u(2)}$ of 1,847 pci, while test location D was in a location with relatively soft conditions and produced $k_{u(1)}$ of 26 pci and $k_{u(2)}$ of 212 pci. The predicted M_{r-Comp} at test locations C and D were 21.6 ksi and 14.1 ksi, respectively.



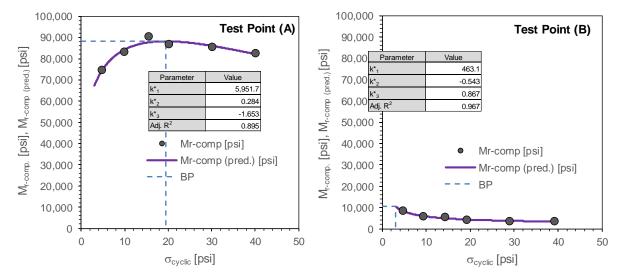


Figure 48. Color-coded map of M_{r-Comp} values at 20 psi cyclic stress on compacted subgrade along with M_{r-Comp} versus cyclic stress at two select test locations – TS14.



Figure 49. Pictures of subgrade during and after proof rolling near southwest corner of TS14

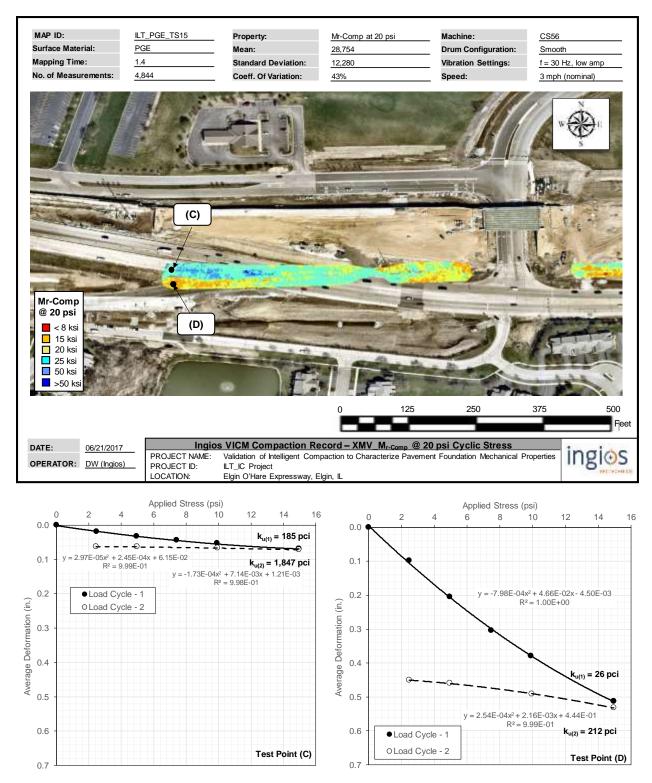


Figure 50. Color-coded map of M_{r-Comp} values at 20 psi cyclic stress on 6 in. of PGE placed over compacted subgrade along with static plate load test results at two select test locations representing stiff and soft ground conditions – TS15.

3.6 SUMMARY OF KEY FINDINGS AND OBSERVATIONS

Field testing was conducted on several test sections with support from the Illinois State Toll Highway Authority on the Elgin O'Hare Western Access Tollway construction project in October 2016, April-May 2017, and in June 2017. Field evaluation was performed on a total of 18 test sections, of which in situ comparison and calibration testing was conducted on 12 test sections. Four different IC-MV technologies were evaluated including: CMV, HMV, MDP, and VIC. The CMV and MDP IC-MVs were obtained from Caterpillar CS74 vibratory smooth drum IC roller, HMV IC-MVs were obtained from Hamm H11 vibratory smooth drum IC roller, and VIC IC-MVs were obtained on a retrofitted Caterpillar CS56 vibratory smooth drum roller. In situ tests included as part of calibration testing were LWD, DCP, and static and cyclic APLT testing.

Tests were conducted on embankment subgrade, PGE, and CA6 capping layer materials. The PGE layer was nominal 6 in. thick and was placed over the subgrade and consisted of poorly graded RPCC material with a maximum particle size of about 5 in. and no fines passing the No. 200 sieve. The CA6 capping layer was about 3 in. thick and was placed on the PGE layer and consisted of well-graded RAP material with a maximum particle size of about 1.5 in. and about 1% passing the No. 200 sieve.

Key findings from calibration testing performed between HMV-IC MVs and in situ point measurements are as follows:

- Regression relationships between the HMV IC-MV and in situ test measurements showed simple linear regression trends. The regression relationship with E_{LWD} yielded the highest R² value of about 0.63 but presented significant scatter. The regression relationships yielded R² about 0.14 with DCP-CBR of the top layer, and about 0.56 with DCP-CBR of the top 12 in. of the subgrade layer. Results indicate that the HMV measurements are correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top PGE or PGE+CA6 layer.
- Comparison between HMV measurements obtained from the same test section with PGE layer material shortly after construction in October 2016 and then after spring-thaw in April 2017 indicated that the foundation support conditions were weaker during the spring-thaw. The average HMV in the area was about 9.9 in October 2016 and reduced to 6.2 in April 2017. This reduction in foundation support was also confirmed with E_{LWD} measurements which decreased from an average of about 8,083 psi in October 2016 to 6,976 psi in April 2017.
- Comparison of HMV measurements obtained from the same area on the PGE layer material and overlaid CA6 capping layer indicated that "hard" and "soft" areas identified in the bottom layer were reflected on the top layer map.

Key findings from calibration testing performed between CMV and MDP* (from CS74B smooth drum vibratory roller) and in situ point measurements are as follows:

- Regression relationships between the CMV and E_{LWD} yielded a non-linear power relationship with R² of 0.65. CMV vs. DCP-CBR of the top CA6+PGE layer also yielded a power relationship with R² of 0.16, while CMV vs. DCP-CBR of the subgrade layer yielded a linear relationship with R² = 0.59. Like HMV regression relationships, results indicate that CMV is correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top layer.
- Variability in CMV measurements (as measured by COV) was 50% and 80% in the PGE and CA6 capping layer test sections, respectively. The MDP* measurements showed lower COV with ≤ 10%. As identified in the literature review, MDP* has a relatively shallow

measurement influence depth (1 to 2 ft) compared to CMV measurements (3 to 5 ft). The shallow influence depth of MDP* and the fact that the DCP measurements showed variability in the subgrade was greater than in the top PGE and PGE+CA6 layer, and the narrow measurement range of MDP* are likely the reasons why the COV of MDP* was comparatively low.

• Although the literature shows other projects where MDP* was statistically meaningful for a range of parameter values, regression relationships comparing MDP* and in situ test measurements did not yield a statistically meaningful relationship for the test sections in this study.

VIC calibration was performed using stress-dependent M_r values from cyclic APLT testing and modulus of subgrade reaction *k*-values from two loading cycles. Key findings and observations from this calibration testing are as follows:

- Results from the VIC calibration with both M_{r-comp} and k_u measurements obtained from APLT produced relatively high R² values (≥ 0.90) and with relatively low standard error. Higher R² values and low standard errors suggest higher reliability in future predictions of the respective measurement values in a production area.
- The VIC E_{LWD} calibration showed R² of 0.7 while the CMV E_{LWD} calibration showed R² of 0.56.
- The CMV calibration with M_{r-Comp} produced R² of 0.23 and k_u produced R² of about 0.71-0.74.
- Results show that the E_{LWD} measurements were on average about 3x lower than the M_{r-Comp} values obtained at similar applied stress (~15 psi). The moduli values obtained from LWD measurements are not the same as in situ resilient modulus, Mr. This is because LWD measures peak deflections and not rebound deflections, and conditioning cycles (which can take up to several 100 cycles) are not applied with LWD, which limits the usefulness of the E_{LDW} data as a pavement design verification value.
- VIC M_{r-Comp} maps in a subgrade area identified a sharp contrast with a clear boundary of relatively low M_r and high M_r values. The sharp contrast and the relatively low M_r values was linked to the construction materials and process control followed in the area. Traditional QC/QA inspection did not reveal the very high variability in M_r in the test area.
- A comparison of VIC M_{r-Comp} map obtained on PGE layer with the map obtained on the underlying subgrade layer showed reflections of the soft and stiff areas in the subgrade layer. The average M_{r-Comp} increased slightly on the PGE layer (from 24.2 ksi on the subgrade to 28.8 ksi on the PGE) while the COV decreased from about 78% on the subgrade to about 43% on the PGE layer.

CHAPTER 4 IC CERTIFICATION PROCESS AND GUIDE SPECIFICATION

4.1 SPECIFICATION OVERVIEW

In this chapter, guidance for future IC specification for pavement foundation subgrade (and improved subgrade), subbase, and base layer materials, with focus on the certification process is provided. Key attributes of the IC specifications typically include the following:

- Descriptions of the rollers and configurations,
- Guidelines for roller operations (speed, vibration frequency, vibration amplitude, and roller overlap),
- Records to be reported (time of measurement, roller operations/mode, soil type, moisture content, layer thickness, etc.),
- Repeatability and reproducibility measurements for IC measurement,
- Ground conditions (smoothness, levelness, isolated soft/wet spots)
- Calibration/certification procedures for rollers and selection of calibration areas,
- Regression analysis between IC measurements and point measurements,
- Number and locations of QC and QA tests,
- Operator training,
- Acceptance procedures/corrective actions based on achievement of required IC measurements (e.g., minimum value and uniformity), and
- Basis of payment

Common language for many of these attributes can be adopted from the current specifications, although language describing the calibration procedures, certification requirements, use of the IC measures for QC versus QA, and reporting requirements are poorly defined in the current specifications. Field calibration of the IC-MVs with mechanical property values with an independent certification process is an important task to successfully implement IC for field verification/QA. Some key aspects that are considered in developing the certification process are as follows:

- <u>Uncertainty associated with the IC-MV versus mechanical property relationships</u>: This is with R² values and standard error in prediction values. The higher the R² value and the lower the standard error in prediction values, the higher is the confidence in the mechanical property target value and subsequent identification of areas of non-compliance. Based on the review of literature, authors' experience, and field demonstration projects conducted as part of this project, R² values > 0.9 is achievable.
- <u>Impacts of factors affecting the IC-MVs</u>: Numerous factors such as machine operational parameters (i.e., speed, frequency, and amplitude) affect the IC-MVs. In general, the operational parameters used during field calibration work should be the same as the parameters used in the production area. Other factors such as soil layering, in situ moisture content, and post-construction saturation are key factors and should be considered in a specification. An approach to link design values for individual layers versus composite values measured by the IC is needed to address this issue. This issue is particularly important for pavement foundation layer construction.

 Impact of factors affecting the in situ test measurements: Impacts of soil layering and moisture content are important to assess in case of in situ test measurements, like the IC-MVs. An approach to link design values for individual layers versus composite values measured is needed to address this issue.

4.2 KEY COMPONENTS OF IC SPECIFICATIONS

The new specification will primarily involve developing a process that will ensure obtaining repeatable IC measurements with high degree of confidence in relating mechanical properties of compacted materials to the IC-MVs, and then use the calibrated IC-MVs for site wide QA. The process involves three key components as illustrated graphically in Figure 51: (a) Design – where target values are determined for field QA, based on design input parameters assumed for pavement thickness design, (b) field calibration and certification, and (c) site-wide verification or QA.

The part (a) design component does not have to be fully included in the specification but is a process that the agency must undertake to develop the target value that is to be used in the specification. This will help establish the methods and means required to conduct the in situ test measurement for calibration of IC-MVs. This process is important because the pavement design input properties either relate to composite pavement layer properties or individual layer properties, and the method followed to determine these properties (i.e., static or cyclic test and plate size, etc.) will affect the target value.

4.2.1 Establishing field QA Target Values with Link to Design Inputs

The target value determination will depend on the pavement design methodology followed and the input parameters used in the design. For example, a rigid pavement design per AASHTO (1993) or PCA (1984) requires modulus of subgrade reaction *k*-value or a composite modulus of subgrade reaction *k*-comp-value as the key foundation input parameter. These values are obtained from a static plate load test performed using a 30-in. diameter loading plate. As another example, in AASHTOWare ME Pavement Design (AASHTO 2015), the key input parameter is resilient modulus (M_r). M_r is a stress-dependent parameter and therefore should be tied to a representative stress condition depending on the layer that is being tested. Further, if the design assumed individual layer properties, a target composite value for a given plate size should be determined. A flow chart of that process is illustrated in Figure 52.

4.2.2 Field Calibration and Certification Process

The specification should require the IC-MV system is certified and independently calibrated such that the outputs and the display are a measure of the stiffness/modulus values as defined in Section 4.1.1. This calibration record must be certificated by an independent professional. Certified calibration test results comparing predicted and measured IC stiffness measured values should demonstrate a coefficient of determination (R^2) \geq 0.90. In addition, the IC results must be displayed to the roller operator on a color-coded computer screen in real-time and the data must be saved on board for viewing. Results should also be available for viewing remotely during the rolling operations. The color-coding should be adjustable and should be selected by the Engineer, about the target value.

During the field calibration process, the IC machine must be operated using the same operational parameter settings (i.e., speed, amplitude, frequency, and direction of travel) as would be used in production mapping. Operate the machine according to the IC technology provider and roller manufacturer's recommendations to provide reliable and repeatable measurements. A minimum of 12 test points will be required to establish the IC stiffness calibration. Calibration should be performed over the full range of ground stiffness conditions anticipated on the project site. Check, verify and expand the

field calibrated results for the IC equipment to ensure proper performance. If the IC results fall outside the limits set initial field calibration, additional tests shall be performed to further expand the calibration.

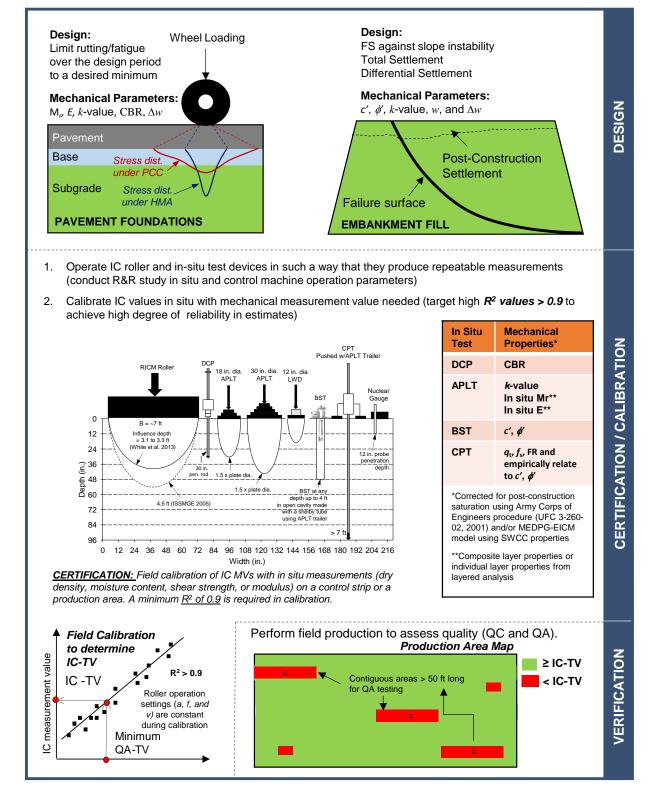


Figure 51. Preliminary concept of field certification / calibration and verification process in relationship with design assumed mechanical properties.

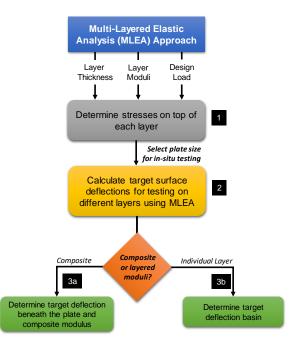


Figure 52. Flow chart to determine in situ target moduli values based on design input parameters using layered elastic analysis.

4.2.3. Field Verification/QA Process using IC Stiffness Mapping

The IC stiffness mapping should be performed on all compaction layers, and prior to placing of new fill layer. The mapping area (i.e., lot size) can be defined in the specification per agencies current state-of-the practice. Mapping must be performed in such a way that it covers the full extent of the compaction work area. Overlapping between adjacent roller lanes shall be limited to 10% or less. Keep roller speed and vibration settings (frequency and amplitude) constant during roller operations and within range of what was used during calibration. Permitted variation in vibration frequency is +/-2Hz and permitted variation in roller speed is +/- 0.5 mph of the settings used during the calibration. Record IC stiffness mapping results in the forward direction only unless the roller is calibrated for mapping in reverse direction.

In the event of equipment breakdowns/IC system malfunctions/GPS problems, the Contractor should have a contingency plan to acquire the equipment or unit necessary in a reasonable time-frame acceptable by the agency. The IC stiffness mapping data shall be collected and provided for a minimum defined area acceptable to the agency (e.g., 80 to 90%) of all compaction layers.

The acceptance or QA using the IC stiffness mapping results should be based on:

- a defined amount of mapping area (~80 to 90%) achieving the minimum target value, and
- the COV of the measurements are less than a defined target (e.g., 25%) and there are no spatially contiguous areas (> 100 ft²) with values less than the target value.
- Independent QA testing (using the same testing utilized for calibration) can be used in to verify the IC stiffness mapping results in areas with low values and apply corrective actions.

The advantage of this type of verification approach is that it allows for the assignment of acceptable risk (statistical calculation that can be linked to measurement frequency and quality of the correlations) and creates a framework for incentive-based pay to the contractor.

4.3 GUIDE SPECIFICATION LANGAUAGE

A guide specification was drafted and is provided in Appendix D. The specification pulls language from various specifications studied from the synthesis effort and incorporates key features of the calibration process as studies in this research effort.

CHAPTER 5 SUMMARY AND IMPLEMENTATION RECOMMENDATIONS

5.1 SUMMARY OF KEY FINDINGS

As part of this study, a detailed literature review was conducted to create a synthesis information that identifies methods/procedures used to compare IC measurements to soil mechanical properties, and the success of those methods/procedures along with a summary of current IC specifications. More than 300 documents were collected that have been published on the general topic of IC. Key findings from the literature review are as follows:

- IC technologies have been used in the U.S. on at least 381 pilot/demonstration projects since year 2000. Of these, most of the projects (220+) involved HMA construction (full depth HMA or overlay), 75+ project sites involved subgrade and aggregate base materials, and over 25+ project sites involved CIR/FDR materials.
- Several field studies have been documented since 1980 focusing on correlating IC-MVs and in situ point test measurements. A variety of in situ test measurements have been utilized in these correlation studies to measure dry density, moisture content, elastic modulus, resilient modulus, modulus of subgrade reaction, CBR, dynamic modulus, shear strength, etc.
- In general, results from controlled field studies show that statistically valid simple linear or simple non-linear correlations between IC-MVs and compaction layer point-MVs (e.g., modulus or density) are possible when the compaction layer is underlain by a relatively homogenous and stiff/ stable supporting layer. Many field studies indicate that modulus or stiffness-based measurements (i.e. determined by FWD, LWD, PLT, etc.) generally correlate better with the IC-MVs than compaction layer dry unit weight or CBR measurements.
- IC specifications were introduced in Europe (Austria, Germany, and Sweden) in the 1990s, and in 2005, the ISSMGE developed recommended construction specifications based primarily on the Austrian specifications. In the U.S., few state highway agencies and the FHWA have developed specifications to facilitate implementation of IC technologies for embankment and pavement foundation layer materials, but not in terms of mechanical soil properties.
- Current European and U.S. specifications lack detailed framework for calibration (i.e., corrections from independent testing) and validation of results (i.e., accuracy and system quality checks) in terms of mechanical soil properties. The current U.S. specifications on IC are method and prescriptive specifications and focus on IC equipment features and the procedure/format for data reporting.
- The mechanical soil properties that some agencies are using, do not directly link to the
 pavement design input parameters (e.g., k-value or stress-dependent M_r value). Some
 states specifications and a version of the current FHWA specification require the IC data be
 calibrated to density measurements., though the technical literature shows that correlating
 IC-MVs to dry density (or percent compaction) is challenging and practically impossible in
 many cases.
- Investigating IC implementation barriers, key challenges include: (1) simplifying the data management and analytics, (2) automating generation of compaction reports, and (3) automating data archival.

Field testing was conducted on several test sections with support from the Illinois State Toll Highway Authority on the Elgin O'Hare Western Access Tollway construction project in October 2016, April-May 2017, and in June 2017. Field evaluations were performed on a total of 18 test sections, of which in situ comparison and calibration testing was conducted on 12 test sections. Four different IC-MV technologies were evaluated including: CMV, HMV, MDP, and VIC. The CMV and MDP IC-MVs were obtained from Caterpillar CS74 vibratory smooth drum IC roller, HMV IC-MVs were obtained from Hamm H11 vibratory smooth drum IC roller, and VIC IC-MVs were obtained on a retrofitted Caterpillar CS56 vibratory smooth drum roller. In situ tests included as part of calibration testing were LWD, DCP, and static and cyclic APLT testing.

Tests were conducted on embankment subgrade, PGE, and CA6 capping layer materials. The PGE layer was nominal 6 in. thick and was placed over the subgrade and consisted of poorly graded RPCC material with a maximum particle size of about 5 in. and no fines passing the No. 200 sieve. The CA6 capping layer was about 3 in. thick and was placed on the PGE layer and consisted of well-graded RAP material with a maximum particle size of about 1.5 in. and about 1% passing the No. 200 sieve.

Key findings from calibration testing performed between HMV-IC MVs and in situ point measurements are as follows:

- Regression relationships between the HMV IC-MV and in situ test measurements showed simple linear regression trends. The regression relationship with E_{LWD} yielded the highest R² value of about 0.63 but presented significant scatter. The regression relationships yielded R² about 0.14 with DCP-CBR of the top layer, and about 0.56 with DCP-CBR of the top 12 in. of the subgrade layer. Results indicate that the HMV measurements are correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top PGE or PGE+CA6 layer.
- Comparison between HMV measurements obtained from the same test section with PGE layer material shortly after construction in October 2016 and then after spring-thaw in April 2017 indicated that the foundation support conditions were weaker during the spring-thaw. The average HMV in the area was about 9.9 in October 2016 and reduced to 6.2 in April 2017. This reduction in foundation support was also confirmed with E_{LWD} measurements which decreased from an average of about 8,083 psi in October 2016 to 6,976 psi in April 2017.
- Comparison of HMV measurements obtained from the same area on the PGE layer material and overlaid CA6 capping layer indicated that "hard" and "soft" areas identified in the bottom layer were reflected on the top layer map.

Key findings from calibration testing performed between CMV and MDP* (from CS74B smooth drum vibratory roller) and in situ point measurements are as follows:

- Regression relationships between the CMV and E_{LWD} yielded a non-linear power relationship with R² of 0.65. CMV vs. DCP-CBR of the top CA6+PGE layer also yielded a power relationship with R² of 0.16, while CMV vs. DCP-CBR of the subgrade layer yielded a linear relationship with R² = 0.59. Like HMV regression relationships, results indicate that CMV is correlated better with subgrade layer measurements (DCP-CBR of subgrade) than the DCP-CBR of the top layer.
- Variability in CMV measurements (as measured by COV) was 50% and 80% in the PGE and CA6 capping layer test sections, respectively. The MDP* measurements showed lower COV with ≤ 10%. As identified in the literature review, MDP* has a relatively shallow measurement influence depth (1 to 2 ft) compared to CMV measurements (3 to 5 ft). The shallow influence depth of MDP* and the fact that the DCP measurements showed

variability in the subgrade was greater than in the top PGE and PGE+CA6 layer, and the narrow measurement range of MDP* are likely the reasons why the COV of MDP* was comparatively low.

 Although the literature shows other projects where MDP* was statistically meaningful for a range of parameter values, regression relationships comparing MDP* and in situ test measurements did not yield a statistically meaningful relationship for the test sections in this study.

VIC calibration was performed using stress-dependent M_r values from cyclic APLT testing and modulus of subgrade reaction *k*-values from two loading cycles. Key findings and observations from this calibration testing are as follows:

- Results from the VIC calibration with both M_{r-comp} and k_u measurements obtained from APLT produced relatively high R² values (≥ 0.90) and with relatively low standard error. Higher R² values and low standard errors suggest higher reliability in future predictions of the respective measurement values in a production area.
- The VIC E_{LWD} calibration showed R² of 0.7 while the CMV E_{LWD} calibration showed R² of 0.56.
- The CMV calibration with M_{r-Comp} produced R² of 0.23 and k_u produced R² of about 0.71-0.74.
- Results show that the E_{LWD} measurements were on average about 3x lower than the M_{r-Comp} values obtained at similar applied stress (~15 psi). The moduli values obtained from LWD measurements are not the same as in situ resilient modulus, Mr. This is because LWD measures peak deflections and not rebound deflections, and conditioning cycles (which can take up to several 100 cycles) are not applied with LWD, which limits the usefulness of the E_{LDW} data as a pavement design verification value.
- VIC M_{r-Comp} maps in a subgrade area identified a sharp contrast with a clear boundary of relatively low M_r and high M_r values. The sharp contrast and the relatively low M_r values was linked to the construction materials and process control followed in the area. Traditional QC/QA inspection did not reveal the very high variability in M_r in the test area.
- A comparison of VIC M_{r-Comp} map obtained on PGE layer with the map obtained on the underlying subgrade layer showed reflections of the soft and stiff areas in the subgrade layer. The average M_{r-Comp} increased slightly on the PGE layer (from 24.2 ksi on the subgrade to 28.8 ksi on the PGE) while the COV decreased from about 78% on the subgrade to about 43% on the PGE layer.

5.2 IMPLEMENTATION RECOMMENDATIONS

This project has demonstrated that intelligent compaction technologies are effective at mapping compaction conditions and providing verification of project design values in terms of mechanical properties (e.g., modulus) of compacted materials as part of a continuous quality control/acceptance process. The synthesis of literature completed for this project revealed that very little information is available demonstrating how the intelligent compaction studies have been validated in terms of mechanistic design values. This project set out to demonstrate that calibrating the IC-MVs to mechanical properties is possible with well-designed calibration testing program. Following calibration, the in situ test results revealed the compaction layers and pavement foundation material are both highly non-uniform and have built-in defects (low stiffness 'soft" areas and high spatial variability) that are not addressed with conventional QC/QA observation and spot testing. A draft guide specification was

developed as part of this project and is recommended for implementation on upcoming construction projects (likely, as "shadow" evaluations) in 2018/19.

Although several test sections were studied as part of this project, no comprehensive (project level) study has been completed using validated intelligent compaction results for the range of pavement foundation materials (recycled materials, stabilized materials, subgrades, etc.) used on Tollway construction projects. Therefore, to further implement this technology additional research is recommended to: (1) monitor and gather data from the VIC technologies used on the proposed 2018/19 projects; and (2) to study more broadly the range of materials, subgrade, aggregate bases, and stabilized pavement foundations used by the Tollway. With this information, the Tollway will be able to more fully understand the limitations and implementation requirements for the technology, how varied materials can be effectively used to construct uniform and stable pavement foundations, and accordingly refine the guide specification.

The near-term benefits of implementing the finding of this research on upcoming construction project are expected to be improved contractor efficiencies and more effective QC/QA processes, providing additional information in terms of meeting the pavement design assumptions, and generating baseline data to evaluate future pavement performance.

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APPENDIX A: LIST OF IC REFERENCES

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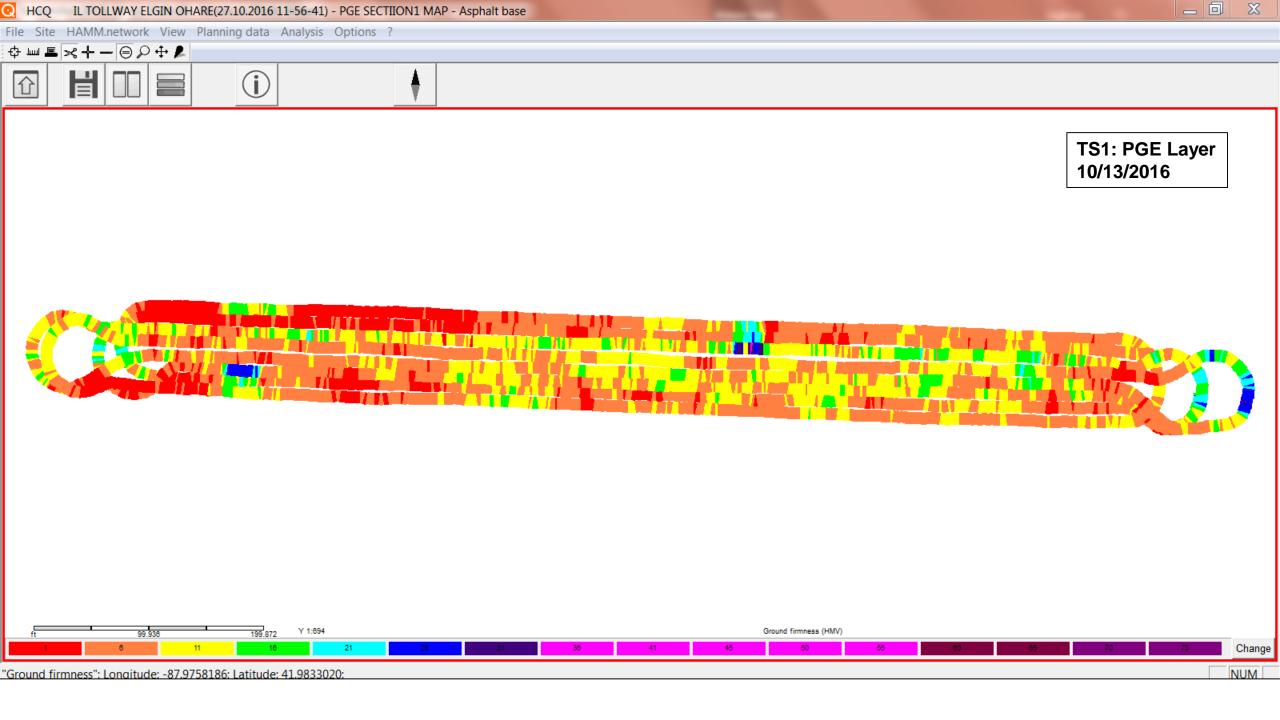
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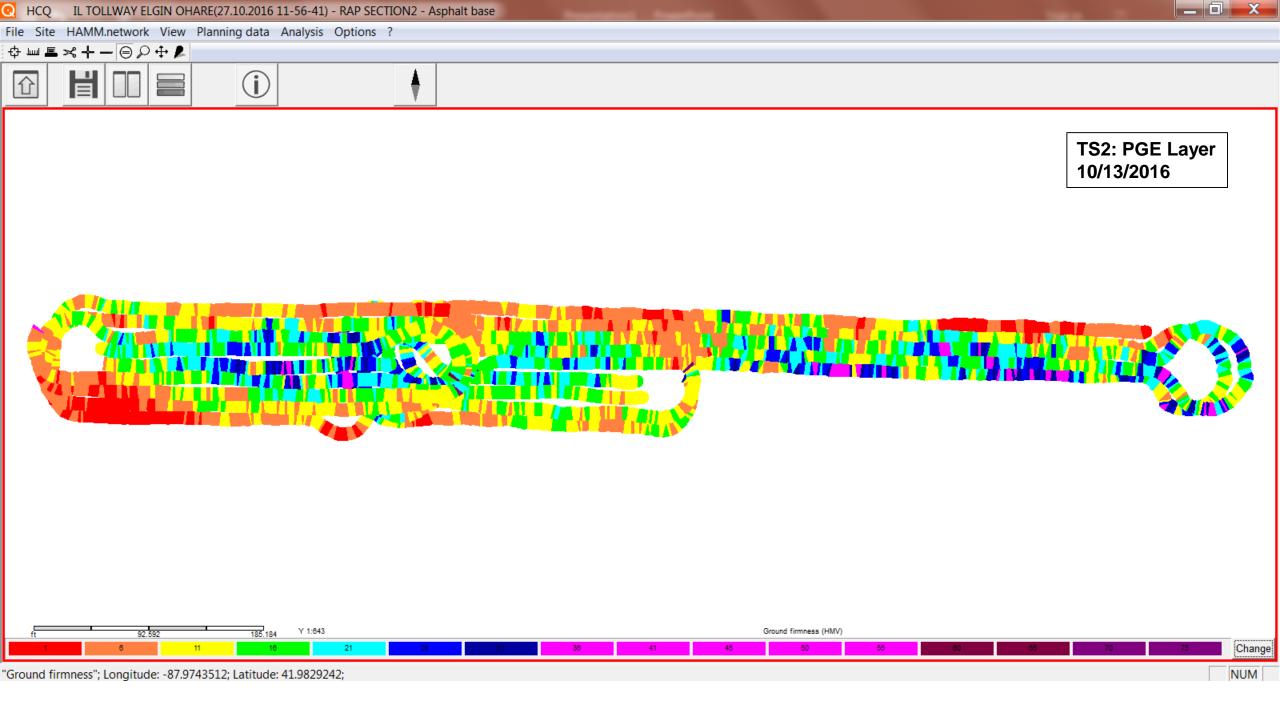
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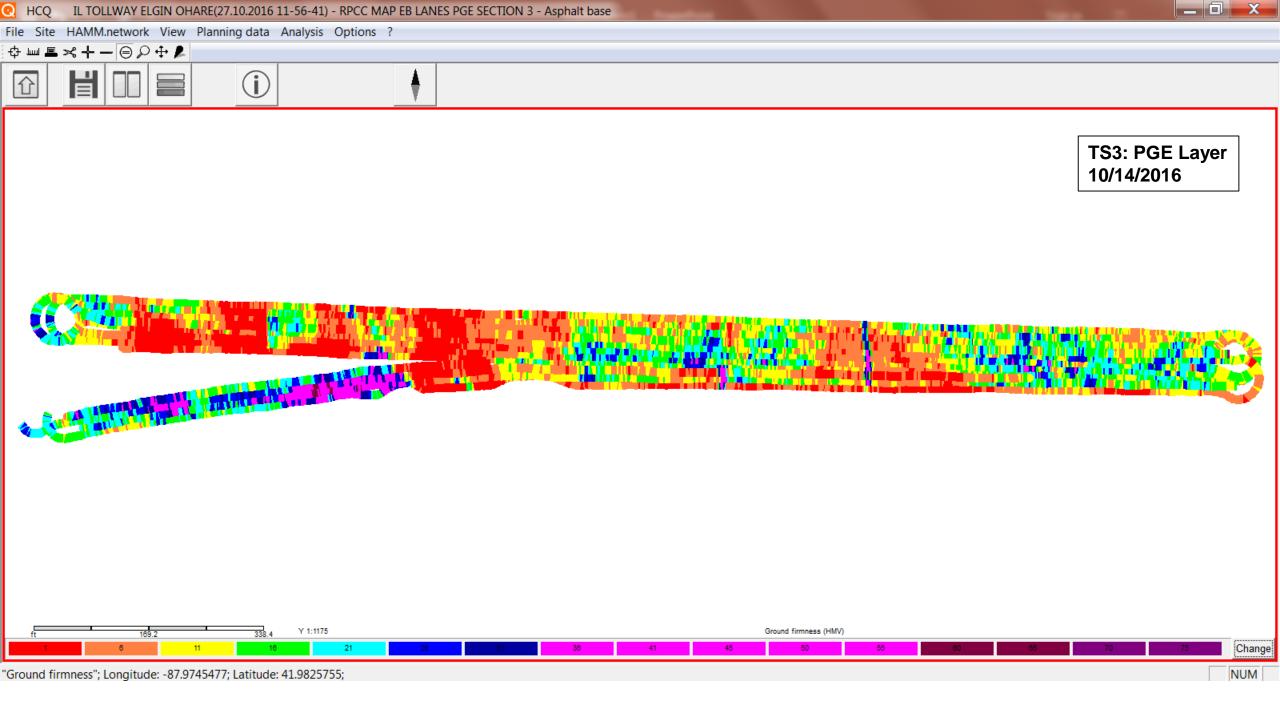
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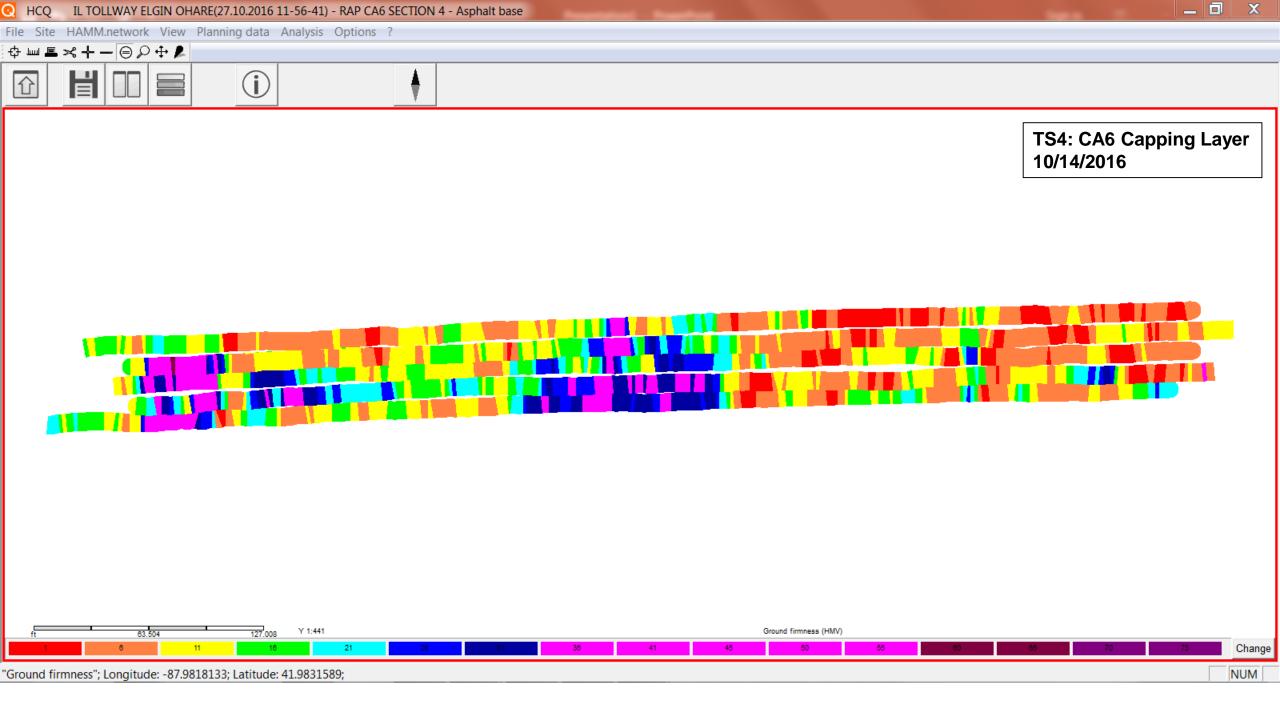
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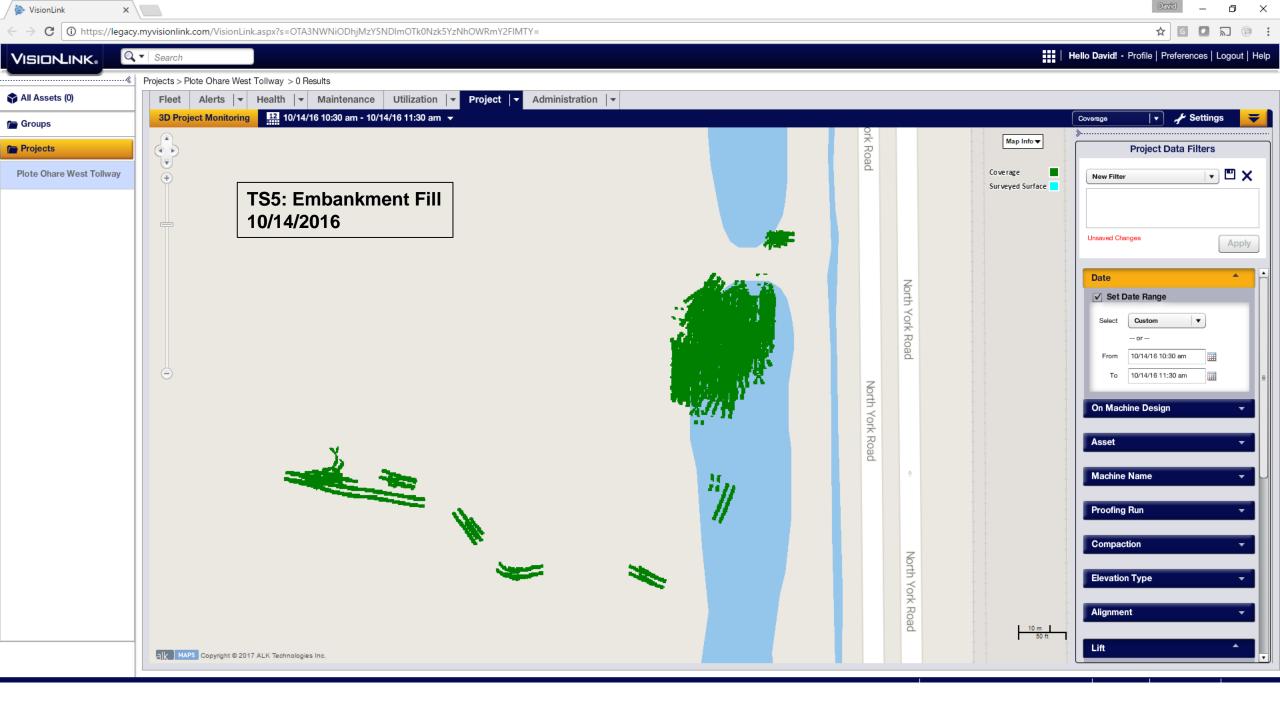
APPENDIX B: SUMMARY OF COMPACTION REPORTS

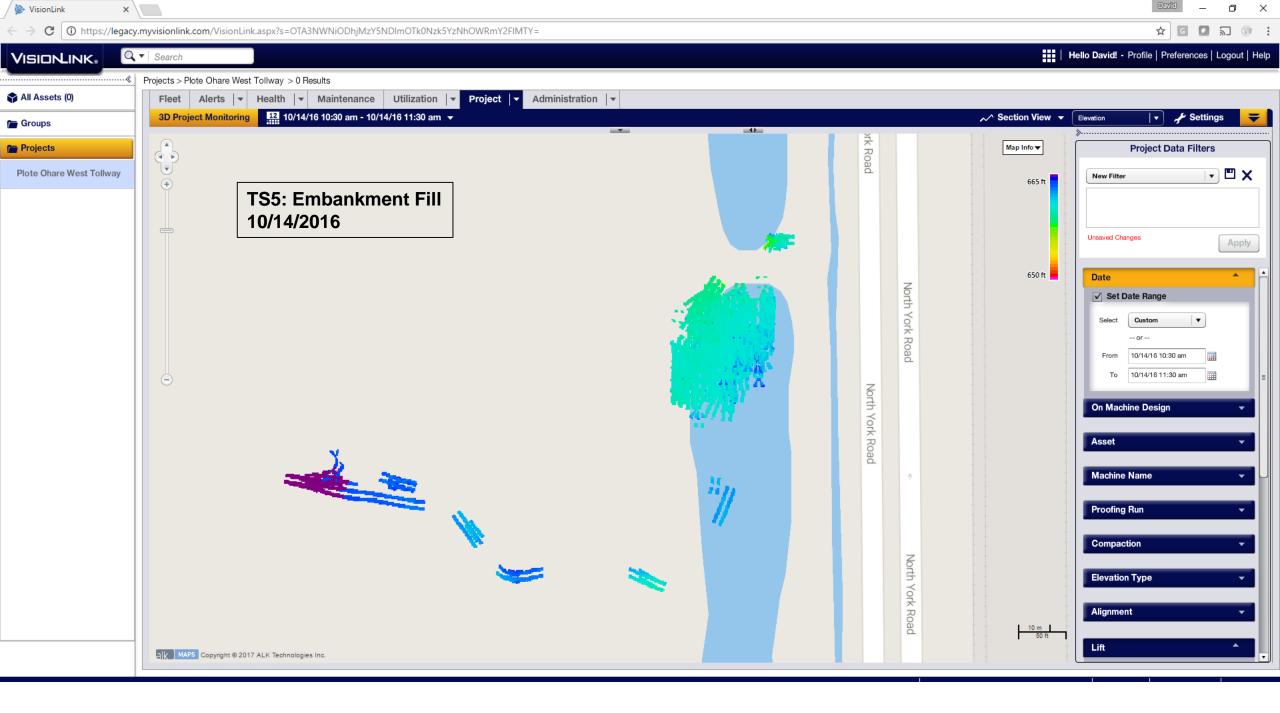




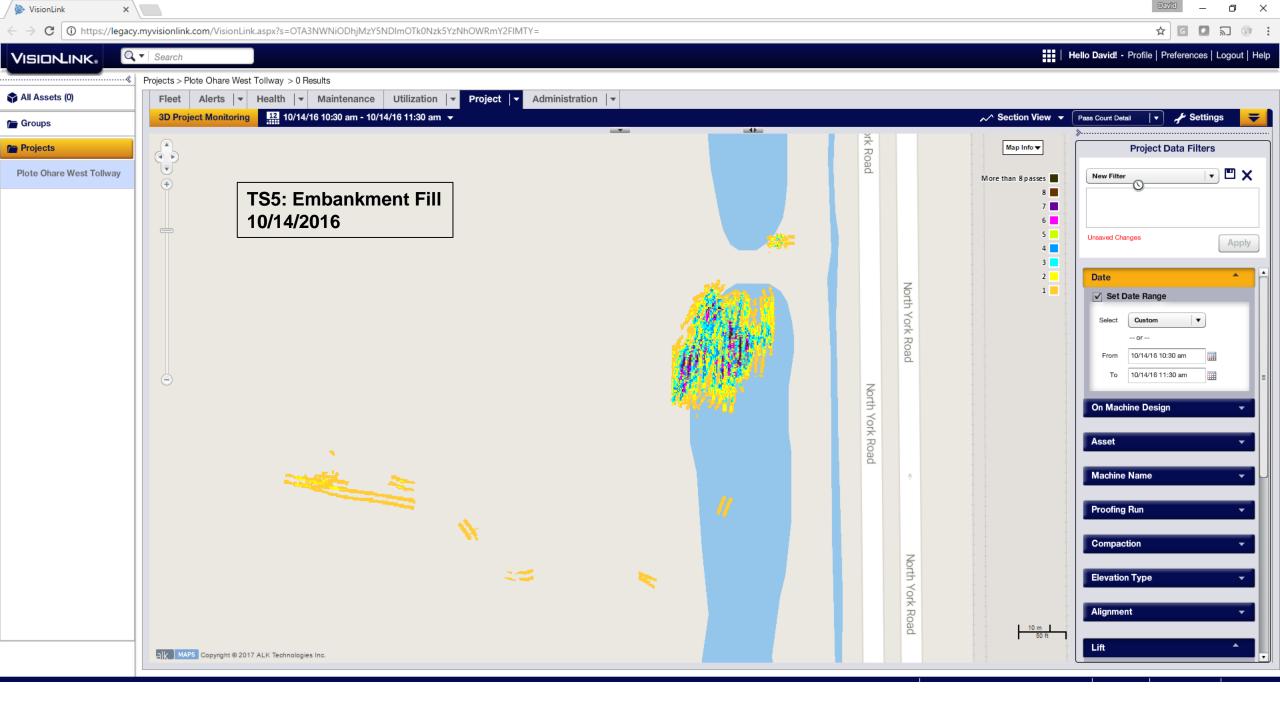




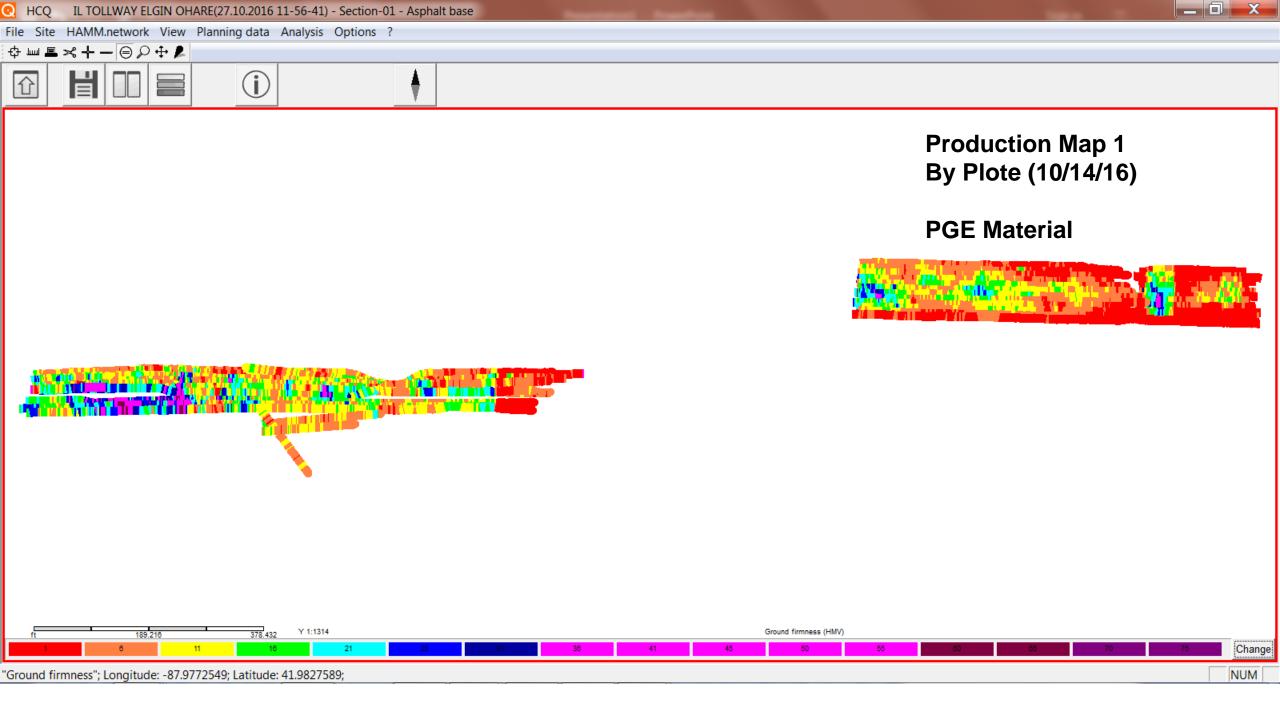


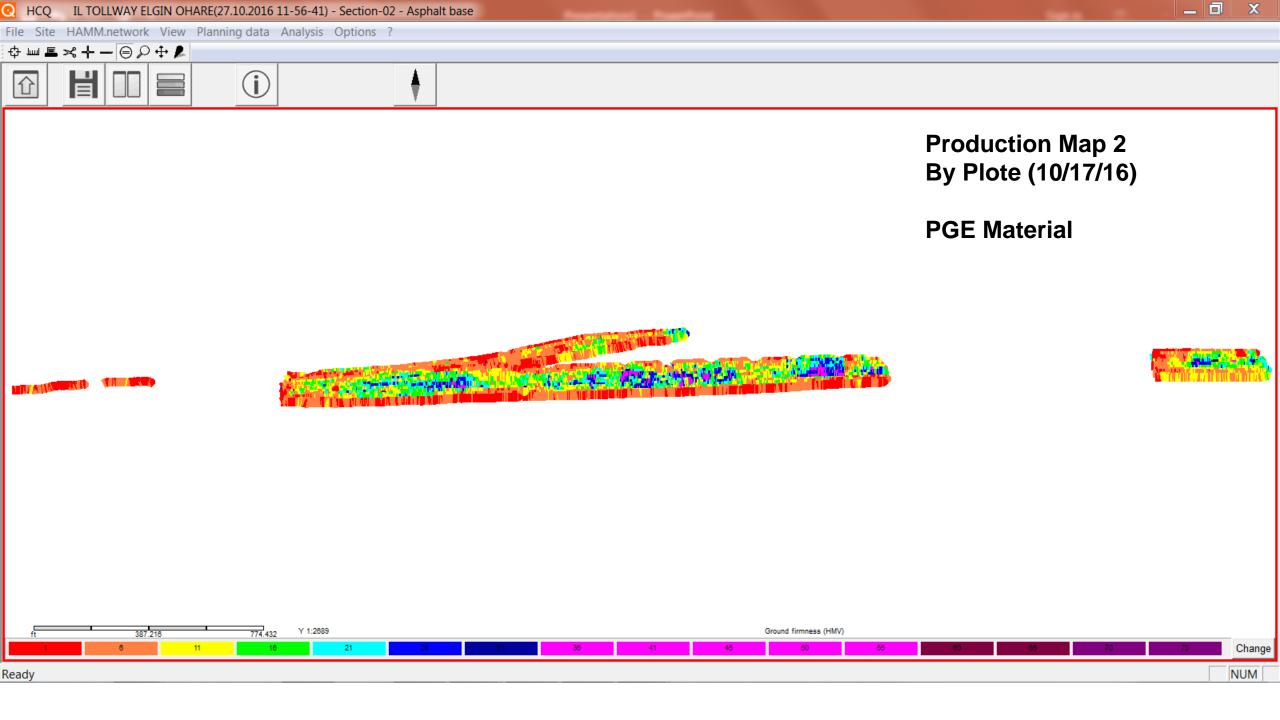


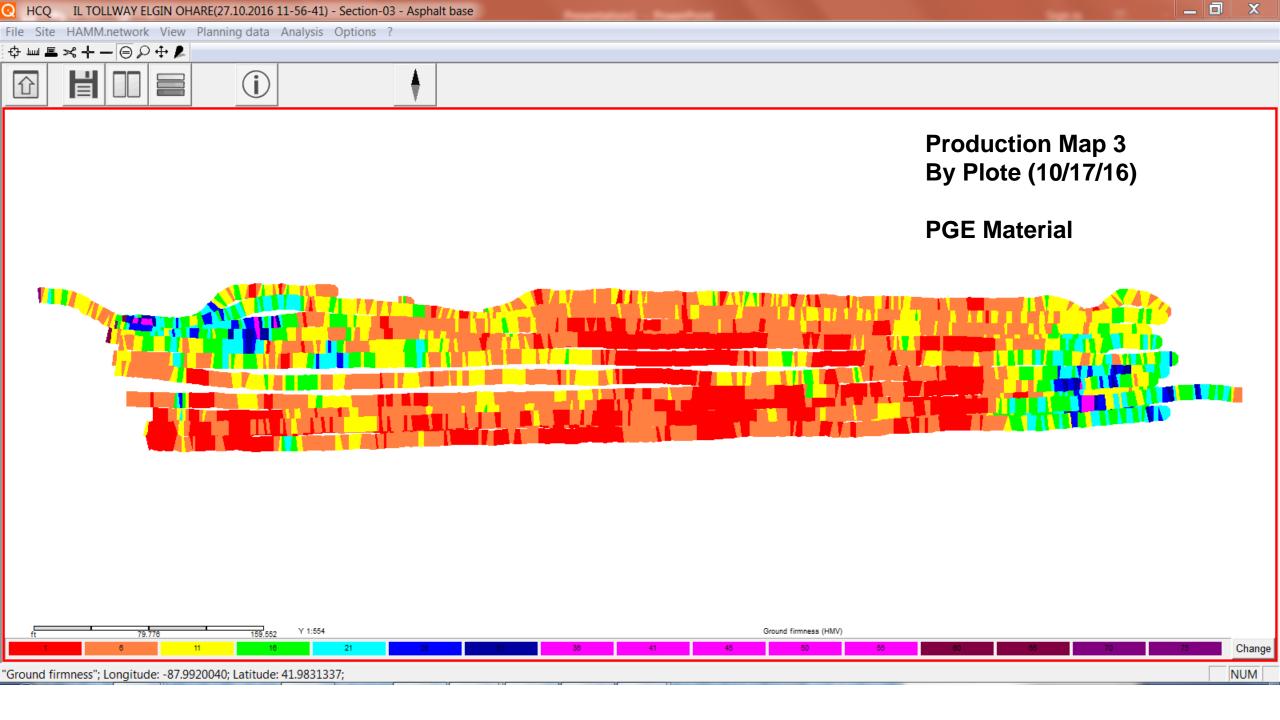
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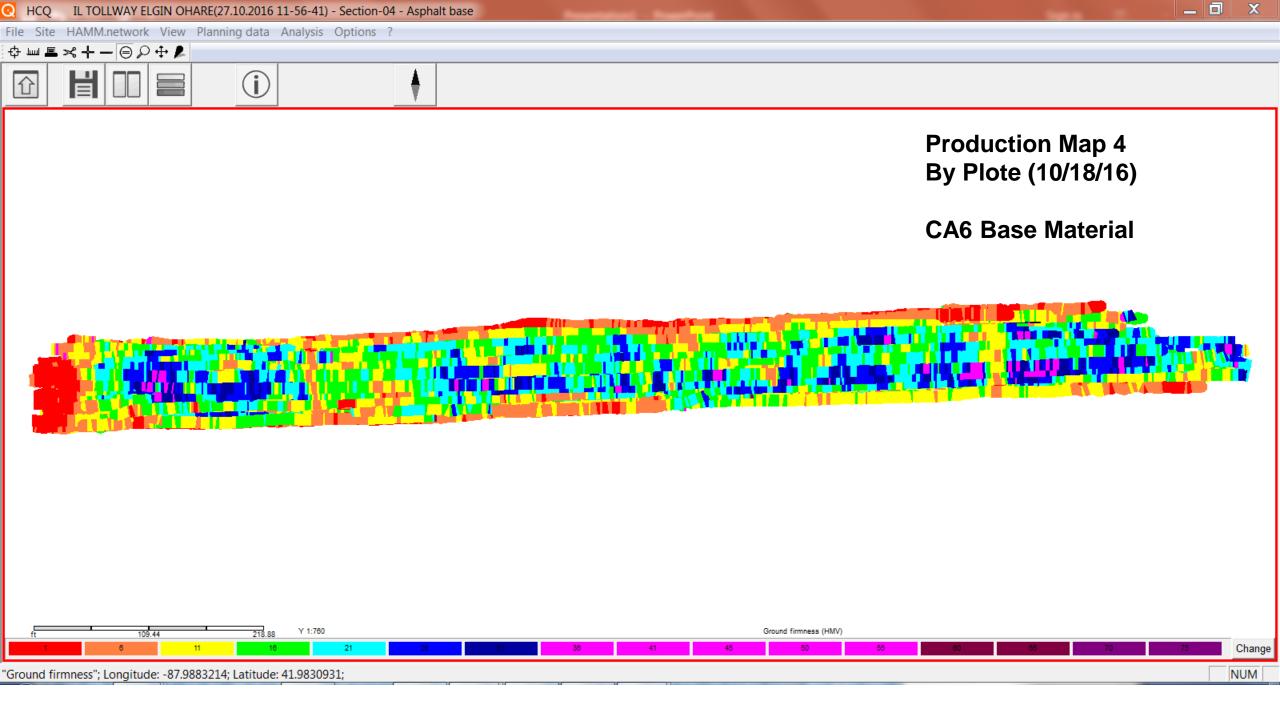


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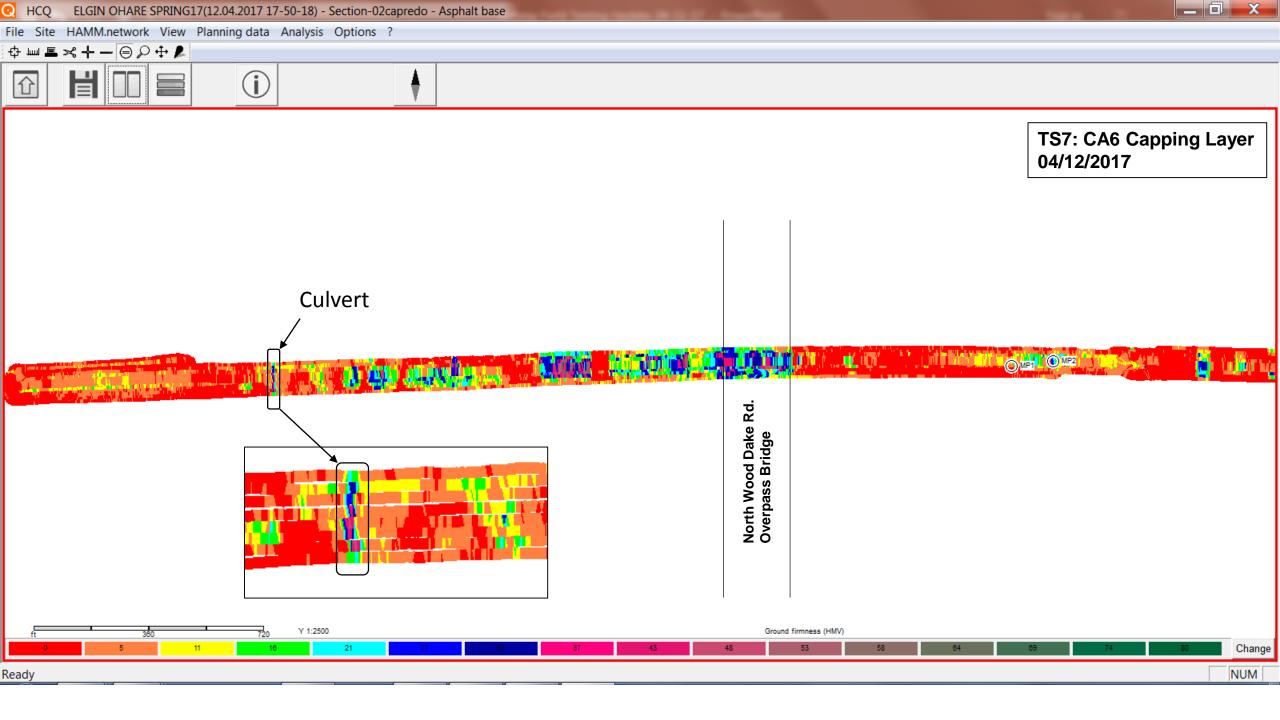


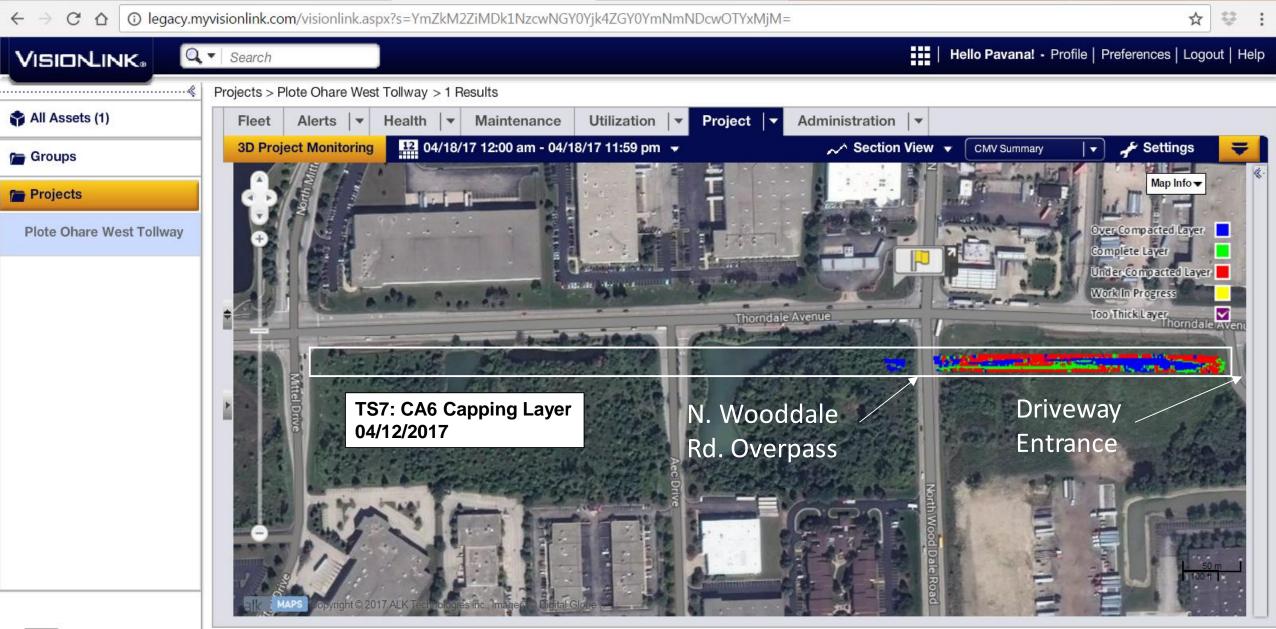


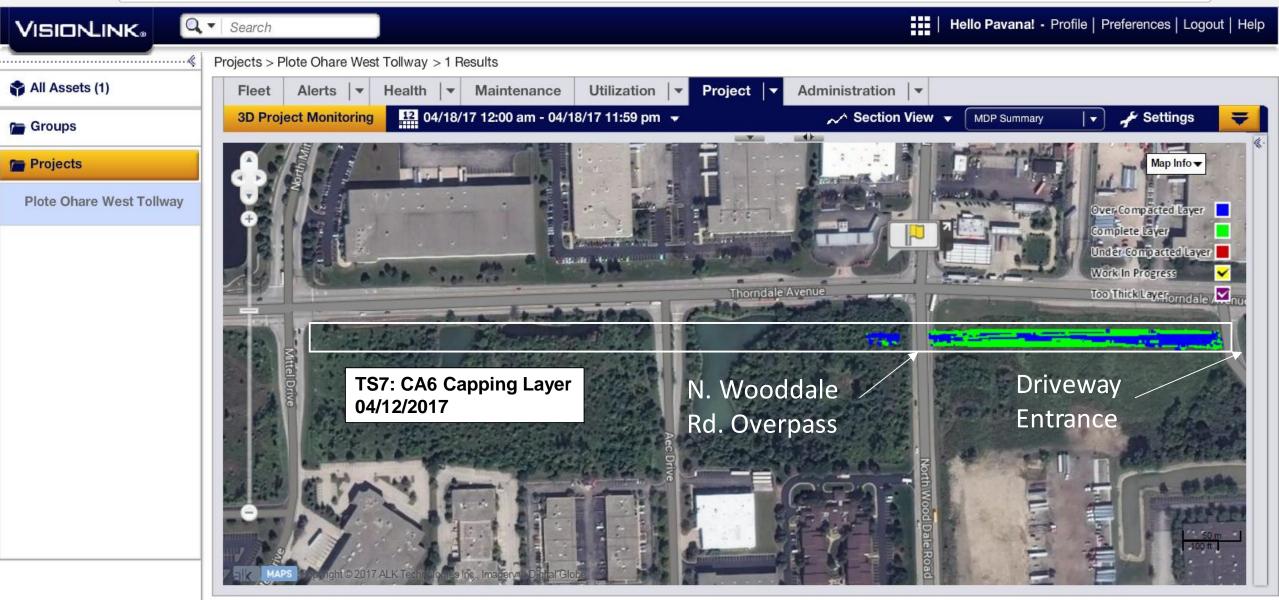


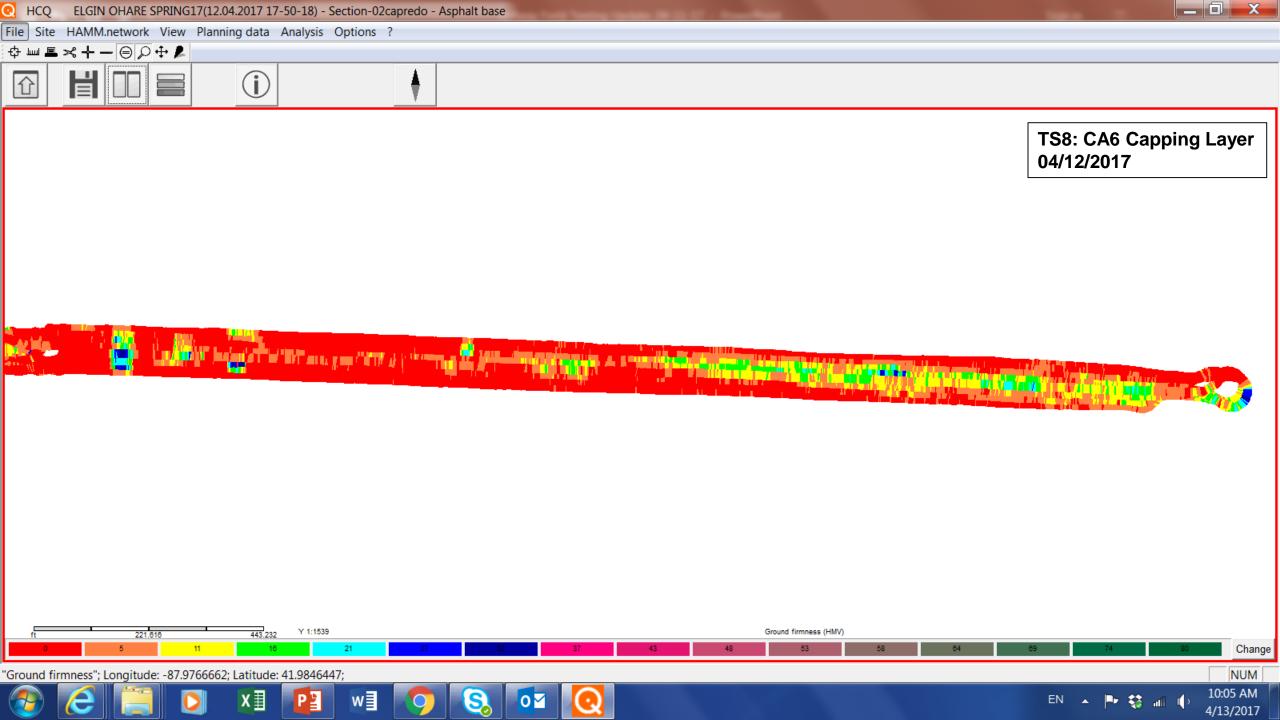


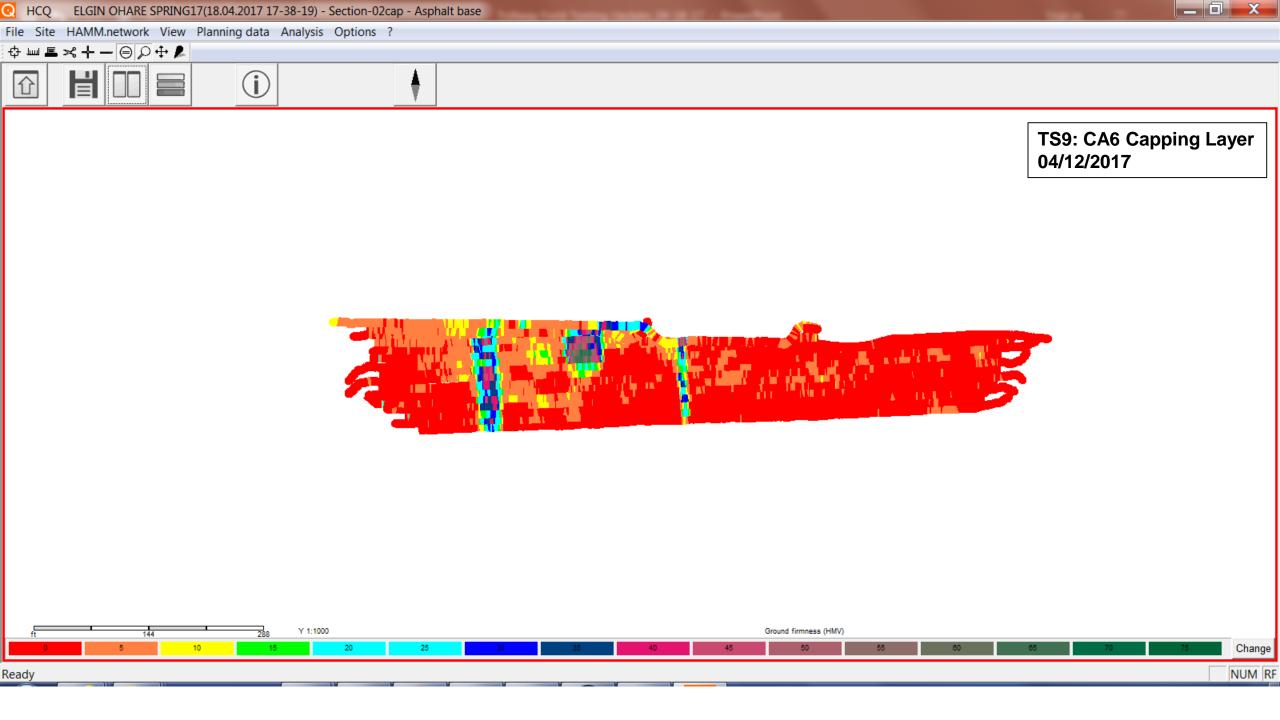




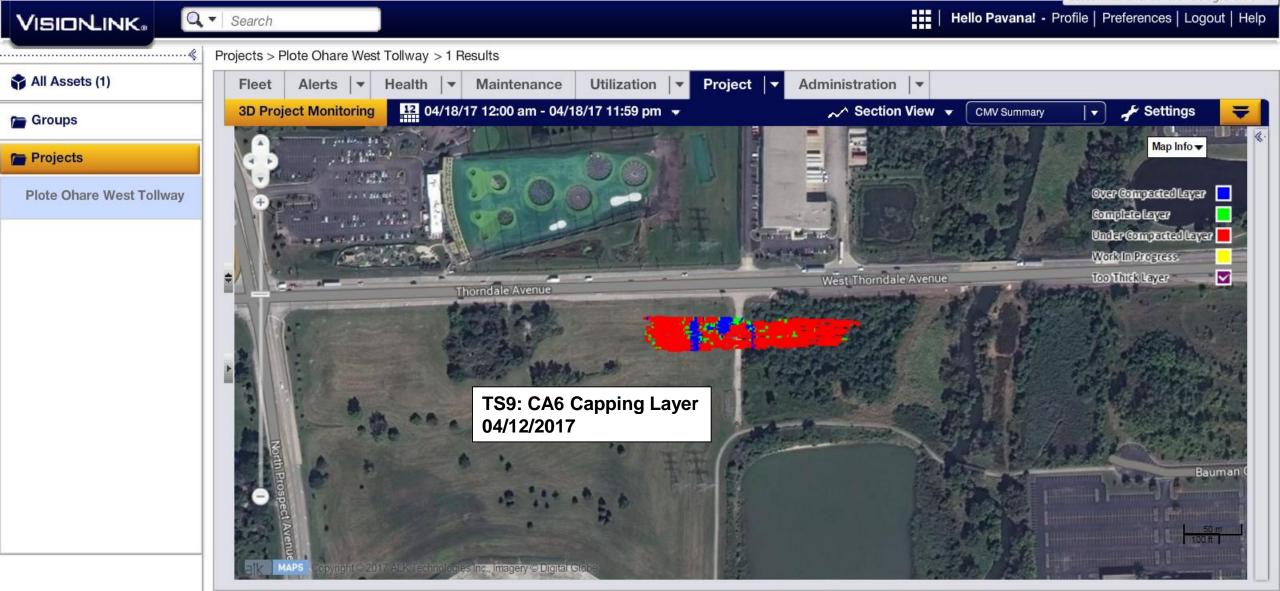


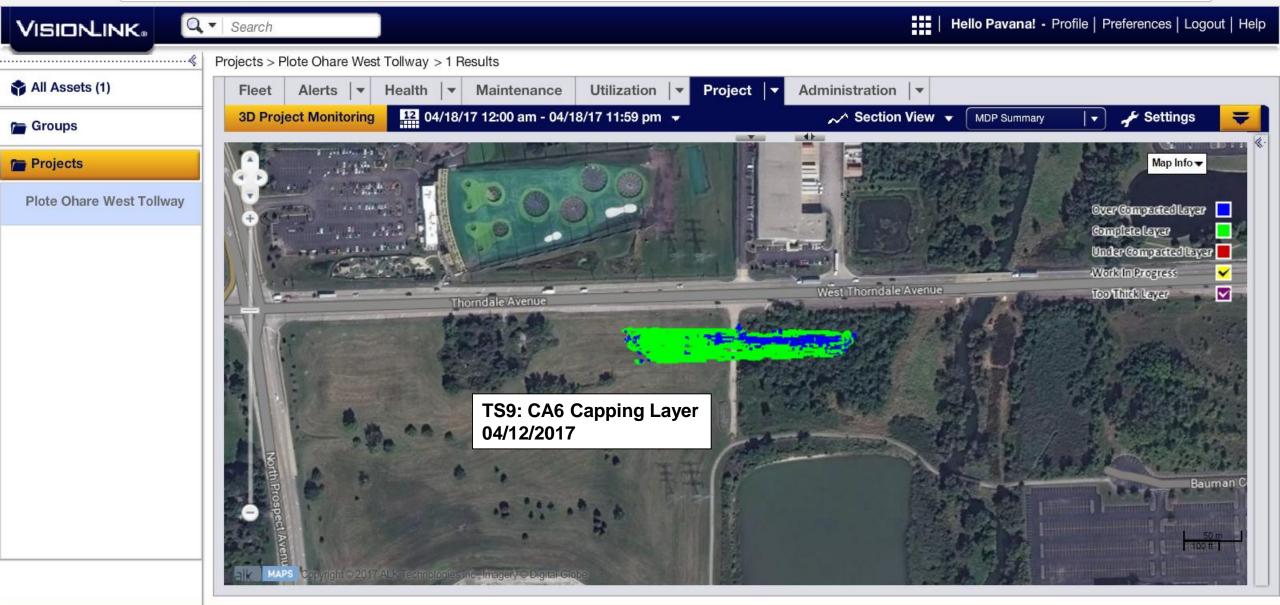


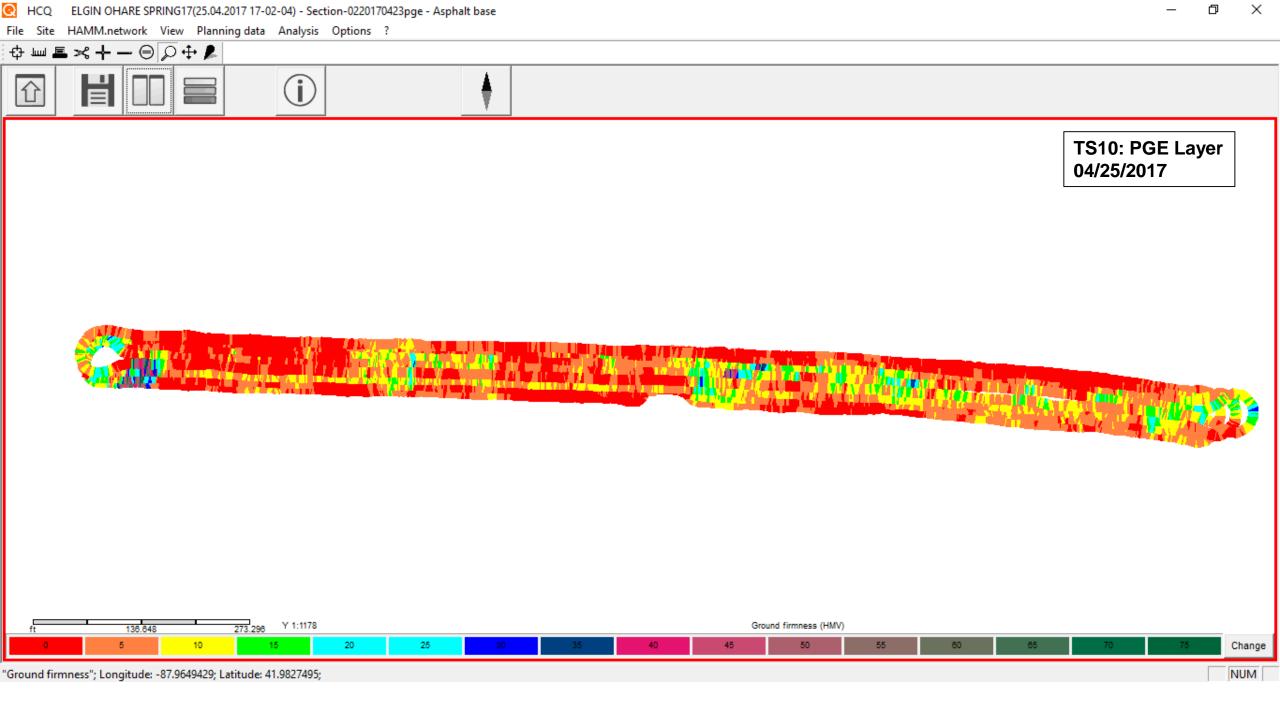


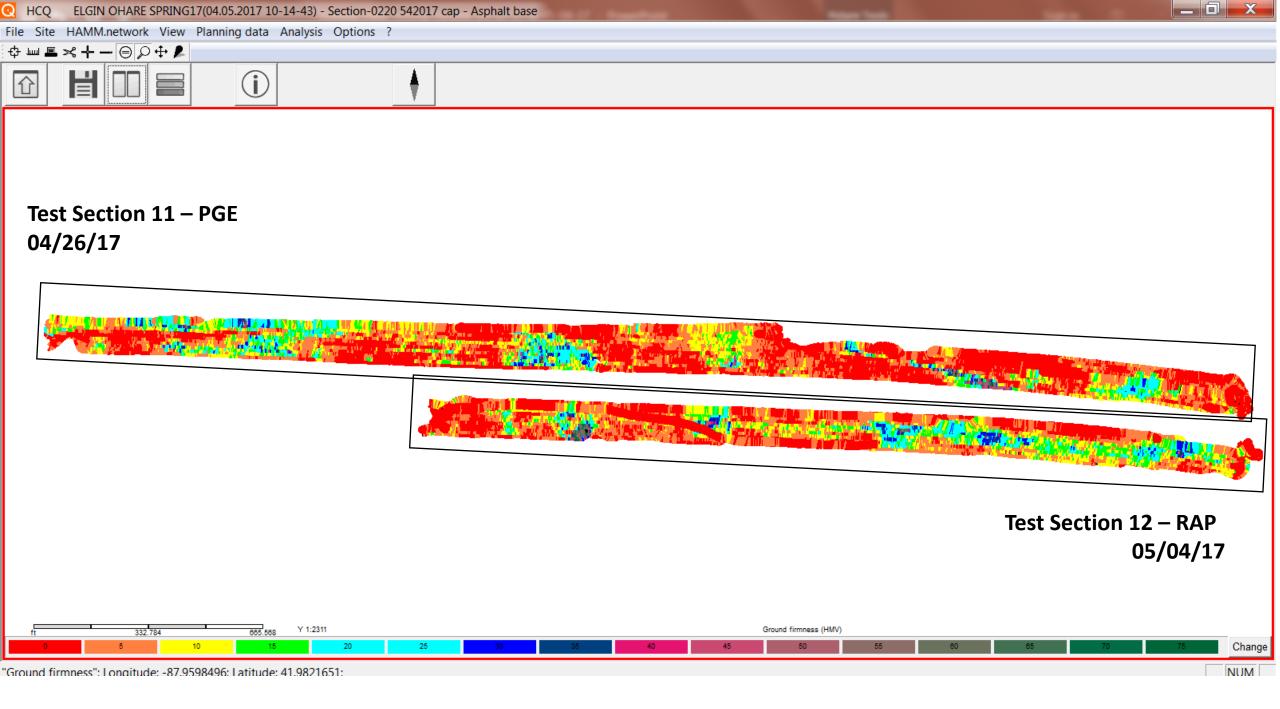


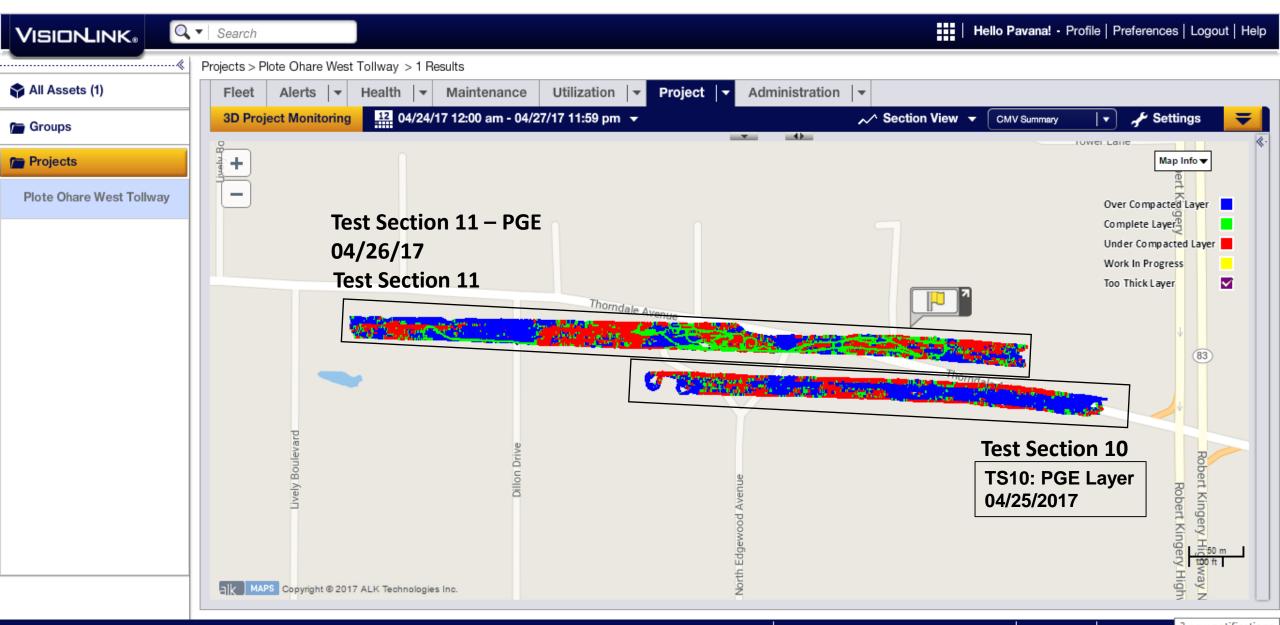
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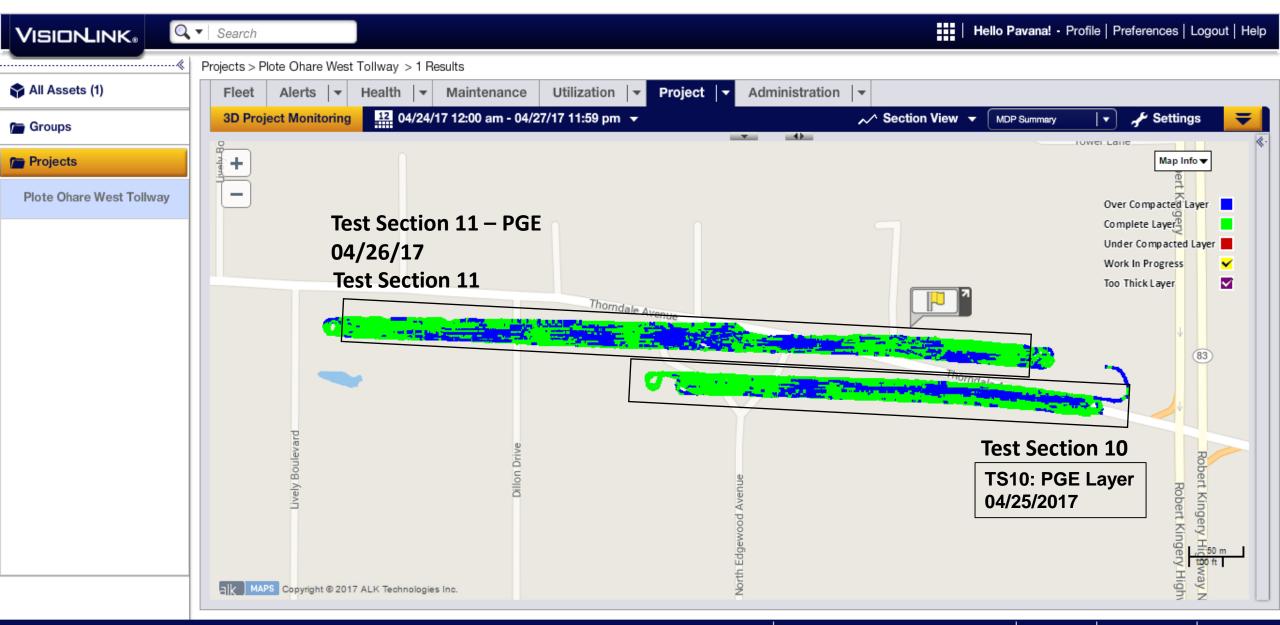












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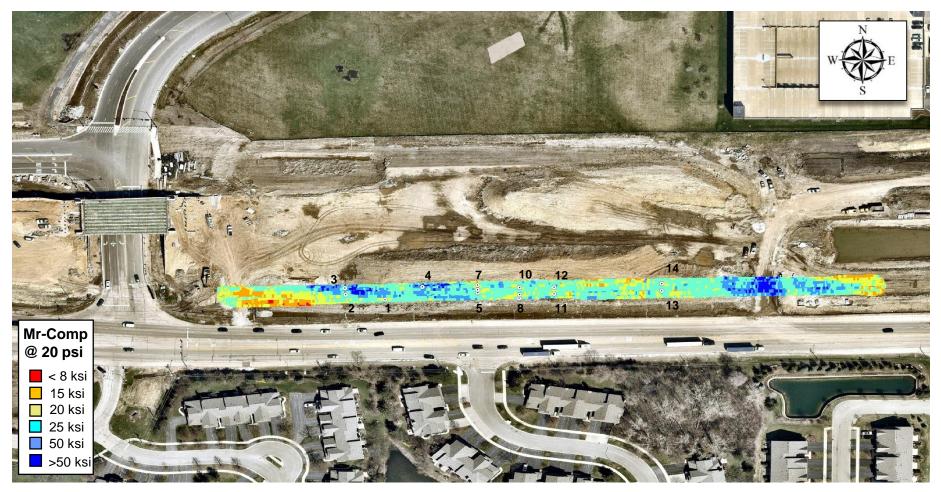
06/21/2017 to 06/23/2017

On Site Personnel David J. White, Ph.D., P.E. (Ingios) Pavana Vennapusa, Ph.D., P.E. (Ingios) Heath Gieselman, M.S. (Ingios) James Colby Van Nimwegen (Ingios)

PROJECT NAME: PROJECT ID: LOCATION: Validation of Intelligent Compaction to Characterize Pavement Foundation Mechanical Properties ILT_IC Project Elgin O'Hare Expressway, Elgin, IL



MAP ID:	ILT_RAP_TS13	Property:	Mr-Comp at 20 psi	Machine:	CS56
Surface Material:	RAP Capping Layer	Mean:	35,744 psi	Drum Configuration:	Smooth
Mapping Time:	1.2 hrs	Standard Deviation:	18,750 psi	Vibration Settings:	f = 30 Hz, low amp
No. of Measurements:	4,162	Coeff. Of Variation:	52%	Speed:	3 mph (nominal)





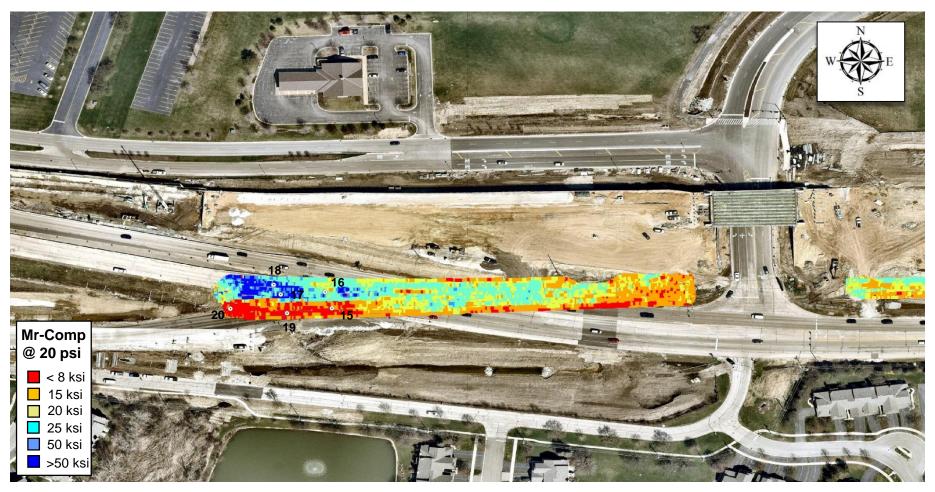
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OPERATOR:	DW (Ingios)

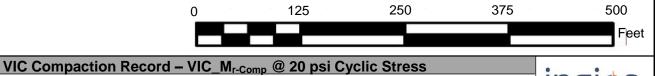
VIC Compaction Record – VIC_M_{r-Comp} @ 20 psi Cyclic Stress

PROJECT NAME:Validation of Intelligent Compaction to Characterize Pavement Foundation Mechanical PropertiesPROJECT ID:ILT_IC ProjectLOCATION:Elgin O'Hare Expressway, Elgin, IL



MAP ID:	ILT_SG_TS14	Property:	Mr-Comp at 20 psi	Machine:	CS56
Surface Material:	Subgrade	Mean:	24,270	Drum Configuration:	Smooth
Mapping Time:	0.8 hrs	Standard Deviation:	19,026	Vibration Settings:	f = 30 Hz, low amp
No. of Measurements:	5,621	Coeff. Of Variation:	78%	Speed:	<u>3 mph (nominal)</u>



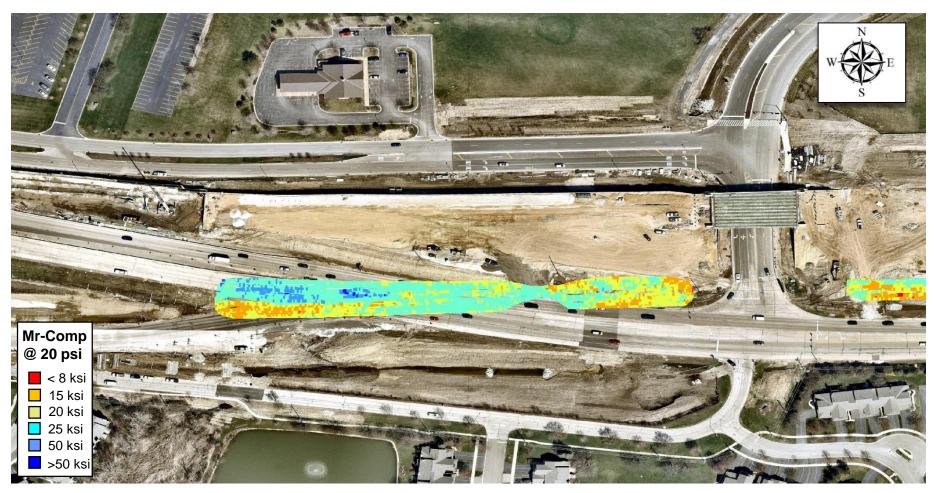


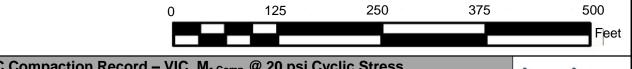
DATE:	06/21/2017
OPERATOR:	DW (Ingios)

PROJECT NAME: Validation of Intelligent Compaction to Characterize Pavement Foundation Mechanical Properties PROJECT ID: ILT_IC Project LOCATION: Elgin O'Hare Expressway, Elgin, IL



MAP ID:	ILT_PGE_TS15	Property:	Mr-Comp at 20 psi	Machine:	CS56
Surface Material:	PGE	Mean:	28,754	Drum Configuration:	Smooth
Mapping Time:	1.4	Standard Deviation:	12,280	Vibration Settings:	f = 30 Hz, low amp
No. of Measurements:	4,844	Coeff. Of Variation:	43%	Speed:	3 mph (nominal)





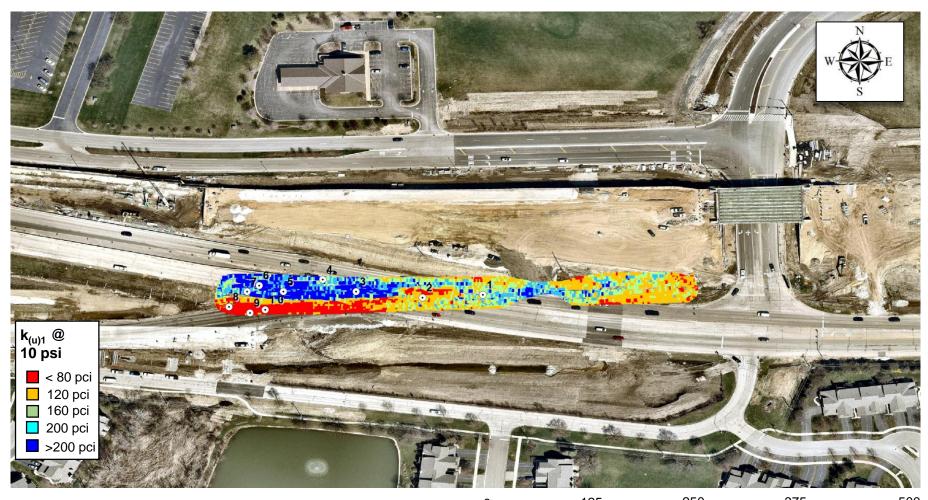
DATE:	06/22/2017
OPERATOR:	DW (Ingios)

VIC Compaction Record – VIC_M_{r-Comp} @ 20 psi Cyclic Stress

PROJECT NAME: Validation of Intelligent Compaction to Characterize Pavement Foundation Mechanical Properties PROJECT ID: ILT_IC Project LOCATION: Elgin O'Hare Expressway, Elgin, IL



MAP ID:	ILT_PGE_TS15	Property:	k _{(u)1} @ 10 psi	Machine:	CS56
Surface Material:	PGE	Mean:	136	Drum Configuration:	Smooth
Mapping Time:	1.4	Standard Deviation:	72	Vibration Settings:	f = 30 Hz, low amp
No. of Measurements:	4,844	Coeff. Of Variation:	53%	Speed:	3 mph (nominal)

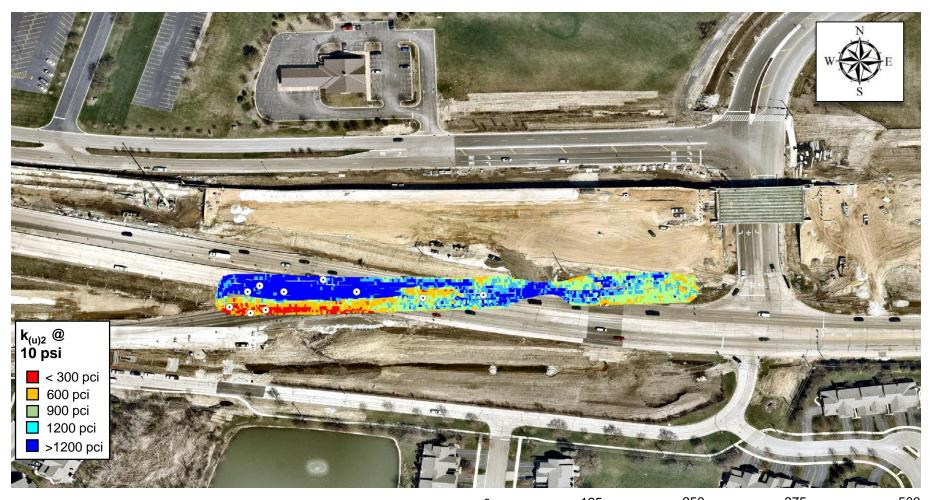


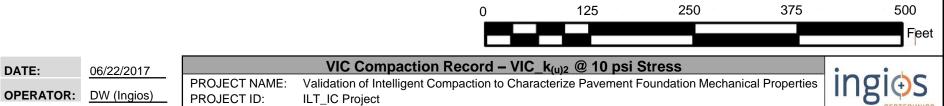
			0 125 250 375	500
				Feet
DATE:	06/22/2017		VIC Compaction Record – VIC_k _{(u)1} @ 10 psi Stress	•
		PROJECT NAME:	Validation of Intelligent Compaction to Characterize Pavement Foundation Mechanical Properties	Inglas
OPERATOR	: DW (Ingios)	PROJECT ID:	ILT_IC Project	GEOTECHNICS
			Elsis O'llers Everessiver, Elsis II	GLUTECHNIUS

Elgin O'Hare Expressway, Elgin, IL

LOCATION:

MAP ID:	ILT_PGE_TS15	Property:	k _{(u)2} @ 10 psi	Machine:	CS56
Surface Material:	PGE	Mean:	1,012	Drum Configuration:	Smooth
Mapping Time:	1.4	Standard Deviation:	596	Vibration Settings:	f = 30 Hz, low amp
No. of Measurements:	4,844	Coeff. Of Variation:	59%	Speed:	<u>3 mph (nominal)</u>



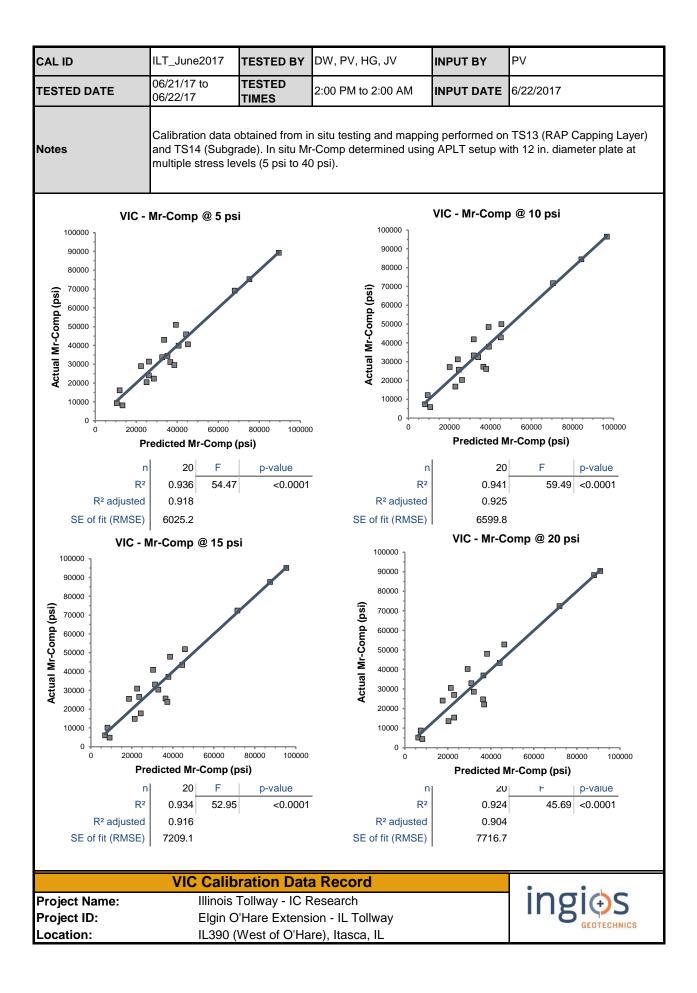


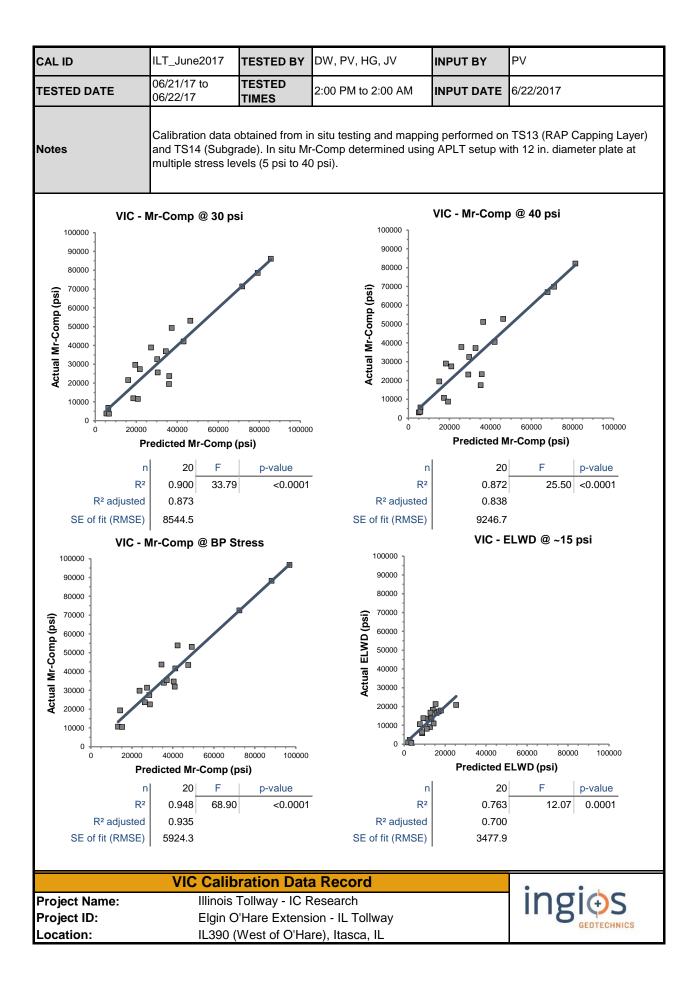
GEOTECHNICS

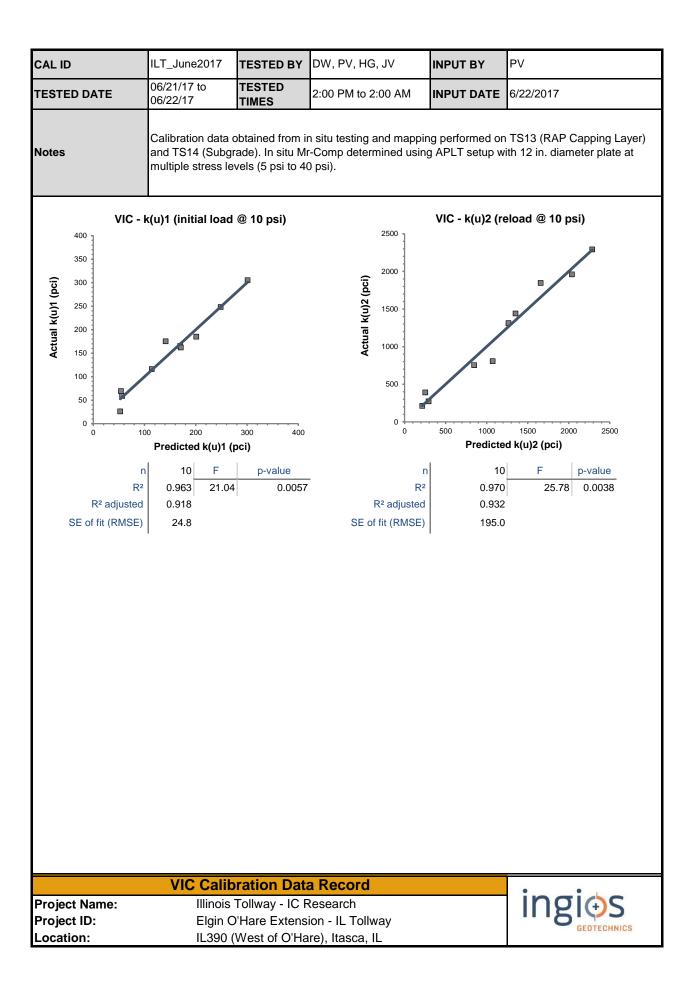
Elgin O'Hare Expressway, Elgin, IL

LOCATION:

DATE:







APPENDIX C: SUMMARY OF IN SITU TEST RESULTS

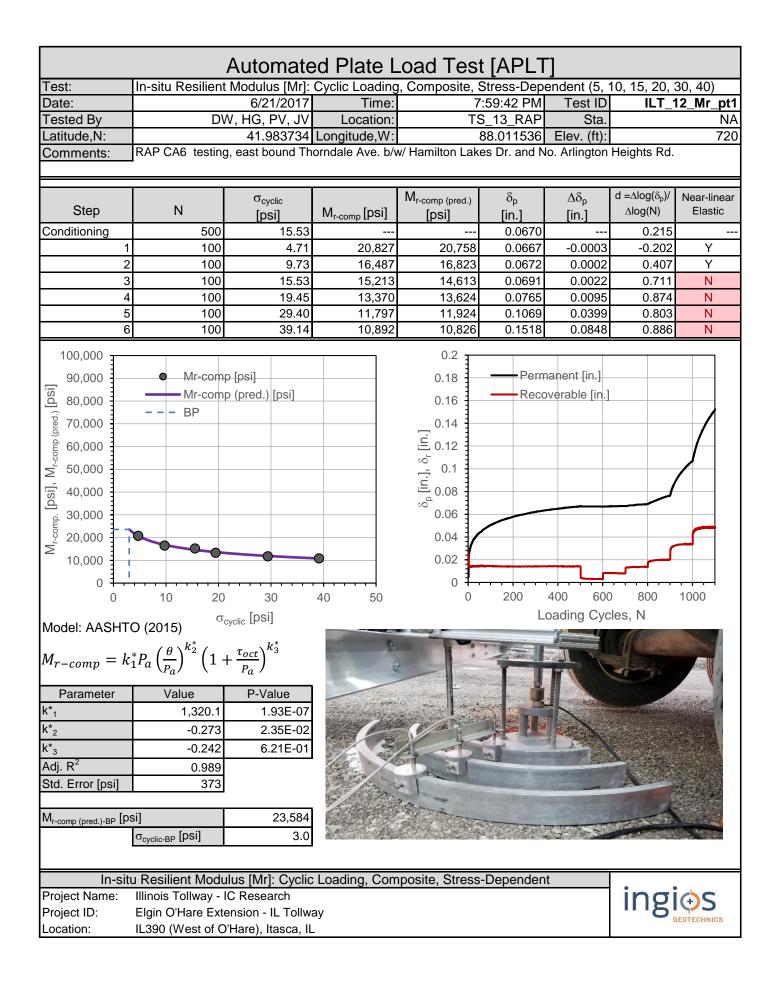
Summary of LWD Testing Results

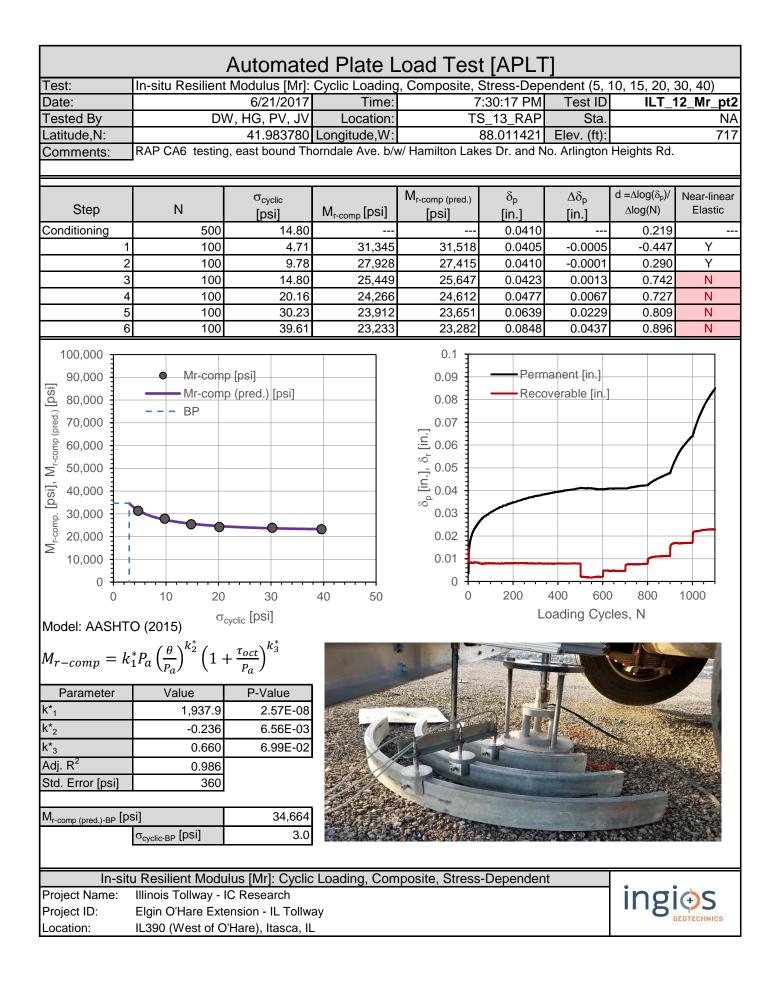
Date	TS	РТ	Material	S _{avg} (mm)	s/v	E _{vd} (Mpa)	E _{LWD} (Mpa)	E _{LWD} (psi)
10/12/2016	1	1	RPCC - PGE	1.182	6.083	19.0	28.4	4,123
10/12/2016	1	2	RPCC - PGE	1.198	6.041	18.8	28.0	4,068
10/12/2016	1	3	RPCC - PGE	1.715	7.212	13.1	19.6	2,842
10/12/2016	1	4	RPCC - PGE	1.437	6.889	15.7	23.4	3,391
10/12/2016	1	5	RPCC - PGE	1.481	6.65	15.2	22.7	3,291
10/12/2016	1	6	RPCC - PGE	1.415	6.533	15.9	23.7	3,444
10/12/2016	1	7	RPCC - PGE	1.036	5.185	21.7	32.4	4,704
10/12/2016	1	8	RPCC - PGE	0.676	3.646	33.3	49.7	7,209
10/12/2016	1	9	RPCC - PGE	0.666	4.183	33.8	50.5	7,317
10/12/2016	1	10	RPCC - PGE	0.46	3.577	48.9	73.0	10,594
10/12/2016	1	11	RPCC - PGE	0.52	3.114	43.3	64.6	9,372
10/12/2016	1	12	RPCC - PGE	0.416	3.583	54.1	80.8	11,715
10/12/2016	1	13	RPCC - PGE	0.525	3.182	42.9	64.0	9,282
10/12/2016	1	14	RPCC - PGE	0.463	3.231	48.6	72.6	10,525
10/12/2016	1	15	RPCC - PGE	0.511	3.276	44.0	65.8	9,537
10/12/2016	1	16	RPCC - PGE	0.532	3.354	42.3	63.2	9,160
10/12/2016	1	17	RPCC - PGE	0.577	3.577	39.0	58.2	8,446
10/12/2016	1	18	RPCC - PGE	0.478	3.084	47.1	70.3	10,195
10/12/2016	1	19	RPCC - PGE	0.544	3.049	41.4	61.8	8,958
10/12/2016	1	20	RPCC - PGE	0.543	3.263	41.4	61.9	8,975
10/12/2016	1	21	RPCC - PGE	0.528	3.202	42.6	63.6	9,230
10/12/2016	1	22	RPCC - PGE	0.561	3.619	40.1	59.9	8,687
10/12/2016	1	23	RPCC - PGE	0.443	3.158	50.8	75.8	11,001
10/12/2016	1	24	RPCC - PGE	0.746	3.278	30.2	45.0	6,533
10/12/2016	1	25	RPCC - PGE	0.806	3.777	27.9	41.7	6,046
10/12/2016	1	26	RPCC - PGE	0.675	3.954	33.3	49.8	7,220
10/12/2016	1	27	RPCC - PGE	0.733	4.317	30.7	45.8	6,648
10/12/2016	1	28	RPCC - PGE	0.513	3.134	43.9	65.5	9,500
10/12/2016	1	29	RPCC - PGE	0.528	2.767	42.6	63.6	9,230
10/12/2016	1	30	RPCC - PGE	0.775	4.562	29.0	43.4	6,288
10/12/2016	1	31	RPCC - PGE	0.672	3.684	33.5	50.0	7,252
10/12/2016	1	32	RPCC - PGE	0.45	3.299	50.0	74.7	10,830
10/12/2016	1	33	RPCC - PGE	0.493	3.025	45.6	68.2	9,885
10/12/2016	1	34	RPCC - PGE	0.354	2.682	63.6	94.9	13,766
10/12/2016	1	35	RPCC - PGE	0.587	3.17	38.3	57.2	8,302
10/12/2016	1	36	RPCC - PGE	0.477	3.136	47.2	70.4	10,217
10/12/2016	1	37	RPCC - PGE	0.672	4.002	33.5	50.0	7,252
10/12/2016	1	38	RPCC - PGE	0.557	3.248	40.4	60.3	8,749

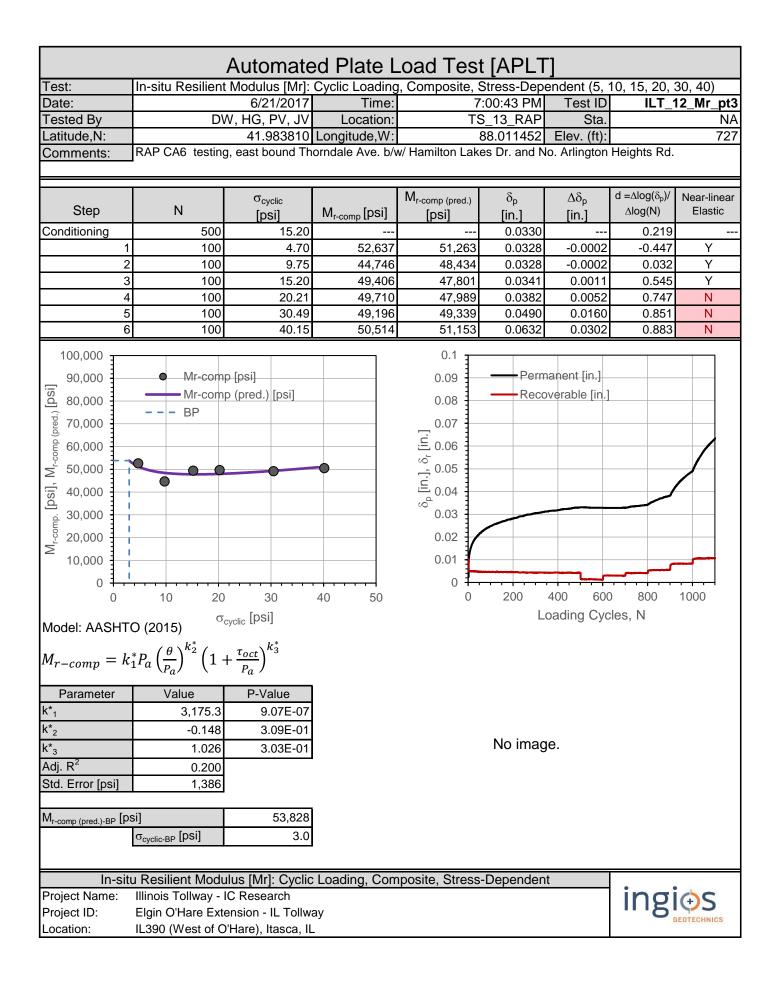
Date	TS	РТ	Material	S _{avg} (mm)	s/v	E _{vd} (Mpa)	E _{LWD} (Mpa)	E _{LWD} (psi)
10/12/2016	1	39	RPCC - PGE	0.454	3.266	49.6	74.0	10,734
10/12/2016	1	40	RPCC - PGE	0.553	2.824	40.7	60.8	8,812
10/12/2016	2	41	RAP (Access Road)	0.256	2.599	87.9	131.3	19,036
10/12/2016	2	42	RAP (Access Road)	0.3	2.806	65.8	112.0	16,244
10/12/2016	2	43	RAP (Access Road)	0.342	2.971	75.0	98.2	14,249
10/12/2016	2	44	RAP (Access Road)	0.303	2.991	74.3	110.9	16,083
10/12/2016	2	45	RAP (Access Road)	0.312	2.974	72.1	107.7	15,619
10/12/2016	2	46	RAP (Access Road)	0.38	3.223	59.2	88.4	12,824
10/12/2016	2	47	RPCC - PGE	0.447	2.906	50.3	75.2	10,902
10/12/2016	2	48	RPCC - PGE	0.321	2.394	70.1	104.7	15,182
10/12/2016	2	49	RPCC - PGE	0.32	2.705	70.3	105.0	15,229
10/12/2016	2	50	RPCC - PGE	0.46	2.785	48.9	73.0	10,594
10/12/2016	3	51	RPCC - PGE (RAMP)	0.369	3.101	61.0	91.1	13,207
10/12/2016	3	52	RPCC - PGE (RAMP)	0.448	3.353	50.2	75.0	10,878
10/12/2016	3	53	RPCC - PGE (RAMP)	0.359	2.651	62.7	93.6	13,575
10/12/2016	3	54	RPCC - PGE (RAMP)	0.343	2.897	65.6	98.0	14,208
10/12/2016	3	55	RPCC - PGE (RAMP)	0.395	2.832	57.0	85.1	12,337
10/13/2016	4	56	RAP - CA6 CAP	0.39	4.768	57.7	86.2	12,496
10/13/2016	4	57	RAP - CA6 CAP	0.463	3.944	48.6	72.6	10,525
10/13/2016	4	58	RAP - CA6 CAP	0.473	4.856	47.6	71.0	10,303
10/13/2016	4	59	RAP - CA6 CAP	0.549	5.545	41.0	61.2	8,877
10/13/2016	4	60	RAP - CA6 CAP	0.166	3.018	135.5	202.4	29,357
10/13/2016	4	61	RAP - CA6 CAP	0.243	3.135	92.6	138.3	20,055
10/13/2016	4	62	RAP - CA6 CAP	0.302	3.344	74.5	111.3	16,137
10/13/2016	4	63	RAP - CA6 CAP	0.32	4.134	70.3	105.0	15,229
10/13/2016	4	64	RAP - CA6 CAP	1.316	7.056	17.1	25.5	3,703
10/13/2016	4	65	RAP - CA6 CAP	0.279	3.135	80.7	120.4	17,467
4/11/2017	6	1	RPCC - PGE	0.345	2.782	65.2	97.4	14,125
4/11/2017	6	2	RPCC - PGE	2.206	7.39	10.2	15.2	2,209
4/11/2017	6	3	RPCC - PGE	0.825	6.212	27.3	40.7	5,907
4/11/2017	6	4	RPCC - PGE	3.037	8.357	7.4	11.1	1,605
4/11/2017	6	5	RPCC - PGE	2.393	7.377	9.4	14.0	2,036
4/11/2017	6	6	RPCC - PGE	1.052	6.722	21.4	31.9	4,632
4/11/2017	6	7	RPCC - PGE	1.243	5.676	18.1	27.0	3,921
4/11/2017	6	8	RPCC - PGE	0.334	3.212	67.4	100.6	14,591
4/11/2017	6	9	RPCC - PGE	0.666	4.651	33.8	50.5	7,317
4/11/2017	6	10	RPCC - PGE	0.932	5.26	24.1	36.1	5,229
4/11/2017	6	11	RPCC - PGE	1.011	5.198	22.3	33.2	4,820
4/11/2017	6	12	RPCC - PGE	0.529	4.423	42.5	63.5	9,212
4/11/2017	6	13	RPCC - PGE	0.96	4.122	23.4	35.0	5,076
4/11/2017	6	14	RPCC - PGE	0.377	2.762	59.7	89.1	12,926
4/11/2017	6	15	RPCC - PGE	0.447	3.326	50.3	75.2	10,902

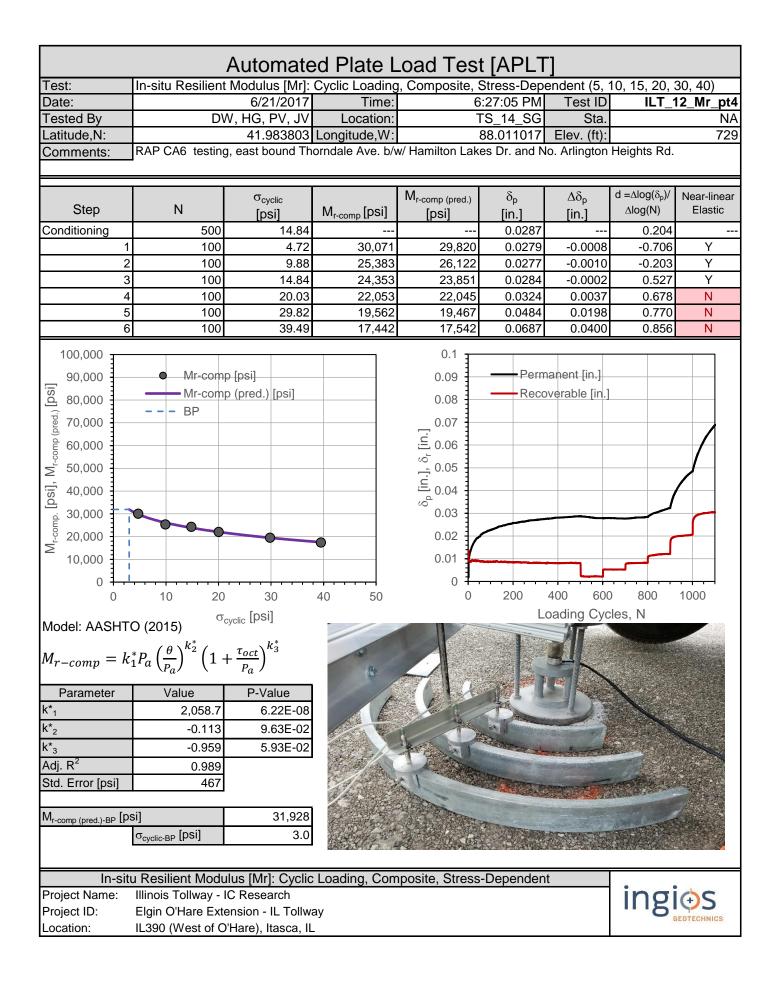
Date	TS	РТ	Material	S _{avg} (mm)	s/v	E _{vd} (Mpa)	E _{LWD} (Mpa)	E _{LWD} (psi)
4/12/2017	7	16	CA6-RAP Capping	0.199	3.062	113.1	168.8	24,489
4/12/2017	7	17	CA6-RAP Capping	0.594	5.45	37.9	56.6	8,204
4/12/2017	7	18	CA6-RAP Capping	0.934	6.813	24.1	36.0	5,218
4/12/2017	7	19	CA6-RAP Capping	0.983	7.207	22.9	34.2	4,958
4/12/2017	7	20	CA6-RAP Capping	0.286	3.629	78.7	117.5	17,039
4/12/2017	7	21	CA6-RAP Capping	1.09	7.676	20.6	30.8	4,471
4/12/2017	7	22	CA6-RAP Capping	0.658	5.328	34.2	51.1	7,406
4/12/2017	7	23	CA6-RAP Capping	0.239	3.4	94.1	140.6	20,390
4/12/2017	7	24	CA6-RAP Capping	0.355	3.561	63.4	94.6	13,728
4/12/2017	7	25	CA6-RAP Capping	0.846	6.917	26.6	39.7	5,760
4/12/2017	7	26	CA6-RAP Capping	0.423	5.159	53.2	79.4	11,521
4/12/2017	7	27	CA6-RAP Capping	0.961	7.731	23.4	35.0	5,071
4/12/2017	7	28	CA6-RAP Capping	0.282	3.389	79.8	119.1	17,281
4/12/2017	7	29	CA6-RAP Capping	0.307	2.992	73.3	109.4	15,874
4/12/2017	7	30	CA6-RAP Capping	0.382	3.793	58.9	88.0	12,757
4/12/2017	7	31	CA6-RAP Capping	0.64	6.038	35.2	52.5	7,614
4/12/2017	7	32	CA6-RAP Capping	0.26	3.19	86.5	129.2	18,743
4/12/2017	7	33	CA6-RAP Capping	1.689	9.564	13.3	19.9	2,885
4/12/2017	7	34	CA6-RAP Capping	0.757	6.085	29.7	44.4	6,438
4/12/2017	7	35	CA6-RAP Capping	0.328	3.482	68.6	102.4	14,858
4/12/2017	7	36	CA6-RAP Capping	0.401	4.33	56.1	83.8	12,153
4/12/2017	7	37	CA6-RAP Capping	0.797	5.067	28.2	42.2	6,115
4/12/2017	7	38	CA6-RAP Capping	0.37	4.084	60.8	90.8	13,171
4/12/2017	7	39	CA6-RAP Capping	1.479	7.538	15.2	22.7	3,295
4/12/2017	7	40	CA6-RAP Capping	0.763	6.332	29.5	44.0	6,387
4/18/2017	9	1	CA6-RAP Capping	0.833	6.296	27.0	40.3	5,850
4/18/2017	9	2	CA6-RAP Capping	2.875	9.789	7.8	11.7	1,695
4/18/2017	9	3	CA6-RAP Capping	0.295	3.26	76.3	113.9	16,520
4/18/2017	9	4	CA6-RAP Capping	0.332	3.673	67.8	101.2	14,679
4/18/2017	9	5	CA6-RAP Capping	0.505	4.215	44.6	66.5	9,650
4/18/2017	9	6	CA6-RAP Capping	0.271	3.379	83.0	124.0	17,983
4/18/2017	9	7	CA6-RAP Capping	0.337	3.185	66.8	99.7	14,461
4/18/2017	9	8	CA6-RAP Capping	0.668	5.314	33.7	50.3	7,295
4/18/2017	9	9	CA6-RAP Capping	0.615	5.104	36.6	54.6	7,924
4/18/2017	9	10	CA6-RAP Capping	1.008	6.47	22.3	33.3	4,835
4/18/2017	9	11	CA6-RAP Capping	1.212	7.092	18.6	27.7	4,021
4/18/2017	9	12	CA6-RAP Capping	0.324	3.699	69.4	103.7	15,041
4/18/2017	9	13	CA6-RAP Capping	1.381	7.706	16.3	24.3	3,529
4/18/2017	9	14	CA6-RAP Capping	1.366	7.24	16.5	24.6	3,568
4/18/2017	9	15	CA6-RAP Capping	1.644	8.483	13.7	20.4	2,964
5/4/2017	12	1	CA6-RAP Capping	0.57	6.312	39.5	58.9	8,550
5/4/2017	12	2	CA6-RAP Capping	0.333	4.531	67.6	100.9	14,634

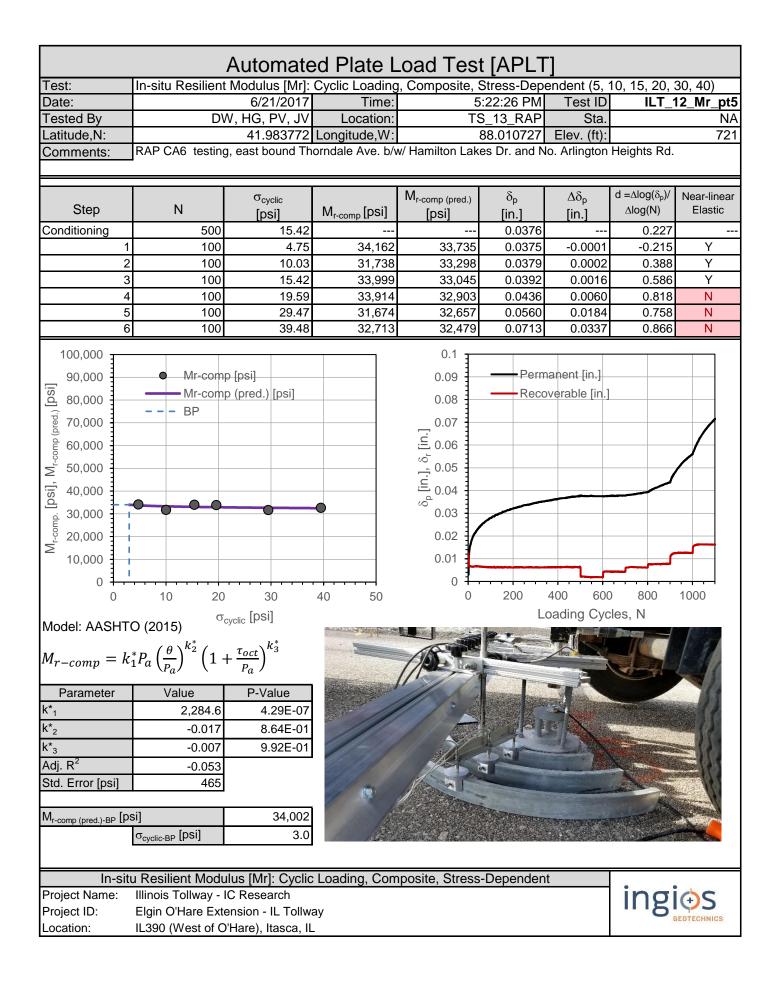
Date	TS	РТ	Material	S _{avg} (mm)	s/v	E _{vd} (Mpa)	E _{LWD} (Mpa)	E _{LWD} (psi)
5/4/2017	12	3	CA6-RAP Capping	0.453	5.201	49.7	74.2	10,758
5/4/2017	12	4	CA6-RAP Capping	0.663	5.638	33.9	50.7	7,350
5/4/2017	12	5	CA6-RAP Capping	1.398	8.577	16.1	24.0	3,486
5/4/2017	12	6	CA6-RAP Capping	0.773	6.31	29.1	43.5	6,304
5/4/2017	12	7	CA6-RAP Capping	0.613	5.284	36.7	54.8	7,950
5/4/2017	12	8	CA6-RAP Capping	0.815	6.996	27.6	41.2	5,979
5/4/2017	12	9	CA6-RAP Capping	0.339	3.52	66.4	99.1	14,375
5/4/2017	12	10	CA6-RAP Capping	0.545	4.827	41.3	61.7	8,942
5/4/2017	12	11	CA6-RAP Capping	0.344	3.9	65.4	97.7	14,167
5/4/2017	12	12	CA6-RAP Capping	0.786	6.303	28.6	42.7	6,200
5/4/2017	12	13	CA6-RAP Capping	0.367	0.367	61.3	91.6	13,279
5/4/2017	12	14	CA6-RAP Capping	1.367	7.641	16.5	24.6	3,565
5/4/2017	12	15	CA6-RAP Capping	0.311	3.337	72.4	108.0	15,670
6/21/2017	13	1	CA6-RAP Capping	0.842	6.993	26.7	39.9	5,788
6/21/2017	13	2	CA6-RAP Capping	0.538	4.936	41.8	62.5	9,058
6/21/2017	13	3	CA6-RAP Capping	0.267	2.89	84.3	125.8	18,252
6/21/2017	13	4	CA6-RAP Capping	0.445	4.432	50.6	75.5	10,951
6/21/2017	13	5	CA6-RAP Capping	0.361	4.625	62.3	93.1	13,499
6/21/2017	13	6	CA6-RAP Capping	0.294	3.67	76.5	114.3	16,576
6/21/2017	13	7	CA6-RAP Capping	0.229	2.921	98.3	146.7	21,281
6/21/2017	13	8	CA6-RAP Capping	0.598	5.712	37.6	56.2	8,149
6/21/2017	13	9	CA6-RAP Capping	0.462	5.291	48.5	72.7	10,548
6/21/2017	13	10	CA6-RAP Capping	0.292	3.852	77.1	115.1	16,689
6/21/2017	13	11	CA6-RAP Capping	0.785	5.34	28.7	42.8	6,208
6/21/2017	13	12	CA6-RAP Capping	0.354	3.839	63.6	94.9	13,766
6/21/2017	13	13	CA6-RAP Capping	0.281	3.394	80.1	119.6	17,343
6/21/2017	13	14	CA6-RAP Capping	0.36	4.265	62.5	93.3	13,537
6/21/2017	14	15	Subgrade	1.306	6.507	17.2	15.2	2,198
6/21/2017	14	16	Subgrade	0.138	2.296	163.0	143.4	20,801
6/21/2017	14	17	Subgrade	0.161	2.385	139.8	122.9	17,830
6/21/2017	14	18	Subgrade	0.211	2.576	106.6	93.8	13,605
6/21/2017	14	19	Subgrade	3.294	8.61	6.8	6.0	871
6/21/2017	14	20	Subgrade	4.276	7.681	5.3	4.6	671

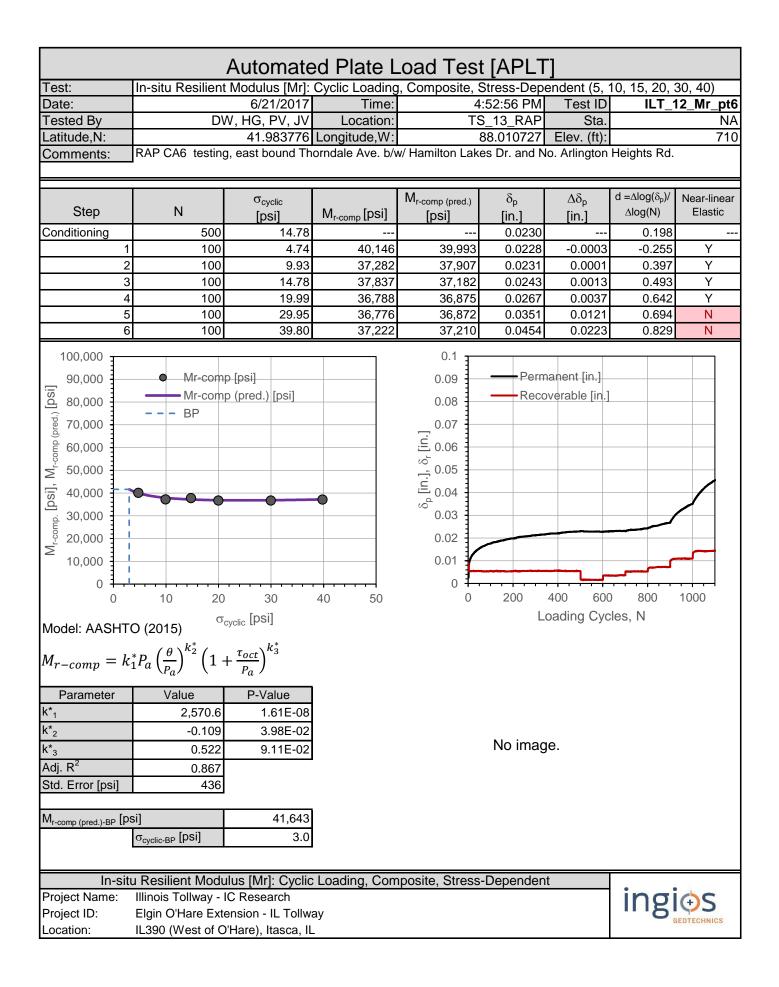


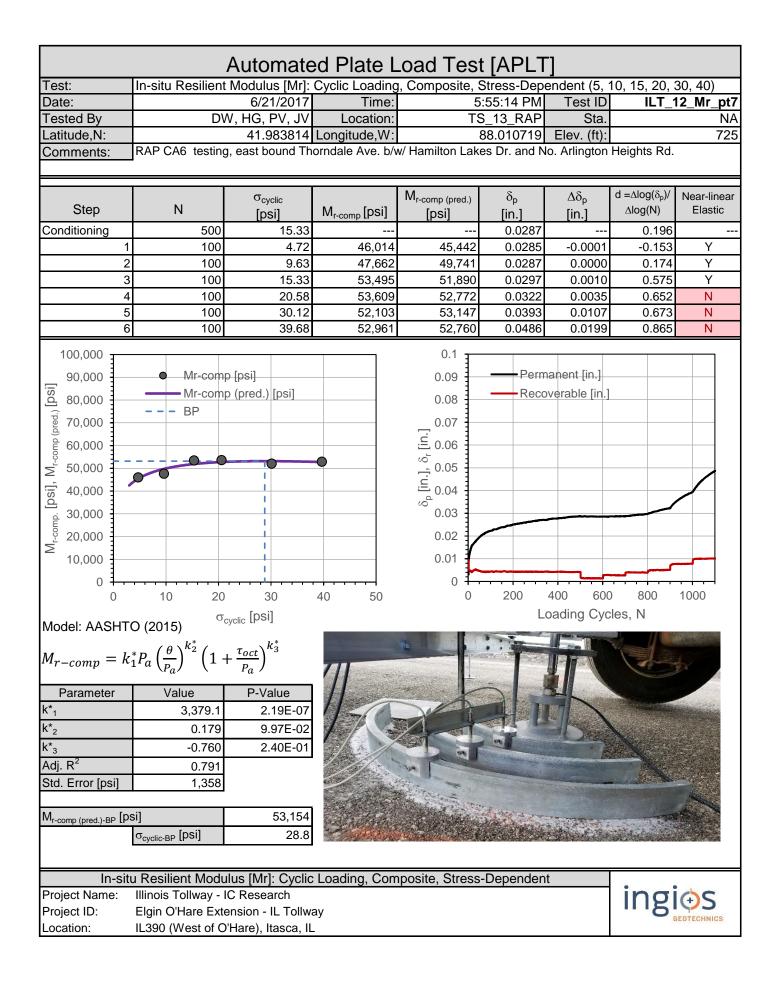


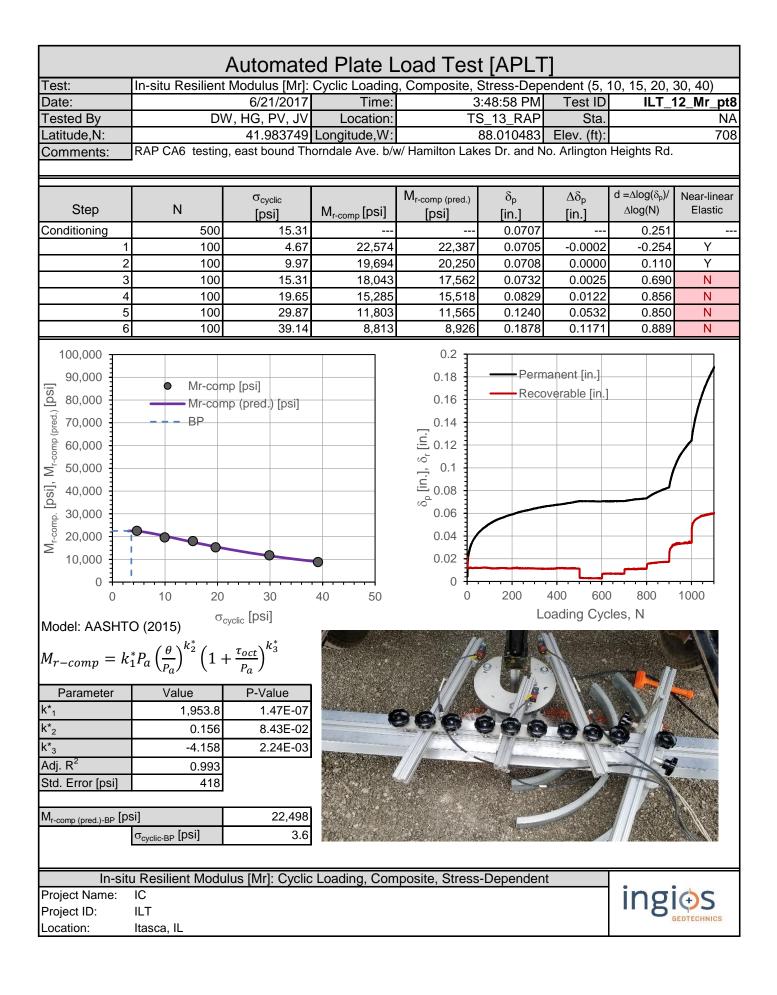


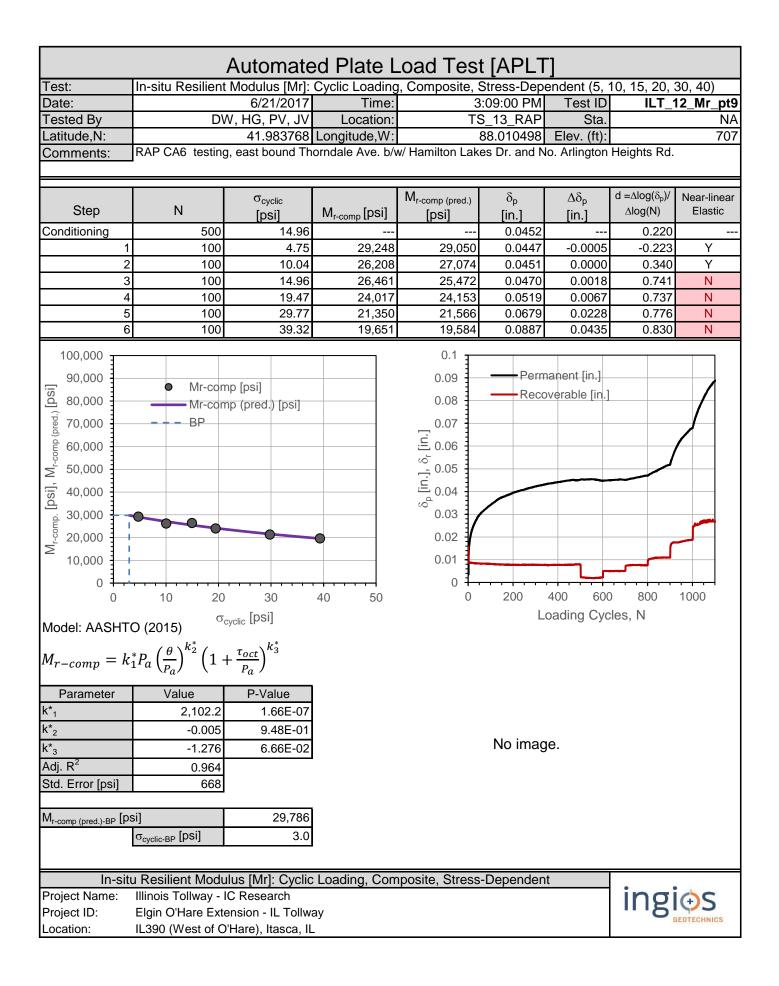


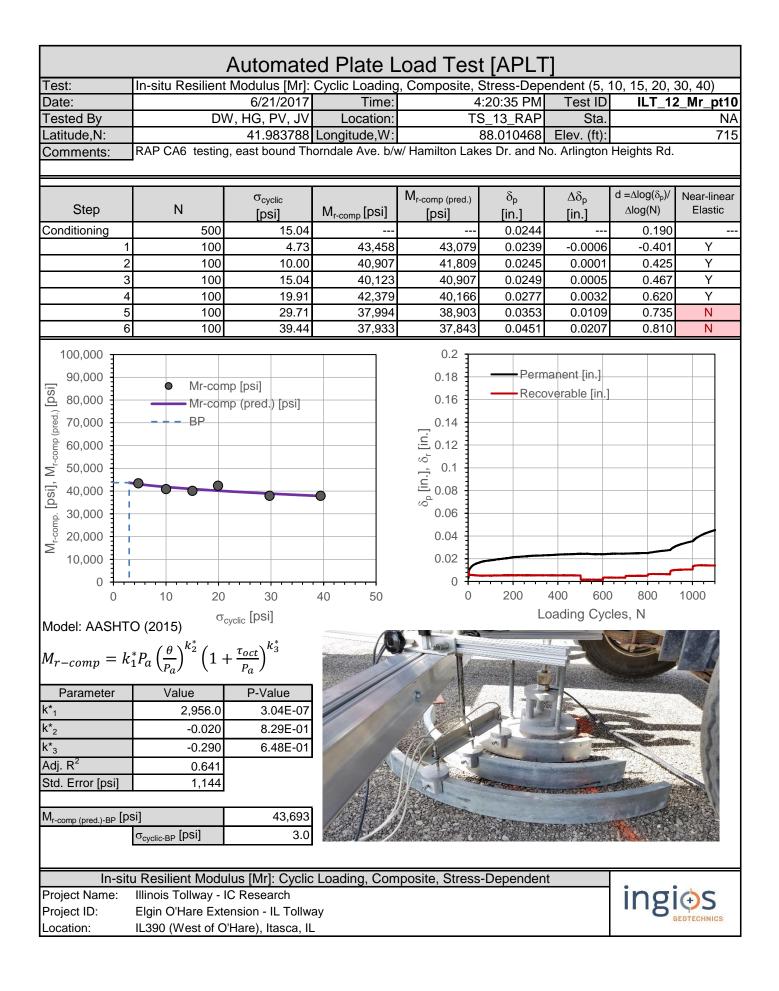


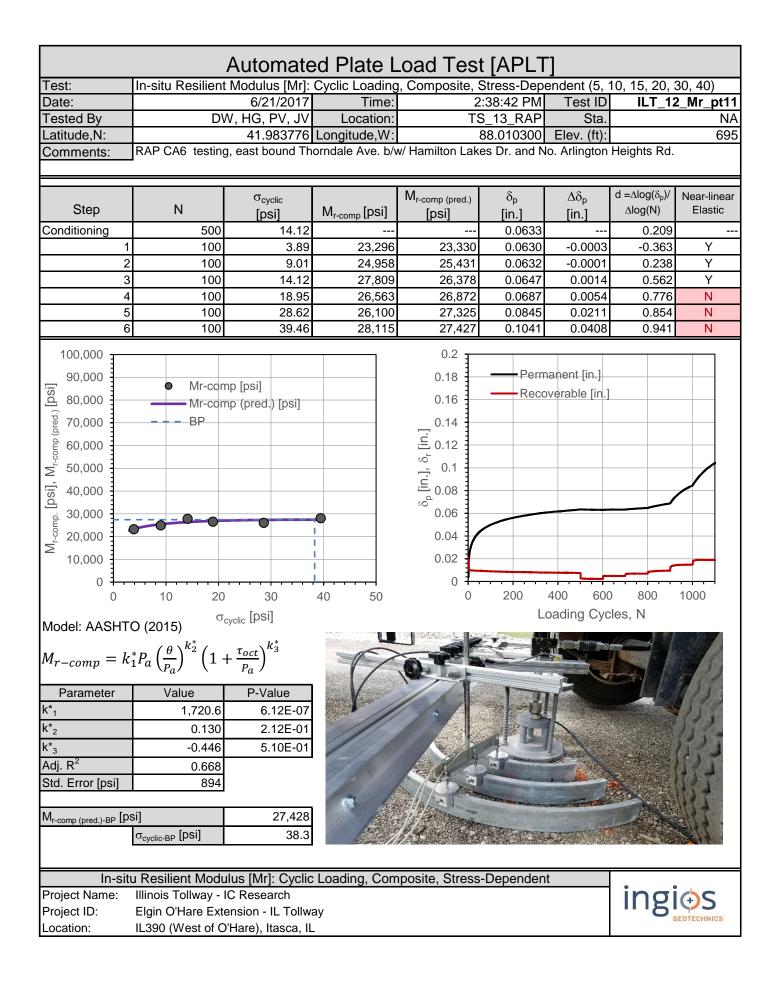


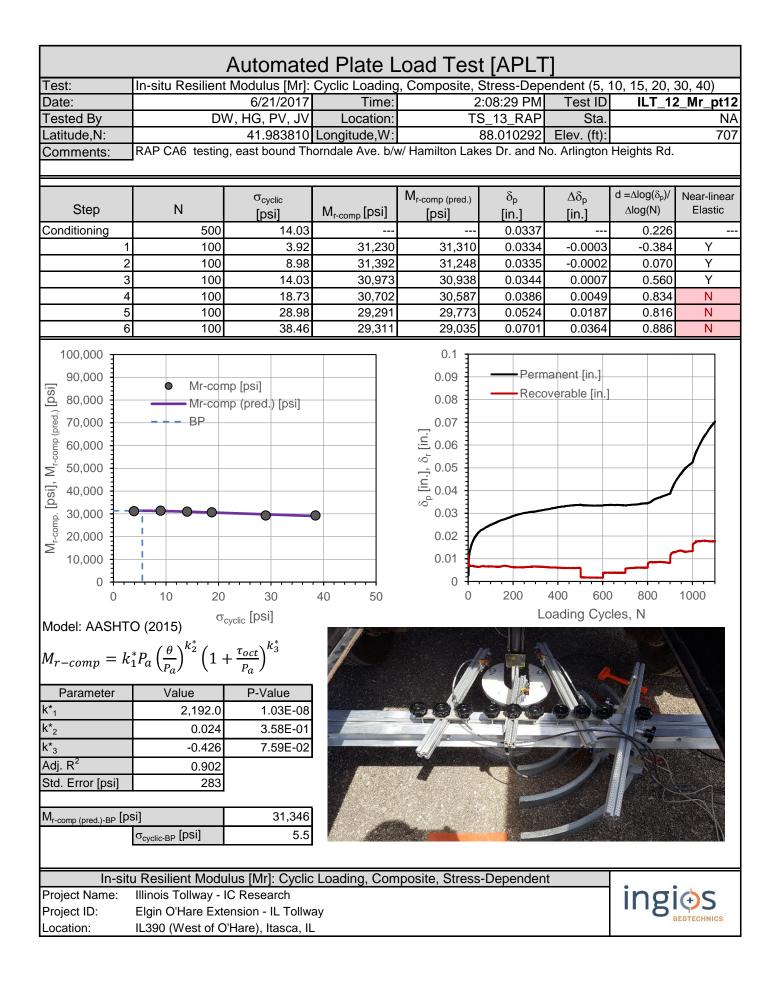


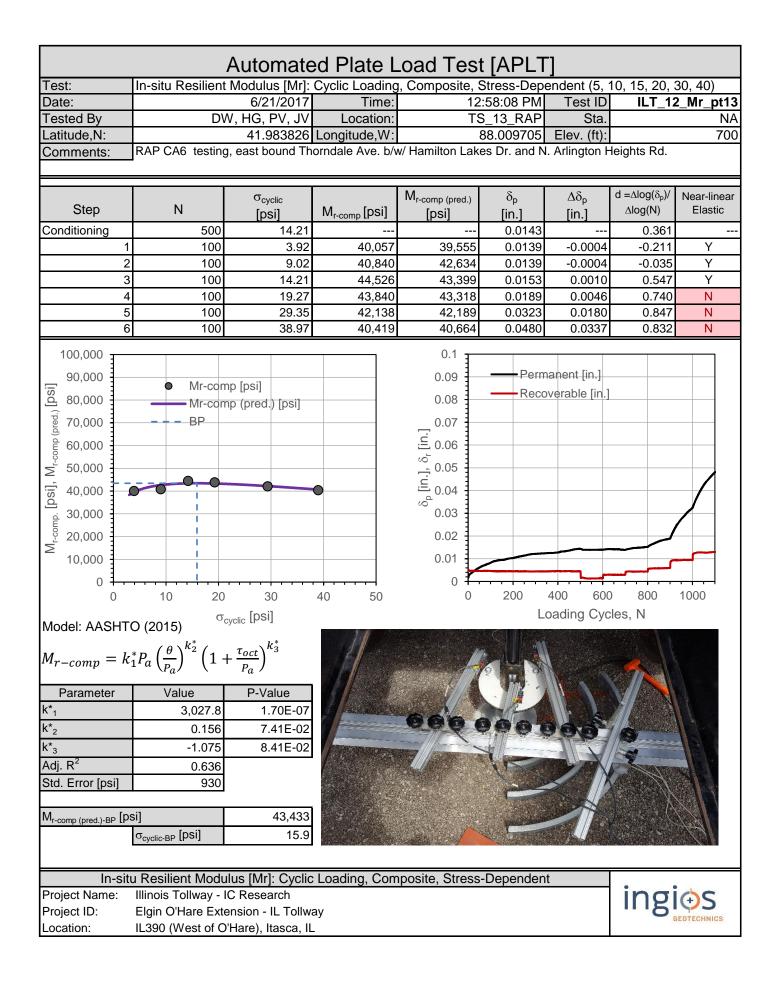


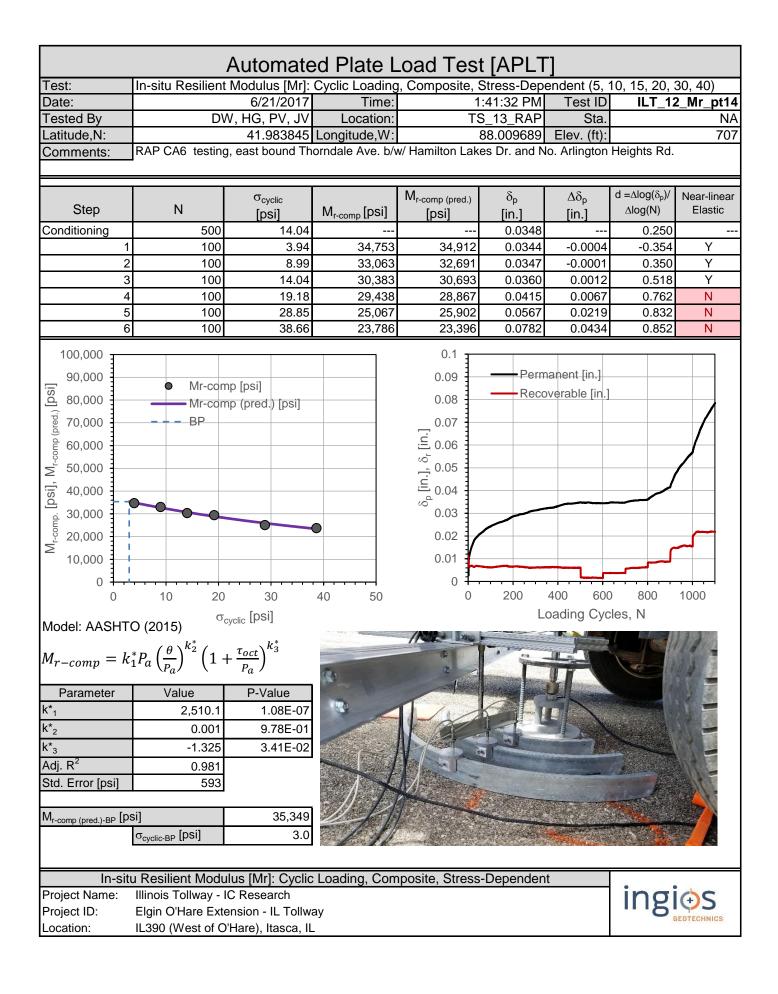


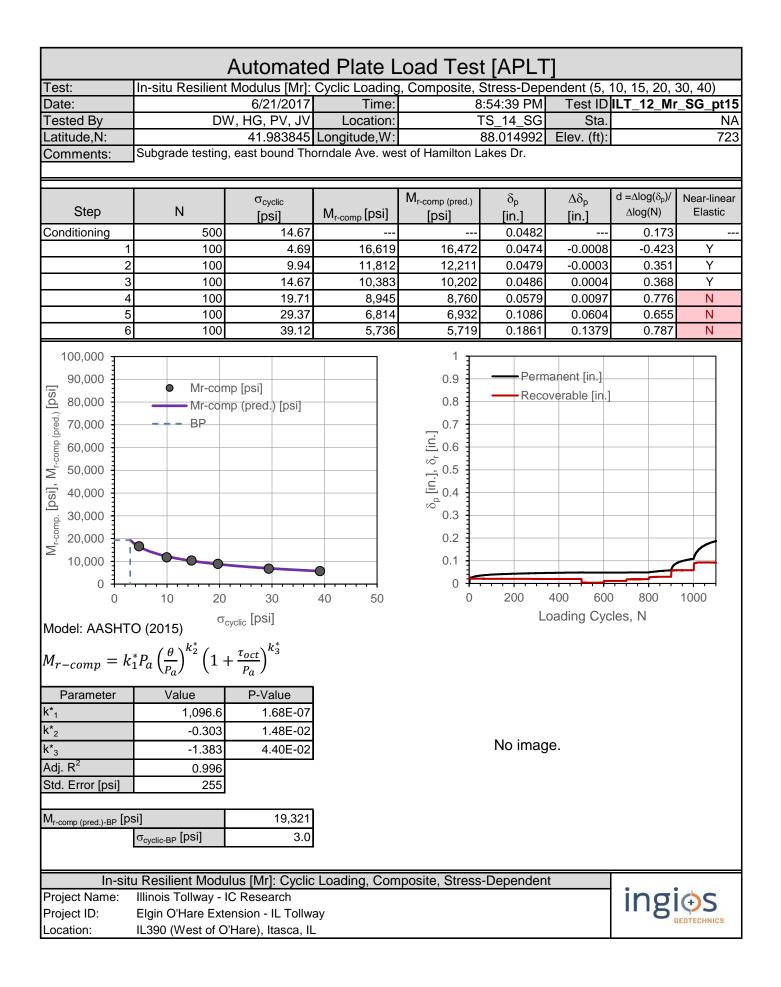


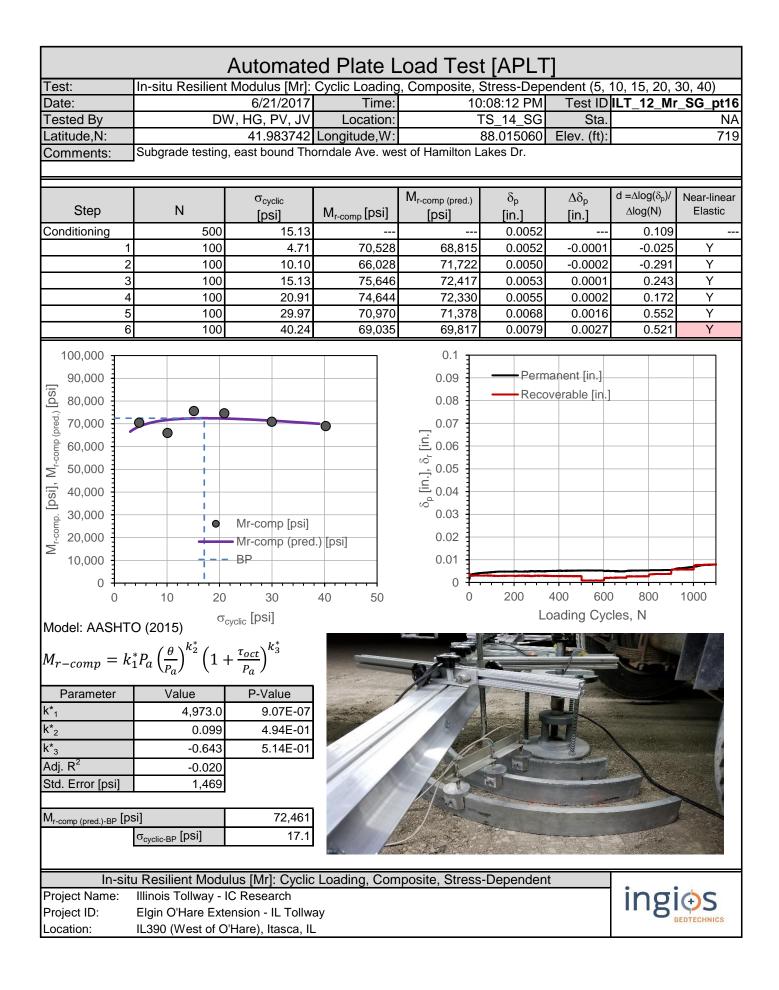


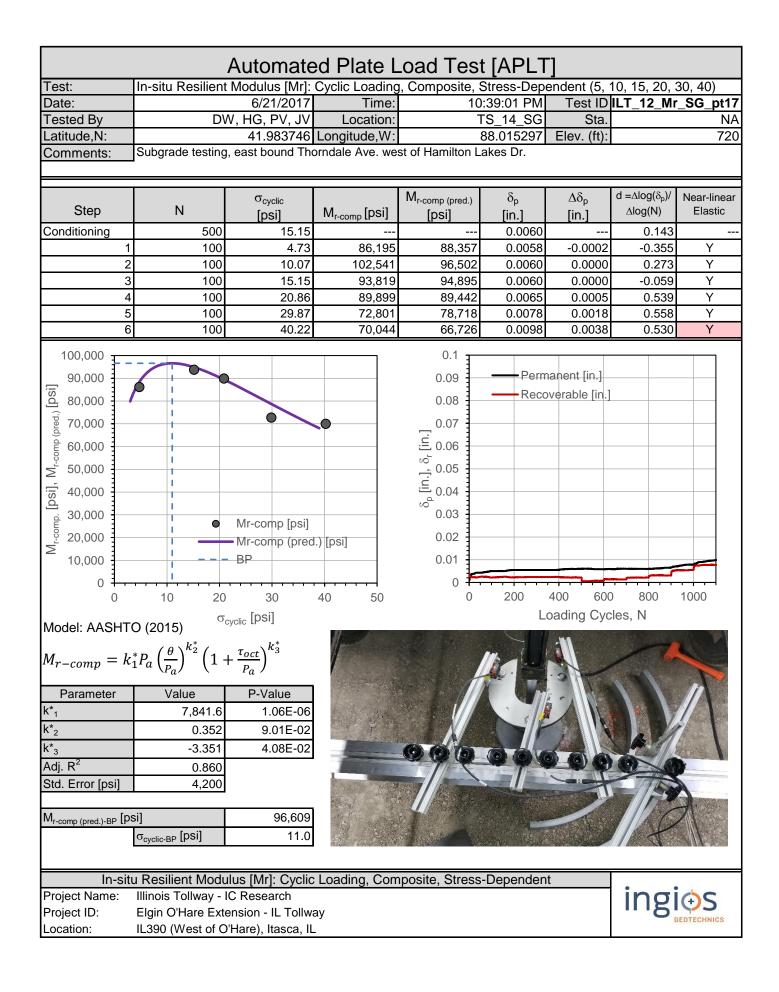


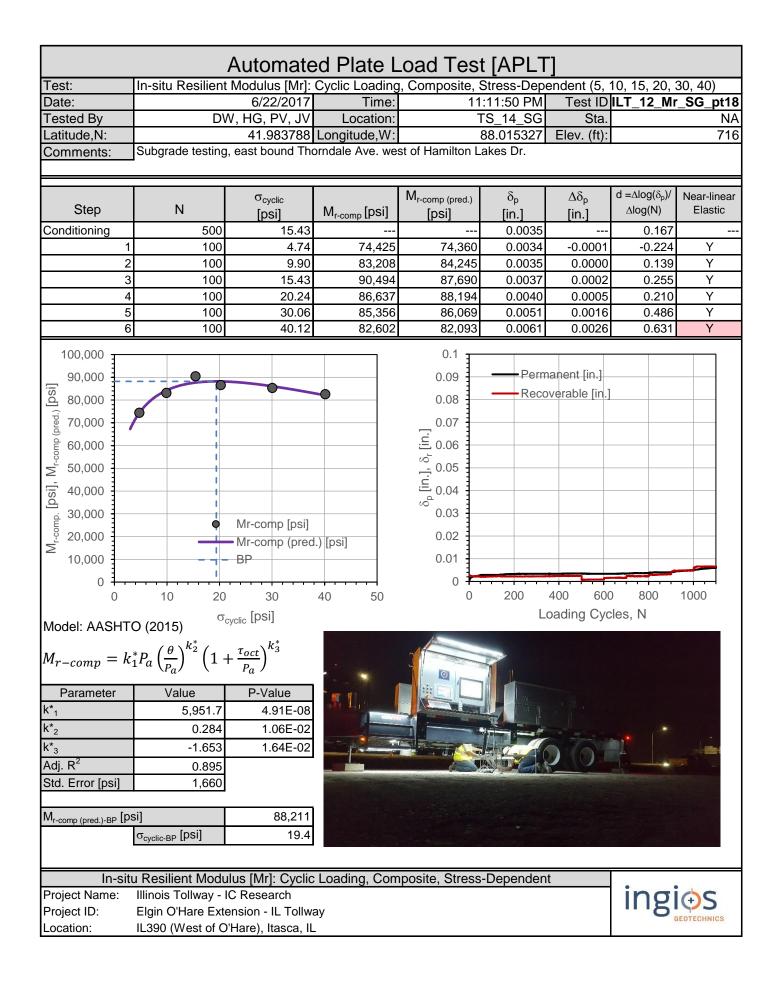


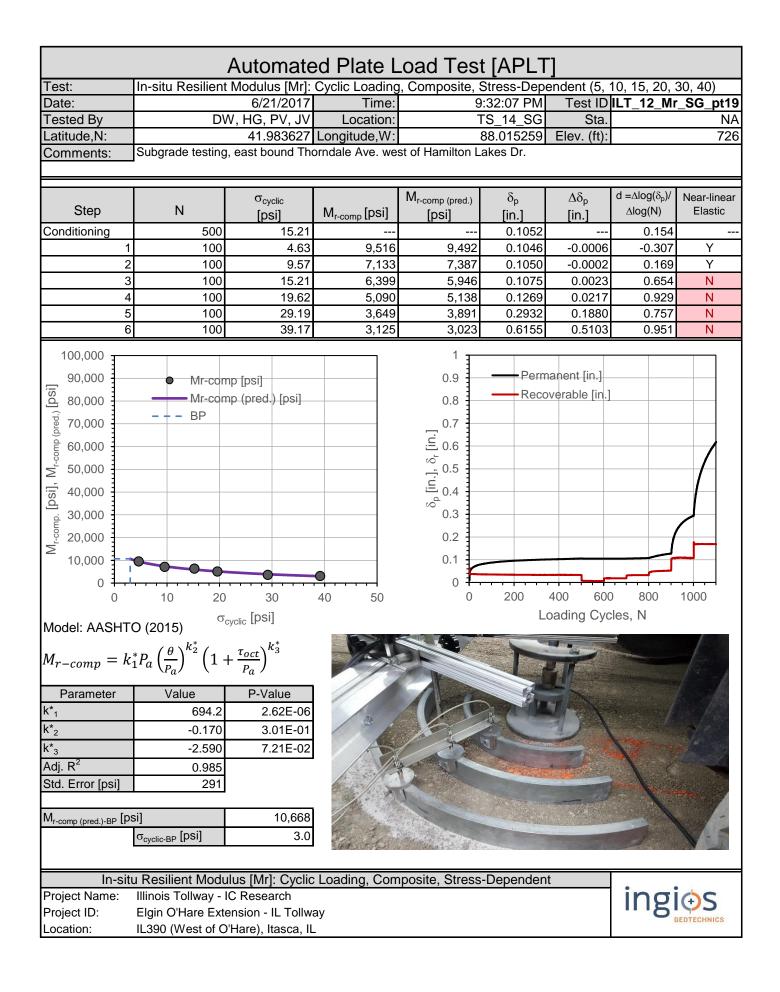


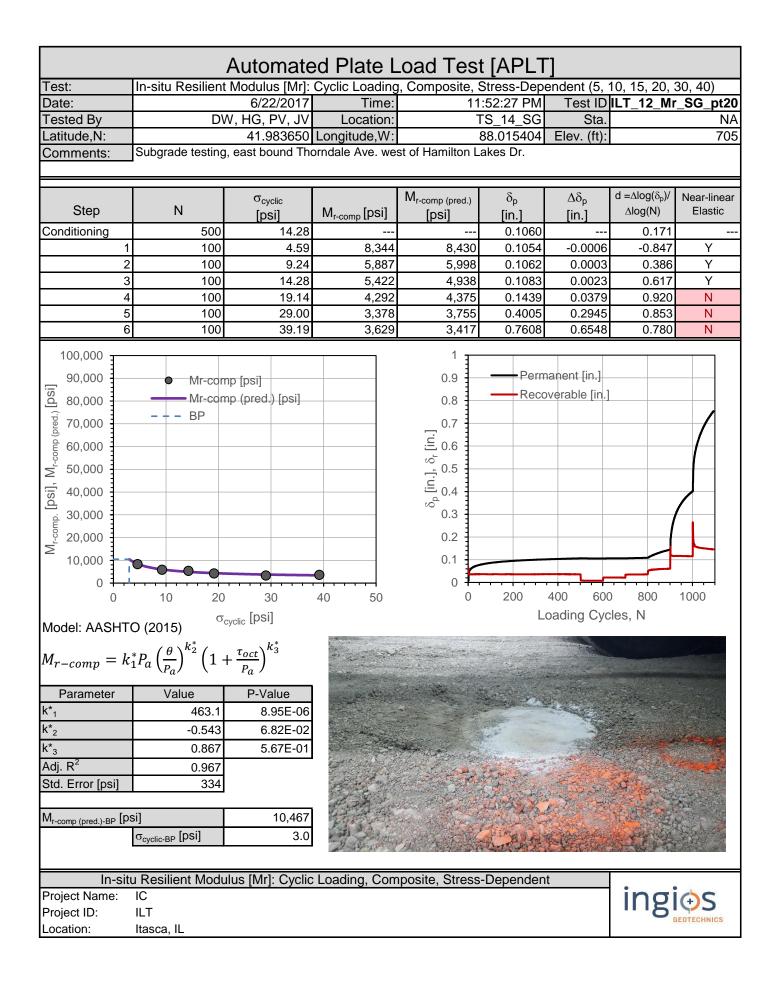




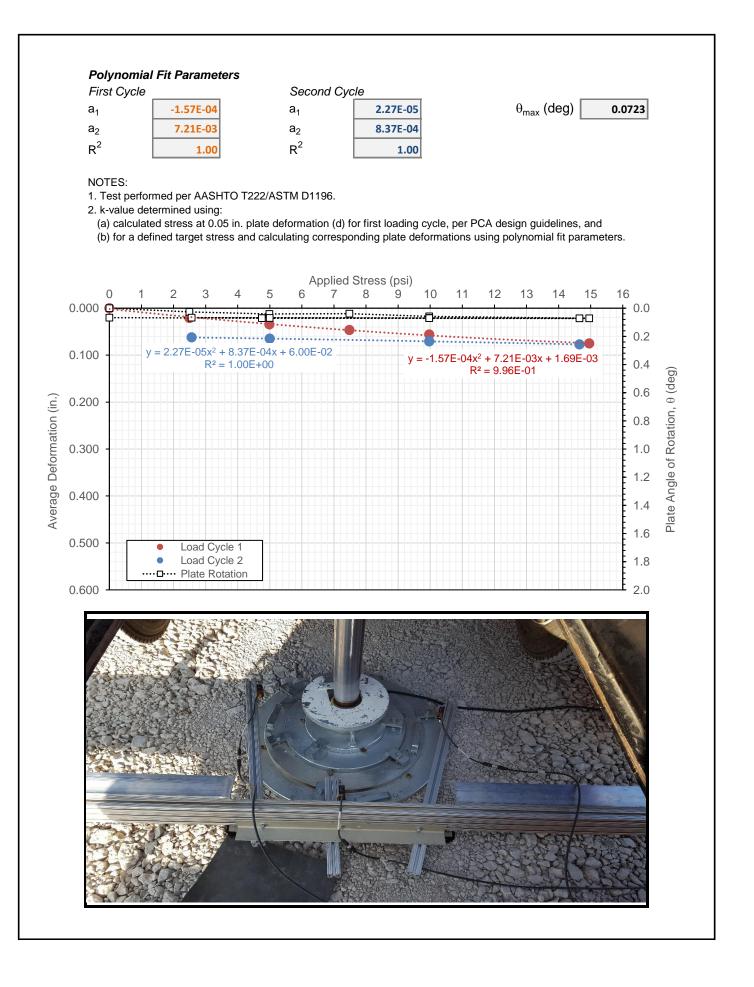




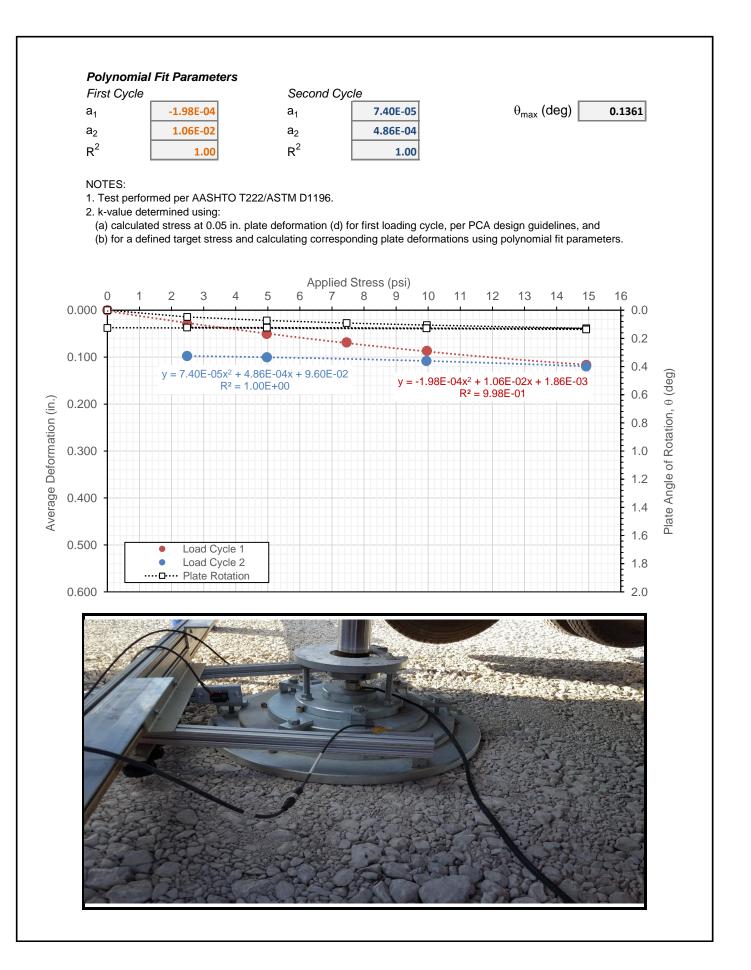




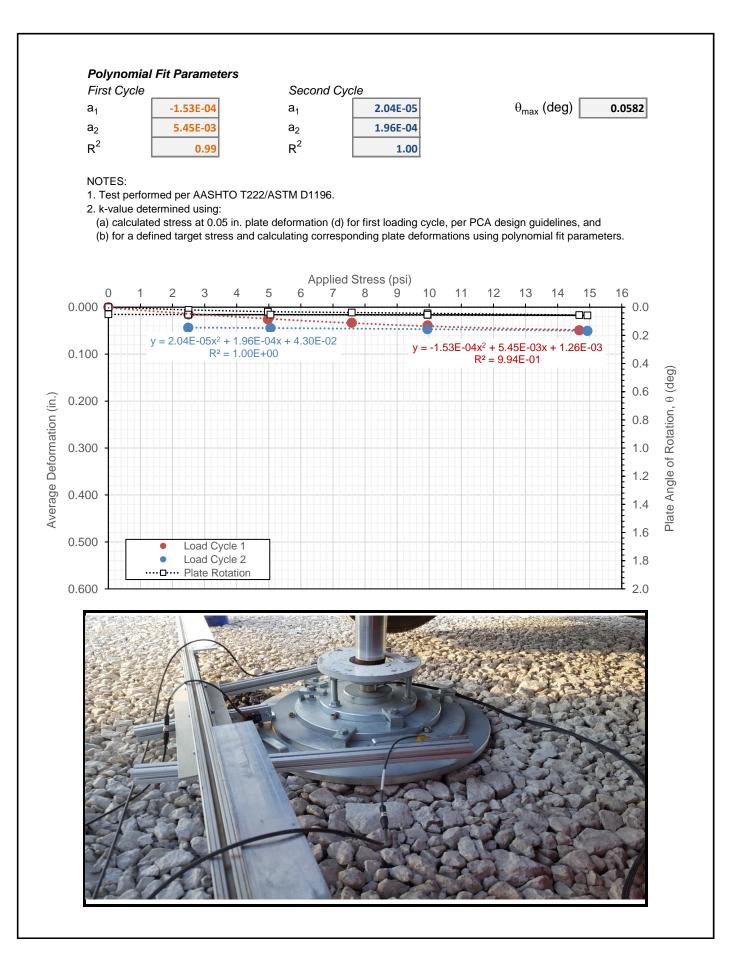
Automated Plate Load Test [APLT]											
Test:				wo Loading C							
Date:	6/22/2017		Time:	6:37:55 PM		Test ID	TS15_PT1				
Tested By	DW, HG, PV		Location:	TS15_PGE Sta.				NA			
Latitude:	41.98370		Longitude:	88.01403 Elev. (ft):					NA		
Comments: Test on compacted nominal 6 in. thick PGE placed over subgrade.											
	Load		Target Applied Load	Target Applied	Actual Applied	D	eformation (i	,	Average		
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)		
0	Seating	0	707	1	1.31	0.0190	0.0116	0.0086	0.0131		
		Zero lo	oad and defori	mation sensors	s after applyin	g the seating	y stress.				
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000		
1	Load	1	1767	2.5	2.49	0.0278	0.0188	0.0163	0.0210		
1	Load	2	3534	5	4.98	0.0451	0.0316	0.0271	0.0346		
1	Load	3	5301	7.5	7.48	0.0568	0.0403	0.0426	0.0466		
1	Load	4	7069	10	9.97	0.0712	0.0471	0.0496	0.0559		
1	Load	5	10603	15	14.96	0.0939	0.0637	0.0680	0.0752		
1	Load	6	7069	10	9.97	0.0902	0.0615	0.0643	0.0720		
1	Unload	7	1767	2.5	2.56	0.0797	0.0517	0.0554	0.0622		
1	Unload	8	3534	5	5.00	0.0828	0.0528	0.0590	0.0649		
1	Unload	9	7069	10	9.97	0.0888	0.0582	0.0646	0.0705		
2	Load	10	10603	15	14.65	0.0958	0.0650	0.0707	0.0772		
2	Load	11	3534	5	4.75	0.0868	0.0561	0.0629	0.0686		
2	Load	12	0	0	0.00	0.0764	0.0478	0.0533	0.0591		
Plate Diameter: 30.0 Shape factor: 2.67 Material Type: B Poisson's ratio: 0.35 Design Stress: (assumed) 10.0 Target Deformation: 0.05 in.PCA Design Criteria k_u (pci) @ $\delta = 0.05$ in.:								ess:	<mark>169</mark> 149		
Modulus at tar	aet deforma	ation		Modulus at ta	rget/design ar	oplied stress	5				
Stress @ δ = 0.05 in.(psi) 7.4 E ₁ (psi) NA k' _u (pci) NA				First Loading (0.0564 5,922 177 169					
k_u (pci) Plate Bending Con $k'_u \ge 100 an$ $k_u = -39.9178 -$	nd 1,000 pci	NA .7019		Second Loadir E_2 / E_1 or $k_2 /$	$\begin{array}{l} & \text{bg Cycle} \\ \delta_2 \mbox{ (in.)} \\ & \text{E}_2 \mbox{ (psi)} \\ & \text{k'}_{u2} \mbox{ (pci)} \\ & \text{k}_{u2} \mbox{ (pci)} \end{array}$	0.0106 22,210 940 633 3.8					
In-situ Modulus of Subgrade Reaction (k) and Elastic Modulus Project Name: Illinois Tollway - IC Research Project ID: Elgin O'Hare Extension - IL Tollway Location: IL390 (West of O'Hare), Itasca, IL											



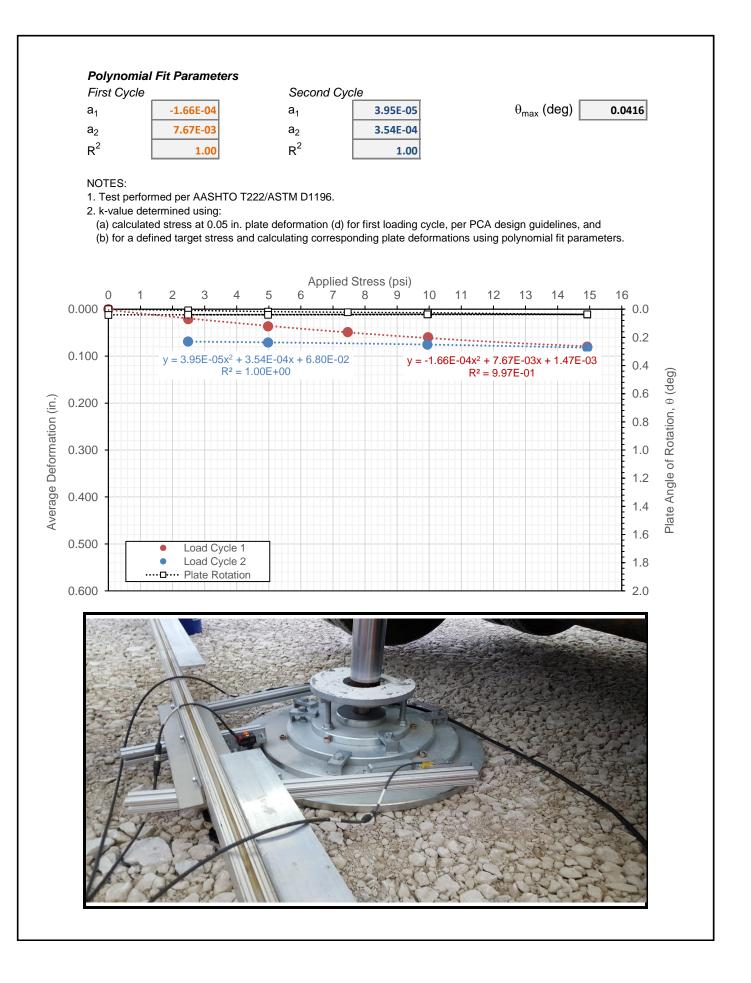
		ł	Automate	ed Plate	Load Te	st [APL	T]		
Test:				wo Loading C					
Date:		22/2017	Time:		7:09:53 PM			•	TS15_PT2
Tested By		HG, PV			TS15_PGE	Sta.			NA
Latitude:	41	1.98369	Longitude:		88.01438	Elev. (ft):			NA
Comments:	Test on com	npacted r	ominal 6 in. th	ick PGE placed	over subgrade	Э.			
	-								
		Load	Target Applied Load	Target Applied	Actual Applied	D	eformation (i	n.)	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0224	0.0334	0.0159	0.0239
		Zero le	oad and defor	mation sensors	s after applyin	g the seating	stress.		
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.49	0.0223	0.0423	0.0248	0.0298
1	Load	2	3534	5	4.97	0.0354	0.0681	0.0482	0.0506
1	Load	3	5301	7.5	7.46	0.0490	0.0901	0.0669	0.0687
1	Load	4	7069	10	9.96	0.0625	0.1105	0.0875	0.0868
1	Load	5	10603	15	14.92	0.0873	0.1458	0.1174	0.1168
1	Load	6	7069	10	9.95	0.0835	0.1413	0.1134	0.1128
1	Unload	7	1767	2.5	2.48	0.0693	0.1252	0.0986	0.0977
1	Unload	8	3534	5	4.97	0.0717	0.1278	0.1012	0.1002
1	Unload	9	7069	10	9.94	0.0788	0.1370	0.1087	0.1081
2	Load	10	10603	15	14.92	0.0886	0.1503	0.1202	0.1197
2	Load	11	3534	5	0.00	0.0638	0.1201	0.0938	0.0926
2	Load	12	0	0	0.00	0.0580	0.1151	0.0871	0.0867
Plate Diameter Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	: (assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, E psi	= Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (pci) @ k _u (pci) @	design stress $\delta = 0.05$ in.	ess:	115 104
Modulus at tar	rget deforma	ation		Modulus at ta	rget/design a	oplied stress	5		
Stress @ $\delta = 0$ E ₁ (psi)	0.05 in.(psi)	5.2 NA		First Loading Cycle δ_1 (in.) 0.0863 E ₁ (psi) 4,029					
k' _u (pci)		NA			k' _{u1} (pci)	116			
k _u (pci)		NA			k _{u1} (pci)	115			
			I.	Second Loadir	ng Cycle				
					δ ₂ (in.)	0.0123			
E ₂ (psi) 19,974									
Plate Bending Co	rrection for				k' _{u2} (pci)	816			
0	$k'_{u} \geq 100 \ and \ 1,000 \ pci$				k _{u2} (pci) 569				
$k_u = -39.9178$).7019		E_2 / E_1 or k_2 /	k ₁ Ratio	5.0			
	In-situ M	lodulus	of Subgrade I	Reaction (k) a	nd Elastic Mc	dulus			
Project Name:								ingi	de la
Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway					I'I'B' 'I'	Cψ
Location:	-		re), Itasca, IL					GE	UTECHNICS



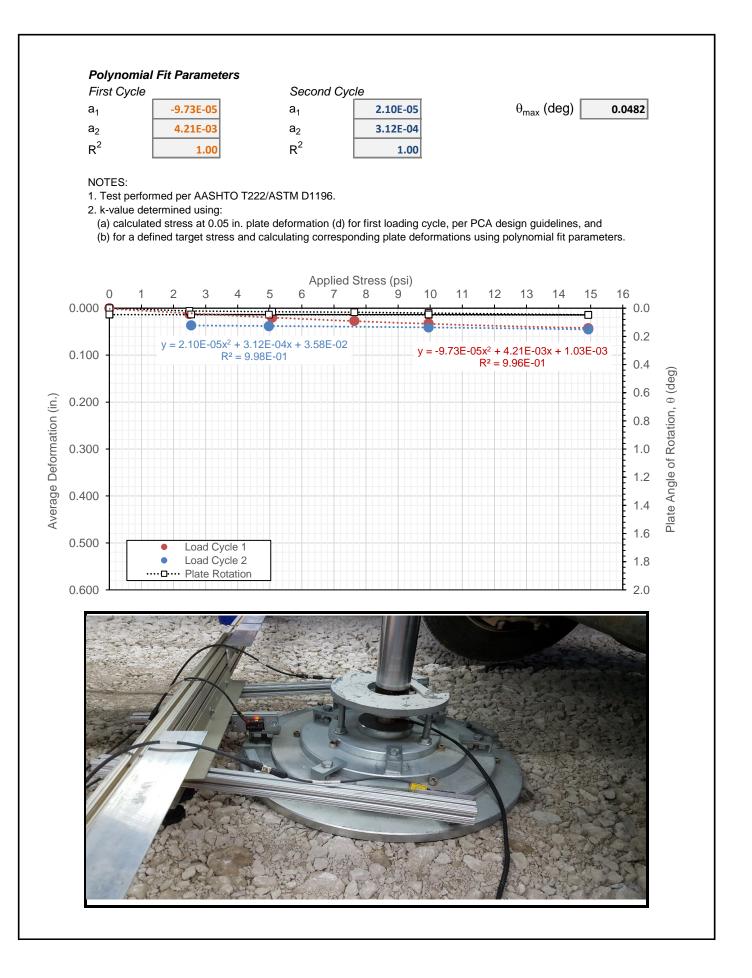
		l	Automate	ed Plate	Load Te	st [APL	.T]		
Test:			Load Test: T	wo Loading C					
Date:		22/2017	Time:		7:54:27 PM	Test ID		-	TS15_PT3
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	1.98375	Longitude:		88.01477	Elev. (ft):			NA
Comments:	Test on com	pacted r	ominal 6 in. th	ick PGE placed	over subgrade	Э.			
		Load	Target Applied Load	Target Applied	Actual Applied		Deformation (i	,	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0137	0.0252	0.0230	0.0207
				mation sensors					
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.50	0.0115	0.0204	0.0144	0.0154
1	Load	2	3534	5	4.97	0.0199	0.0339	0.0238	0.0259
1	Load	3	5301	7.5	7.58	0.0267	0.0427	0.0300	0.0331
1	Load	4	7069	10	9.95	0.0309	0.0499	0.0359	0.0389
1	Load	5	10603	15	14.68	0.0382	0.0628	0.0461	0.0490
1	Load	6	7069	10	9.95	0.0372	0.0613	0.0445	0.0476
1	Unload	7	1767	2.5	2.49	0.0336	0.0569	0.0403	0.0436
1	Unload	8	3534	5	5.05	0.0345	0.0577	0.0410	0.0444
1	Unload	9	7069	10	9.94	0.0367	0.0605	0.0437	0.0470
2	Load	10	10603	15	14.93	0.0389	0.0648	0.0475	0.0504
2	Load	11	3534	5	0.00	0.0333	0.0555	0.0388	0.0426
2	Load	12	0	0	0.00	0.0317	0.0532	0.0361	0.0403
Plate Diameter Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	(assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, E psi	s = Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (рсі) @ k _u (рсі) @	$\delta = 0.05 \text{ in.}$	ess:	229 NA*
Modulus at tar	get deforma	ation		Modulus at ta	rget/design ap	oplied stress	5		
Stress @ $\delta = 0$	-			First Loading (
	*0.05 in. defo		ot achieved	0	δ ₁ (in.)	0.0392			
E₁ (psi)		NA			E ₁ (psi)	8,046			
k' _u (pci)		NA			k' _{u1} (pci)	255			
k _u (pci)		NA			k _{u1} (pci)	229			
u (r - 7	l			Second Loadir		-	l		
				Cocona Loadin	δ_2 (in.)	0.0040			
					E_2 (psi)	45,547			
Plate Bending Co	rraction for				^L ₂ (pci) k' _{u2} (pci)	2,503			
5					k _{u2} (pci)				
	nd 1,000 pci	.7019		E_2/E_1 or $k_2/$		1,298			
$k_u = -39.9178$ ·	+ 5.50/6 $[K_u]^0$			$L_2 / L_1 \cup K_2 /$	n ₁ maliu	5.7	l		
				Reaction (k) a	nd Elastic Mo	dulus			
Project Name:	Illinois Tollw	ay - IC R	esearch					ingi	24
Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway					U B	UTECHNICS
Location:	IL390 (West	of O'Ha	re), Itasca, IL					GE	UTECHNICS



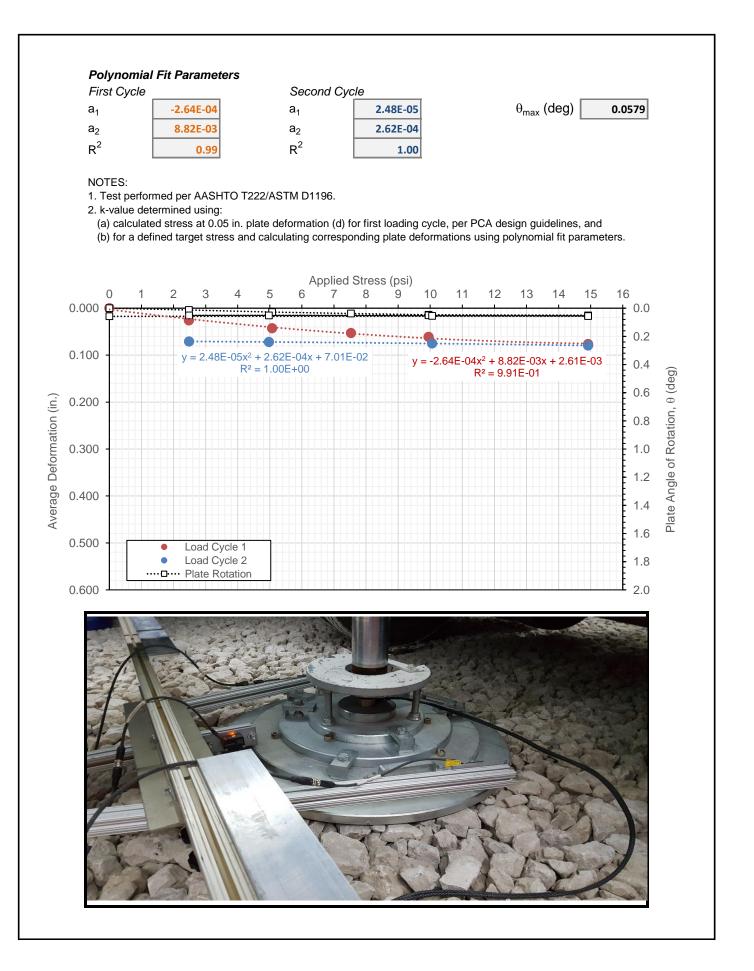
		ŀ	Automate	ed Plate	Load Te	st [APL	.T]		
Test:				wo Loading C					
Date:		22/2017	Time:		8:32:59 PM				TS15_PT4
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	1.98377	Longitude:		88.01497	Elev. (ft):			NA
Comments:	Test on com	pacted r	ominal 6 in. th	ick PGE placed	over subgrade	э.			
					-				
		Load	Target Applied Load	Target Applied	Actual Applied	D	eformation (i	in.)	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0142	0.0247	0.0297	0.0228
		Zero l	oad and defor	mation sensors	s after applyin	g the seating	g stress.		
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.49	0.0195	0.0216	0.0231	0.0214
1	Load	2	3534	5	4.98	0.0332	0.0358	0.0409	0.0366
1	Load	3	5301	7.5	7.46	0.0455	0.0469	0.0545	0.0489
1	Load	4	7069	10	9.96	0.0575	0.0555	0.0661	0.0597
1	Load	5	10603	15	14.93	0.0792	0.0715	0.0884	0.0797
1	Load	6	7069	10	9.96	0.0765	0.0693	0.0858	0.0772
1	Unload	7	1767	2.5	2.49	0.0679	0.0608	0.0783	0.0690
1	Unload	8	3534	5	4.97	0.0699	0.0623	0.0803	0.0709
1	Unload	9	7069	10	9.94	0.0745	0.0673	0.0841	0.0753
2	Load	10	10603	15	14.92	0.0817	0.0739	0.0906	0.0821
2	Load	11	3534	5	0.00	0.0649	0.0575	0.0756	0.0660
2	Load	12	0	0	0.00	0.0608	0.0546	0.0731	0.0628
2	Load	12	0	0	0.00	0.0000	0.0040	0.0707	0.0020
Plate Diameter Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	: (assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, E psi	B = Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (pci) @ k _u (pci) @	δ design stress $\delta = 0.05$ in.	ess: :	160 169
Modulus at tai	rget deforma	ation		Modulus at ta	rget/design a	oplied stress	6		
Stress @ $\delta = 0$ E ₁ (psi) k' _u (pci)	0.05 in.(psi)	7.9 NA NA		First Loading Cycle 0.0601 δ_1 (in.) 0.0601 E_1 (psi) 5,601 k' _{u1} (pci) 166					
k _u (pci)		NA		Second Loadir		160			
Plate Panding Co	reaction for			$\begin{array}{c c} \delta_2 \ (\text{in.}) & & 0.0075 \\ E_2 \ (\text{psi}) & & 28,798 \\ k'_{u2} \ (\text{pci}) & & 1,335 \end{array}$					
$k'_u \ge 100 a$	Plate Bending Correction for $k'_{u} \ge 100 \text{ and } 1,000 \text{ pci}$ $k_{u} = -39.9178 + 5.5076 [k'_{u}]^{0.7019}$				k _{u2} (pci) 820				
$n_u = -39.9176$						5.1	 		
				Reaction (k) a	nd Elastic Mc	dulus			
Project Name: Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway						
Location:		попопа	re), Itasca, IL						



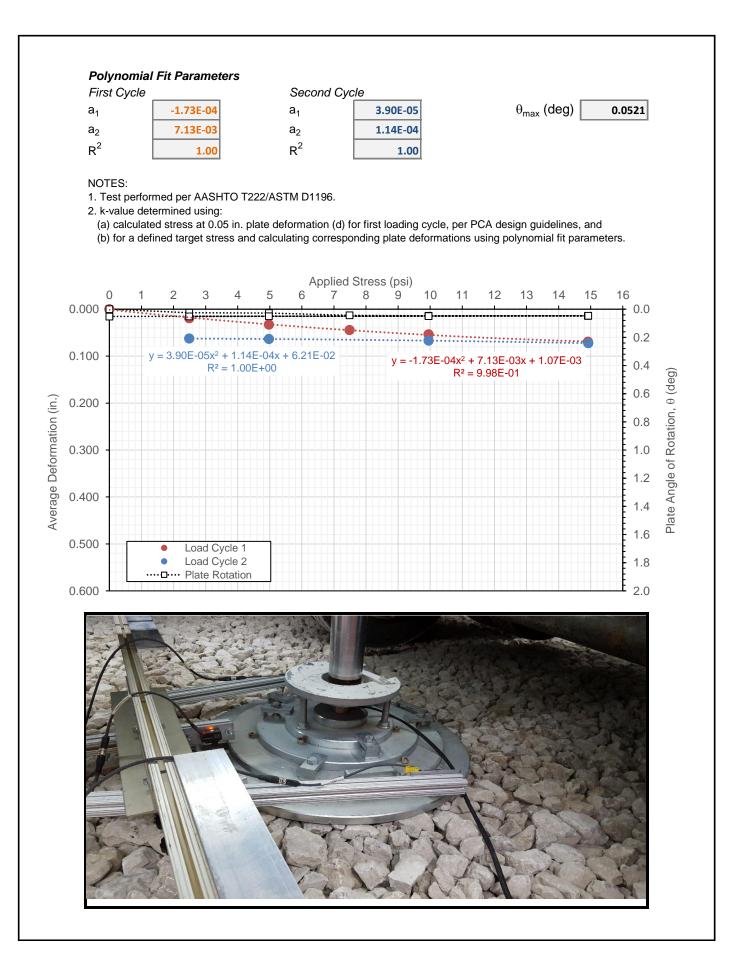
	Automated Plate Load Test [APLT]										
Test:				wo Loading C							
Date:		22/2017	Time:		11:15:00 PM	Test ID			TS15_PT5		
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA		
Latitude:	41	1.98375	Longitude:		88.01515	Elev. (ft):			NA		
Comments:	Test on com	pacted r	iominal 6 in. th	ick PGE placed	over subgrade	Э.					
			Target	Target	Actual						
		Load	Applied Load	Applied	Applied		eformation (,	Average		
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)		
0	Seating	0	707	1	1.40	0.0084	0.0206	0.0159	0.0150		
		-	oad and defor	mation sensors	s after applying	g the seating					
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000		
1	Load	1	1767	2.5	2.49	0.0078	0.0166	0.0129	0.0124		
1	Load	2	3534	5	5.07	0.0147	0.0262	0.0202	0.0204		
1	Load	3	5301	7.5	7.63	0.0211	0.0340	0.0258	0.0270		
1	Load	4	7069	10	9.95	0.0265	0.0412	0.0296	0.0324		
1	Load	5	10603	15	14.93	0.0362	0.0548	0.0368	0.0426		
1	Load	6	7069	10	9.95	0.0346	0.0534	0.0355	0.0412		
1	Unload	7	1767	2.5	2.55	0.0288	0.0480	0.0332	0.0367		
1	Unload	8	3534	5	4.97	0.0308	0.0501	0.0335	0.0381		
1	Unload	9	7069	10	9.95	0.0341	0.0525	0.0360	0.0409		
2	Load	10	10603	15	14.93	0.0383	0.0578	0.0395	0.0452		
2	Load	11	3534	5	0.00	0.0263	0.0467	0.0335	0.0355		
2	Load	12	0	0	0.00	0.0235	0.0435	0.0324	0.0332		
Plate Diameter		30.0	in								
Shape factor:	•	2.67									
Material Type:			A = Cohesive, B	s = Granular, C =	Intermediate						
Poisson's ratio:		0.35						_			
Design Stress:		10.0	-	AASHTO T222			design stre		268		
Target Deforma	ation:	0.05	lin.	PCA Design C	riteria	<i>k_u</i> (pci) @	$\delta = 0.05$ in.	:	NA*		
Modulus at tar	rget deforma	ation		Modulus at ta	rget/design ap	oplied stress	6				
Stress @ $\delta = 0$	0.05 in.(psi)	NA*		First Loading (Cycle						
	*0.05 in. defo	rmation no	ot achieved	Ū	δ_1 (in.)	0.0324					
E ₁ (psi)		NA			E1 (psi)	9,411					
k' _u (pci)		NA			k' _{u1} (pci)	309					
k _u (pci)		NA			k _{u1} (pci)	268					
u (r -)	I		l	Second Loadir							
				Coolina Loadii	δ_2 (in.)	0.0052					
$E_2 (psi) = \frac{0.0052}{37,521}$											
Plate Bonding Co	rrection for				k' _{u2} (pci)	1,916					
Plate Bending Correction for k'_{u2} (pcl)1,916 $k'_{u2} \ge 100 \text{ and } 1,000 \text{ pci}$ k_{u2} (pci)1,069											
$k_u^2 \ge 100 a_1^2$ $k_u = -39.9178 \cdot 100 a_2^2$		0.7019		E_2/E_1 or $k_2/$		4.0					
$\kappa_u = -39.9170^{-1}$	$+ 3.3070 [k_u]$					4.0					
	In-situ N	lodulus	of Subgrade I	Reaction (k) a	nd Elastic Mo	dulus					
Project Name:	Illinois Tollw	ay - IC R	esearch					ingi	¢C		
Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway					υıβ	OTECHNICS		
Location:	IL390 (West	t of O'Ha	re), Itasca, IL					GE			



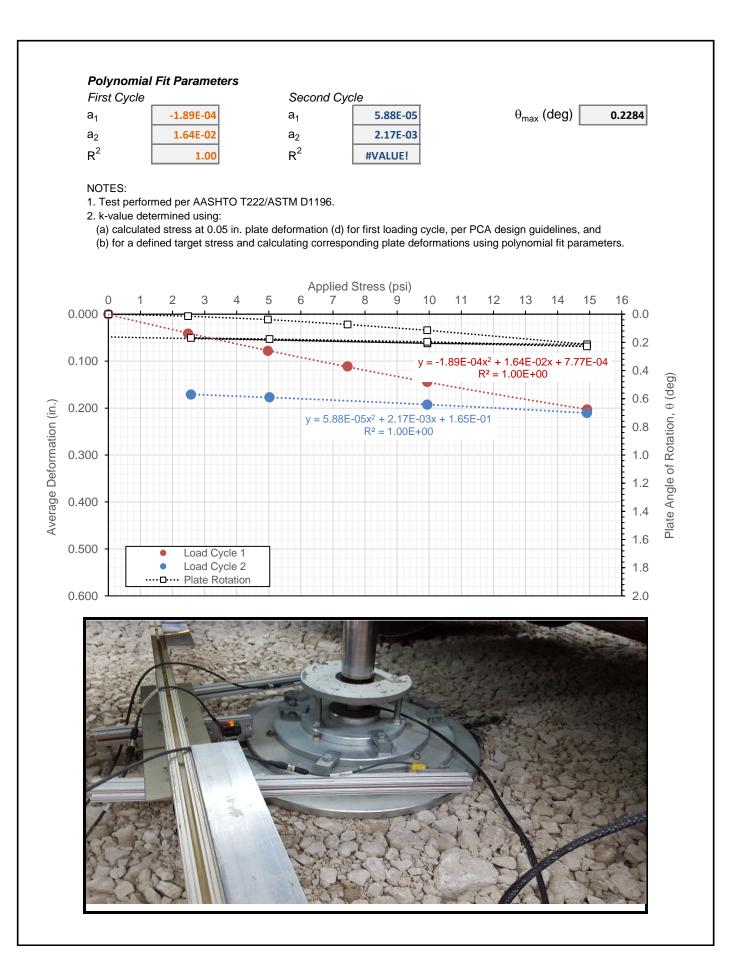
				ed Plate		st [APL	.T]		
Test:				wo Loading C					
Date:		22/2017	Time:		11:56:26 PM				TS15_PT6
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	1.98377	Longitude:		88.01528	Elev. (ft):			NA
Comments:	Test on com	npacted n	ominal 6 in. th	ick PGE placed	over subgrade	э.			
		Load	Target Applied Load	Target Applied	Actual Applied	D	eformation (i	n.)	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0222	0.0219	0.0484	0.0309
		Zero la	oad and defor	mation sensors	s after applyin	g the seating	g stress.	·	
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.48	0.0252	0.0236	0.0293	0.0260
1	Load	2	3534	5	5.07	0.0365	0.0428	0.0492	0.0428
1	Load	3	5301	7.5	7.53	0.0439	0.0532	0.0612	0.0528
1	Load	4	7069	10	9.95	0.0512	0.0602	0.0722	0.0612
1	Load	5	10603	15	14.92	0.0642	0.0780	0.0875	0.0766
1	Load	6	7069	10	9.95	0.0634	0.0756	0.0864	0.0751
1	Unload	7	1767	2.5	2.48	0.0605	0.0687	0.0833	0.0708
1	Unload	8	3534	5	4.97	0.0612	0.0705	0.0845	0.0721
1	Unload	9	7069	10	10.06	0.0630	0.0748	0.0877	0.0752
2		10		15		0.0667	0.0799		0.0795
2	Load		10603	5	14.92			0.0921	
	Load	11	3534		-0.01	0.0592	0.0706	0.0854	0.0717
2	Load	12	0	0	0.00	0.0578	0.0675	0.0834	0.0696
Plate Diameter Shape factor: Material Type:		30.0 2.67 B		3 = Granular, C =	Intermediate				
Poisson's ratio: Design Stress: Target Deforma	(assumed)	0.35 10.0 0.05	psi	AASHTO T222 PCA Design C	2 Method	k _{u1} (pci) @ k _u (pci) @	$\delta = 0.05 \text{ in.}$	ess:	<u>156</u> 156
Modulus at tai	raet deforma	ation		Modulus at ta	raet/design a	onlied stress			
Stress @ $\delta = 0$	-			First Loading (•		
		/.2		That Loading C	δ_1 (in.)	0.0617			
E ₁ (psi)		NA			E_1 (psi)	5,472			
k' _u (pci)		NA			k' _{u1} (pci)	· · ·			
					k _{u1} (pci) k _{u1} (pci)	162			
k _u (pci)		NA				156			
				Second Loadir			I		
δ_2 (in.) 0.0051									
E ₂ (psi) 38,155									
Plate Bending Co	rrection for				k' _{u2} (pci)	1,961			
	nd 1,000 pci				k _{u2} (pci)	1,087			
$k_u = -39.9178$	+ 5.5076 $[k'_u]^0$	0.7019		$E_2 / E_1 \text{ or } k_2 /$	k ₁ Ratio	7.0			
	In-situ N	lodulus	of Subgrade	Reaction (k) a	nd Elastic Mc	dulus			
Project Name:			-					ingi	(AC
Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway					i i Bi	CV
Location:	-		re), Itasca, IL					GE	UTECHNICS



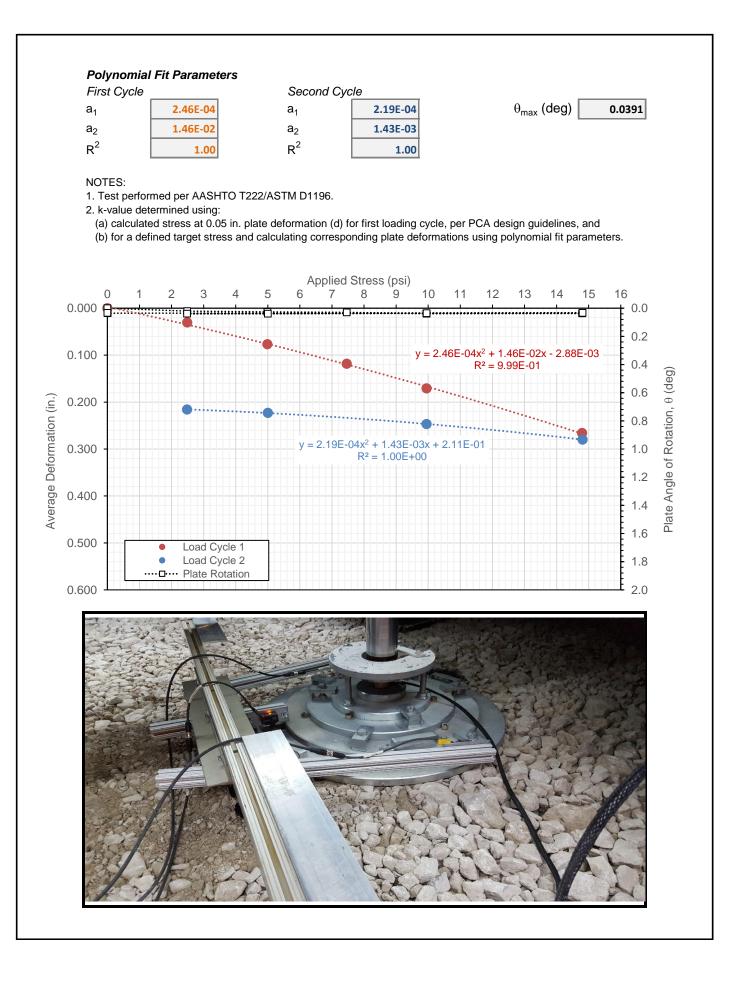
				ed Plate		st [APL	T]		
Test:				wo Loading C					
Date:		23/2017	Time:		12:41:16 AM	Test ID			TS15_PT7
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	1.98375	Longitude:		88.01539	Elev. (ft):			NA
Comments:	Test on com	pacted r	iominal 6 in. th	ick PGE placed	over subgrade	Э.			
		Load	Target Applied Load	Target Applied	Actual Applied	D	eformation (i	n.)	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0101	0.0207	0.0094	0.0134
		Zero l	oad and defor	mation sensors	after applyin	g the seating	stress.		
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.49	0.0158	0.0257	0.0158	0.0191
1	Load	2	3534	5	4.97	0.0288	0.0401	0.0300	0.0330
1	Load	3	5301	7.5	7.48	0.0376	0.0557	0.0402	0.0445
1	Load	4	7069	10	9.95	0.0464	0.0658	0.0483	0.0535
1	Load	5	10603	15	14.92	0.0647	0.0819	0.0615	0.0694
1	Load	6	7069	10	9.95	0.0627	0.0802	0.0599	0.0676
1	Unload	7	1767	2.5	2.49	0.0572	0.0759	0.0547	0.0626
1	Unload	8	3534	5	4.97	0.0577	0.0768	0.0562	0.0636
1	Unload	9	7069	10	9.95	0.0617	0.0798	0.0596	0.0671
2	Load	10	10603	15	14.93	0.0678	0.0847	0.0648	0.0724
2	Load	11	3534	5	0.00	0.0579	0.0764	0.0548	0.0630
2	Load	12	0	0	0.00	0.0561	0.0747	0.0527	0.0612
Plate Diameter Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	: (assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, E psi	s = Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (pci) @ k _u (pci) @	design stre $\delta = 0.05$ in.	ess:	175 193
Modulus at tar	rget deforma	ation		Modulus at ta	rget/design a	oplied stress	5		
Stress @ $\delta = 0$ E ₁ (psi)	0.05 in.(psi)	9.0 NA		Modulus at target/design applied stress First Loading Cycle δ1 (in.) 0.0540 E1 (psi)					
k' _u (pci)		NA			k' _{u1} (pci)	185			
k _u (pci)		NA			k _{u1} (pci)	175			
u (i - 7	l		I	Second Loadir					
				2000.10 200.01	δ_2 (in.)	0.0050			
E ₂ (psi) 38,523									
Plate Bending Co	rrection for		u		k' _{u2} (pci)	1,987			
$k'_{u} \ge 100 \text{ and } 1,000 \text{ pci}$					k _{u2} (pci)	1,098			
$k_u = -39.9178$).7019		E_2 / E_1 or k_2 /		6.3			
	In-situ N	lodulus	of Subgrade I	Reaction (k) a	nd Elastic Mo	dulus			
Project Name:			-					ingi	àc
Project ID:	Elgin O'Hare	e Extensi	on - IL Tollway					I B	OTECHNICS
Location:	IL390 (West	t of O'Ha	re), Itasca, IL					GL	Le le controlo



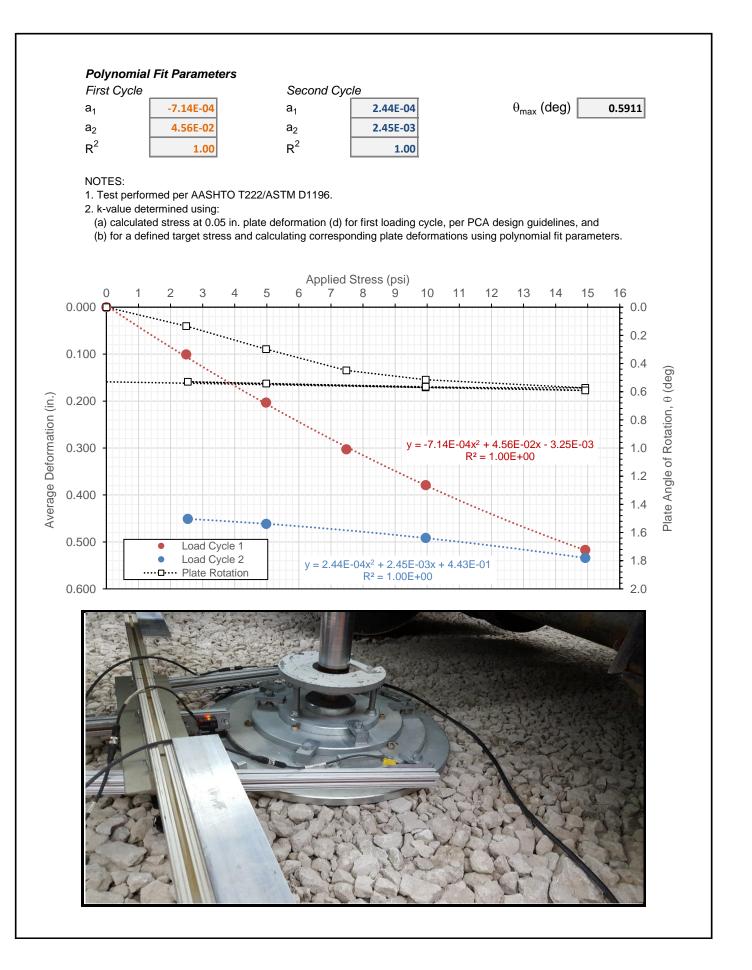
		l	Automate	ed Plate	Load Te	st [APL	.T]		
Test:				wo Loading C					
Date:		22/2017	Time:		10:32:48 PM	Test ID			TS15_PT8
Tested By		HG, PV			TS15_PGE				NA
Latitude:	41	1.98365	Longitude:		88.01546	Elev. (ft):			NA
Comments:	Test on com	npacted r	iominal 6 in. thi	ick PGE placed	over subgrade	э.			
		Load	Target Applied Load	Target Applied	Actual Applied		eformation (/	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0241	0.0364	0.0332	0.0312
				mation sensors					
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.48	0.0419	0.0379	0.0439	0.0412
1	Load	2	3534	5	4.97	0.0856	0.0687	0.0799	0.0781
1	Load	3	5301	7.5	7.45	0.1279	0.0950	0.1109	0.1113
1	Load	4	7069	10	9.94	0.1705	0.1190	0.1432	0.1442
1	Load	5	10603	15	14.92	0.2534	0.1565	0.1987	0.2029
1	Load	6	7069	10	9.95	0.2457	0.1520	0.1931	0.1969
1	Unload	7	1767	2.5	2.58	0.2107	0.1342	0.1685	0.1711
1	Unload	8	3534	5	5.02	0.2185	0.1385	0.1743	0.1771
1	Unload	9	7069	10	9.94	0.2385	0.1499	0.1894	0.1926
2	Load	10	10603	15	14.91	0.2652	0.1622	0.2039	0.2104
2	Load	11	3534	5	-0.01	0.2000	0.1273	0.1567	0.1613
2	Load	12	0	0					
Plate Diameter: Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	(assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, B psi	a = Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (рсі) @ k _u (рсі) @	$\delta = 0.05$ in.	ess: :	69 68
Modulus at tar	rget deforma	ation		Modulus at ta	rget/design ap	oplied stress	5		
Stress @ $\delta = 0$	-	-		First Loading (
			1	0	δ ₁ (in.)	0.1446			
E ₁ (psi)		NA			E ₁ (psi)	2,427			
k' _u (pci)		NA			k' _{u1} (pci)	69			
k _u (pci)		NA			k _{u1} (pci)	69			
··u (·)				Second Loadir					
				Occorra Eodali	δ_2 (in.)	0.0276			
	E ₂ (psi) 10,685								
Plate Pending Co	rration for								
Plate Bending Con h' > 100 m				k' _{u2} (pci) 362 k _{u2} (pci) 304					
$k'_u \ge 100 \text{ and}$ $k_u = -39.9178 \text{ -}$	$nd \ 1,000 \ pci$	0.7019		E_2/E_1 or $k_2/$		304			
$\kappa_u = -39.9178$	+ 5.5076 $[\kappa_u]^*$			$L_2/L_1 \text{ OI } \mathbb{R}_2/$	R ₁ Italio	4.4			
			-	Reaction (k) a	nd Elastic Mo	dulus			
Project Name:		•						ingi	
Project ID:			on - IL Tollway					····6	OTECHNICS
Location:	IL390 (West	t of O'Ha	re), Itasca, IL						

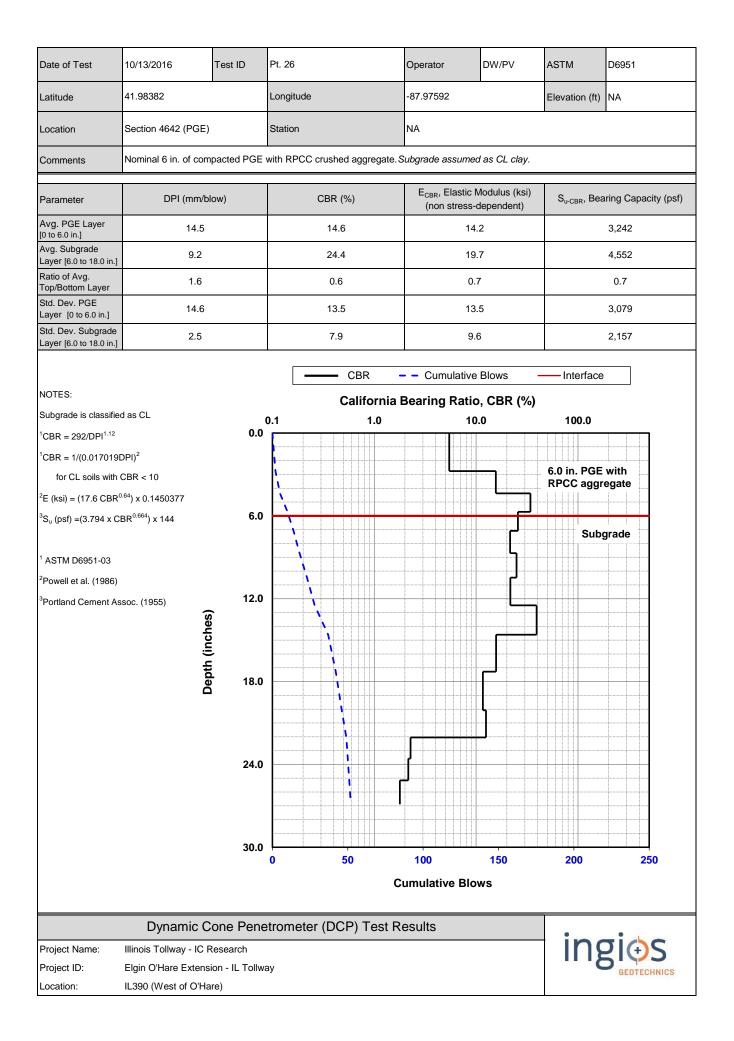


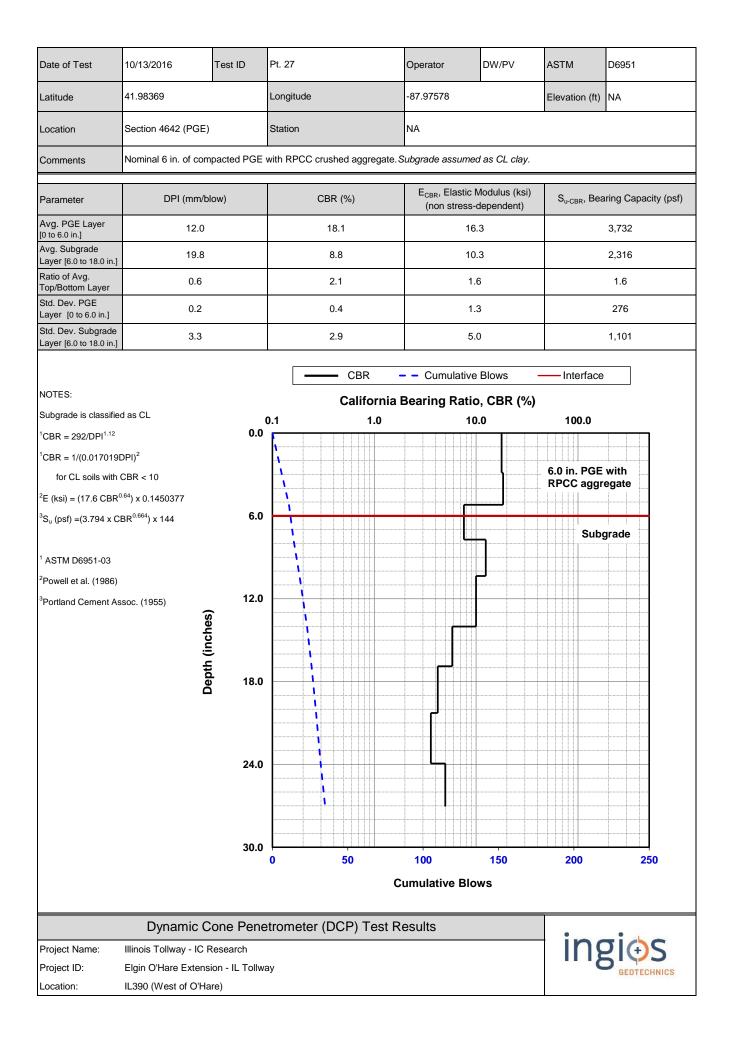
		ŀ	Automate	ed Plate	Load Te	st [APL	T]		
Test:	In-Situ Stat	ic Plate	Load Test: T	wo Loading C	ycles.				
Date:	6/2	22/2017	Time:		7:53:33 PM	Test ID			TS15_PT9
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	.98362	Longitude:		88.01536	Elev. (ft):			NA
Comments:	Test on com	pacted n	ominal 6 in. th	ick PGE placed	l over subgrade	э.			
			-	Target	Actual				
		Load	Target Applied Load	Applied	Applied		eformation (i	in.)	Average
Cycle	Stage	Step	(lbs)	Stress (psi)	Stress (psi)	Sensor 1	Sensor 2	Sensor 3	Def. (in.)
0	Seating	0	707	1	1.40	0.0094	0.0058	0.0131	0.0094
_	J 1 1 1 J			mation sensors		ļ!			
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.49	0.0298	0.0260	0.0354	0.0304
1	Load	2	3534	5	4.99	0.0745	0.0722	0.0837	0.0768
1	Load	3	5301	7.5	7.46	0.1133	0.1153	0.1261	0.1182
1	Load	4	7069	10	9.95	0.1627	0.1701	0.1802	0.1710
1	Load	5	10603	15	14.79	0.2566	0.2675	0.2732	0.2658
1	Load	6	7069	10	9.94	0.2466	0.2580	0.2627	0.2557
1	Unload	7	1767	2.5	2.48	0.2075	0.2149	0.2250	0.2158
1	Unload	8	3534	5	5.00	0.2143	0.2228	0.2321	0.2231
1	Unload	9	7069	10	9.95	0.2380	0.2484	0.2541	0.2468
2	Load	10	10603	15	14.81	0.2714	0.2823	0.2858	0.2798
2	Load	11	3534	5	0.01	0.1951	0.2010	0.2108	0.2023
2	Load	12	0	0	0.00	0.1802	0.1888	0.1951	0.1880
Plate Diameter	:	30.0	in.						
Shape factor: Material Type:		2.67	A - Cobesive F	s = Granular, C =	Intermediate				
Poisson's ratio:	:	0.35			Internetiate				
Design Stress:	(assumed)	10.0	•	AASHTO T222	2 Method	k _{u1} (pci) @	design stre	ess:	59
Target Deforma	ation:	0.05	in.	PCA Design C	Criteria	<i>k</i> _u (pci) @	$\delta = 0.05$ in.	:	70
Modulus at tar	rget deforma	ation		Modulus at ta	rget/design a	oplied stress	5		
Stress @ $\delta = 0$	-			First Loading (
			I	g -	δ_1 (in.)	0.1708			
E₁ (psi)		NA			E ₁ (psi)	2,055			
k' _u (pci)		NA			k' _{u1} (pci)	59			
k _u (pci)		NA			k _{u1} (pci)	59			
	l			Second Loadir					
				Occond Loddin	δ_2 (in.)	0.0362			
E ₂ (psi) 8,597									
Plate Bending Co	rraction for				k' _{u2} (pci)	276			
0					k _{u2} (pci)	276			
$k_u \ge 100 \ dt$ $k_u = -39.9178 \ dt$	$nd \ 1,000 \ pci$.7019		E_2/E_1 or $k_2/$					
$\pi_u = -39.9178$	- 3.30/0[κ _u]*			-2/-1 U K2/		4.2			
	In-situ M	lodulus	of Subarade I	Reaction (k) a	nd Flastic Mc	dulus			
Project Name:				(K) a				indi	00
Project ID:		-	on - IL Tollway					Ingl	¢5
Location:	-		re), Itasca, IL					GE	OTECHNICS
			€/, naooa, i⊑						

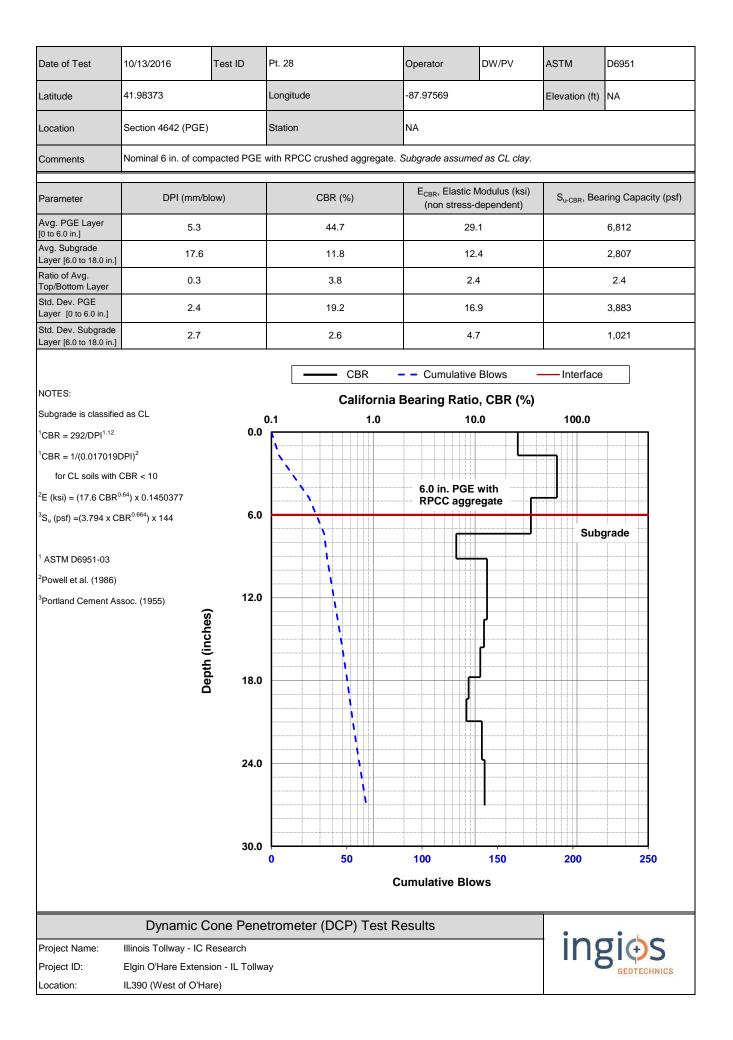


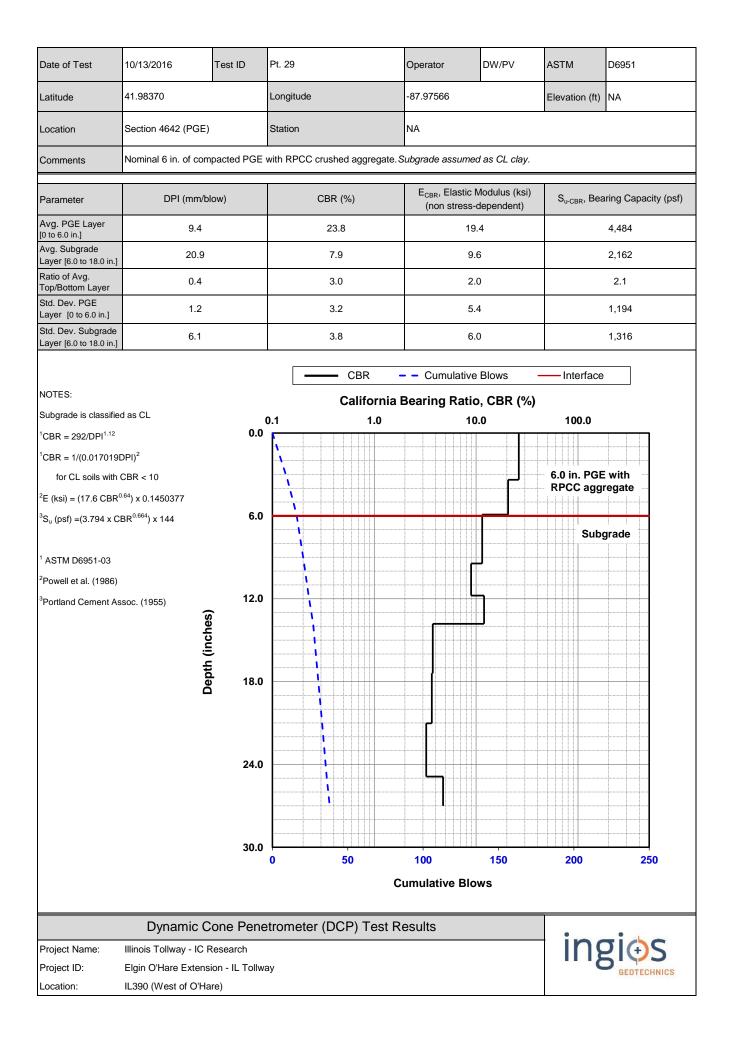
		l	Automate	ed Plate	Load Te	st [APL	.T]		
Test:				wo Loading C					
Date:		22/2017	Time:		9:13:00 PM			Т	S15_PT10
Tested By	DW,	HG, PV	Location:		TS15_PGE	Sta.			NA
Latitude:	41	1.98361	Longitude:		88.01528	Elev. (ft):			NA
Comments:	Test on com	pacted r	iominal 6 in. th	ick PGE placed	over subgrade	э.			
			Target	Target Applied	Actual Applied				
Quala	Charte	Load	Applied Load	Stress (psi)	Stress (psi)	Sensor 1	eformation (i Sensor 2	n.) Sensor 3	Average
Cycle	Stage	Step	(lbs)	1		0.0165	0.0440		Def. (in.)
0	Seating	0	707	-	1.40			0.0383	0.0330
4	O s a t'a a			mation sensors				0.0000	0.0000
1	Seating	0	0	0	0.00	0.0000	0.0000	0.0000	0.0000
1	Load	1	1767	2.5	2.49	0.0667	0.1258	0.1098	0.1007
1	Load	2	3534	5	4.98	0.1283	0.2595	0.2224	0.2034
1	Load	3	5301	7.5	7.48	0.1904	0.3890	0.3292	0.3029
1	Load	4	7069	10	9.96	0.2520	0.4816	0.4037	0.3791
1	Load	5	10603	15	14.93	0.3754	0.6306	0.5449	0.5170
1	Load	6	7069	10	9.95	0.3630	0.6163	0.5338	0.5044
1	Unload	7	1767	2.5	2.54	0.3179	0.5514	0.4834	0.4509
1	Unload	8	3534	5	4.98	0.3258	0.5650	0.4943	0.4617
1	Unload	9	7069	10	9.95	0.3507	0.6013	0.5230	0.4917
2	Load	10	10603	15	14.93	0.3885	0.6523	0.5620	0.5343
2	Load	11	3534	5	-0.02	0.2983	0.5314	0.4640	0.4312
2	Load	12	0	0					
Plate Diameter Shape factor: Material Type: Poisson's ratio: Design Stress: Target Deforma	: (assumed)	30.0 2.67 B 0.35 10.0 0.05	A = Cohesive, E psi	s = Granular, C = AASHTO T222 PCA Design C	2 Method	k _{u1} (pci) @ k _u (pci) @	$\delta = 0.05$ in.	ess:	<mark>26</mark> 24
Modulus at tai	raet deform:	ation		Modulus at ta	raet/design a	onlind stress			
Stress $@ \delta = 0$	-			First Loading (opneu suese	2		
	0.00 11.(poi)		1	T inst Loading C	δ_1 (in.)	0.3842			
E ₁ (psi)		NA			E ₁ (psi)	914			
k' _u (pci)		NA			k' _{u1} (pci)	26			
		<u> </u>			k _{u1} (pci)				
k _u (pci)		NA		o		26			
				Second Loadir		0.0489	1		
	E ₂ (psi) 6,688								
Plate Bending Co					k' _{u2} (pci)	204			
	nd 1,000 pci	5010			k _{u2} (pci)	191			
$k_u = -39.9178$	+ 5.5076 $[k'_u]^0$	1.7019		E_2 / E_1 or k_2 /	κ ₁ κατιο	7.3			
			-	Reaction (k) a	nd Elastic Mc	odulus			
Project Name:		-						ingi	2(+)
Project ID:	-		on - IL Tollway					11.9	OTECHNICS
Location:	IL390 (West	t of O'Ha	re), Itasca, IL						

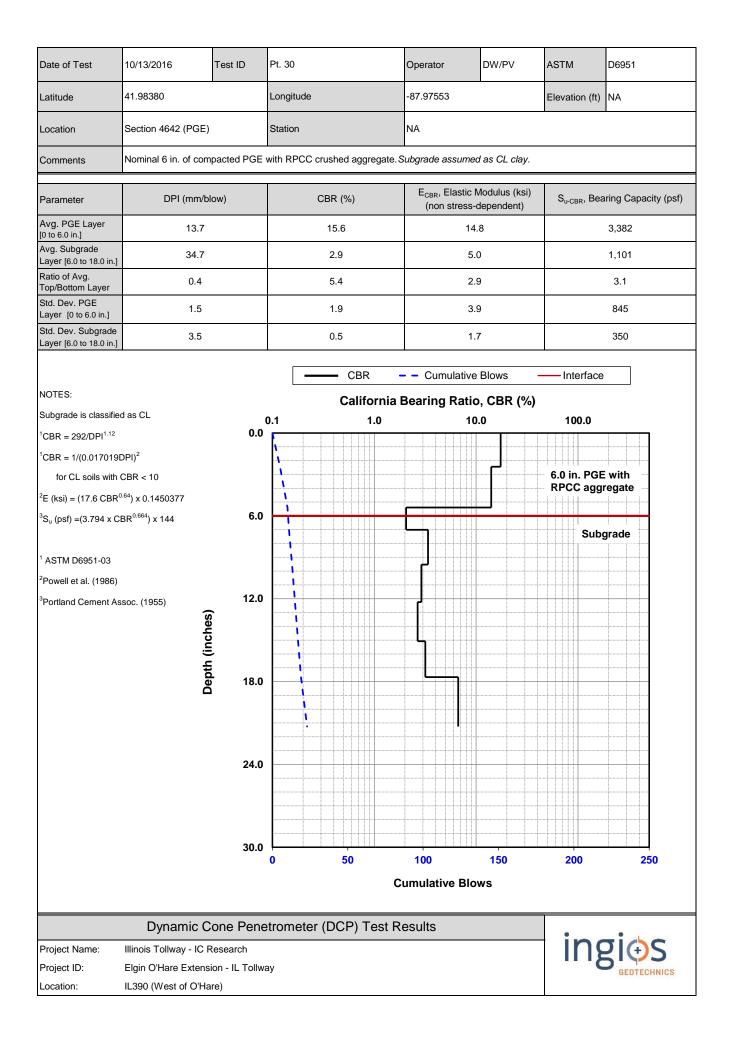


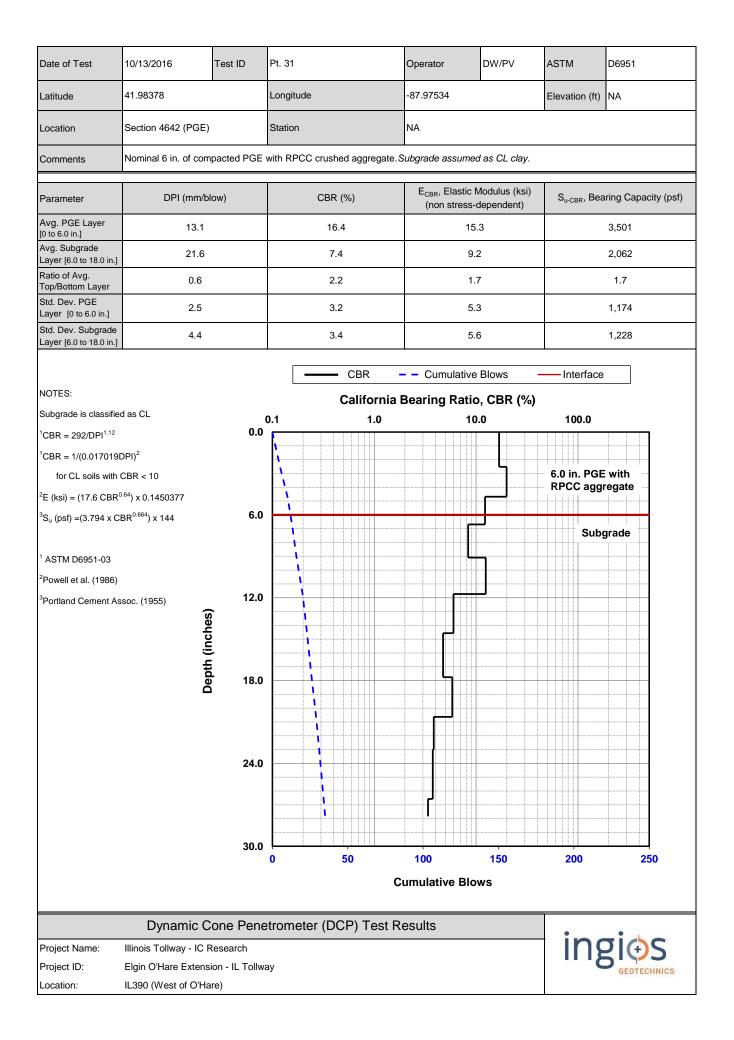


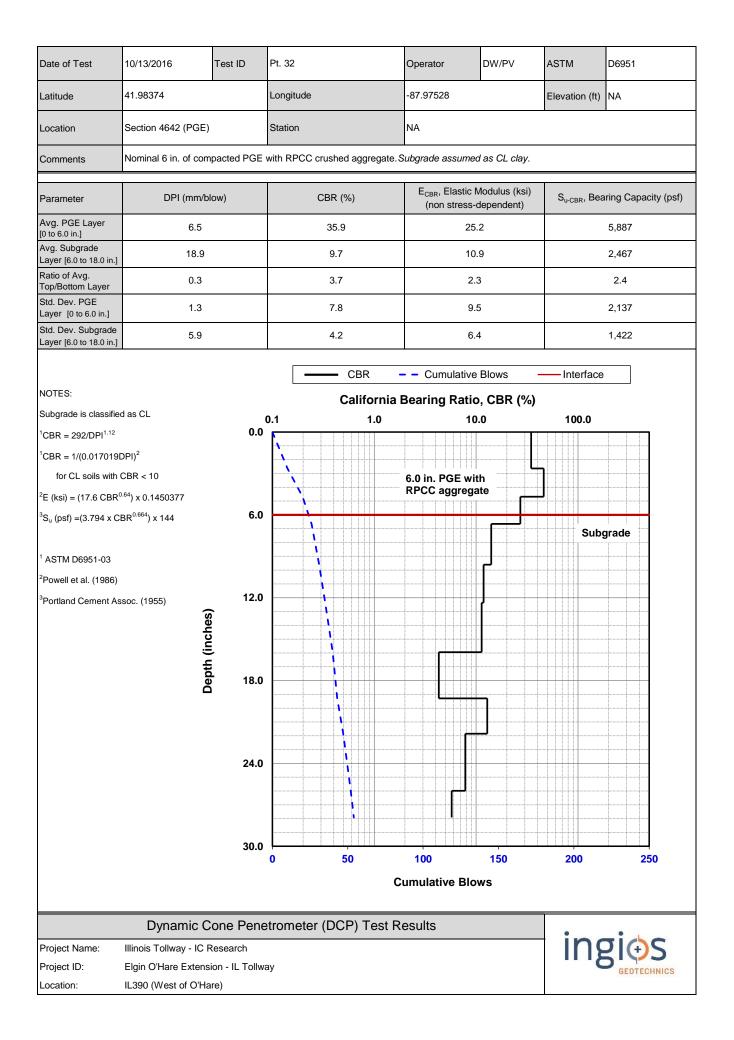


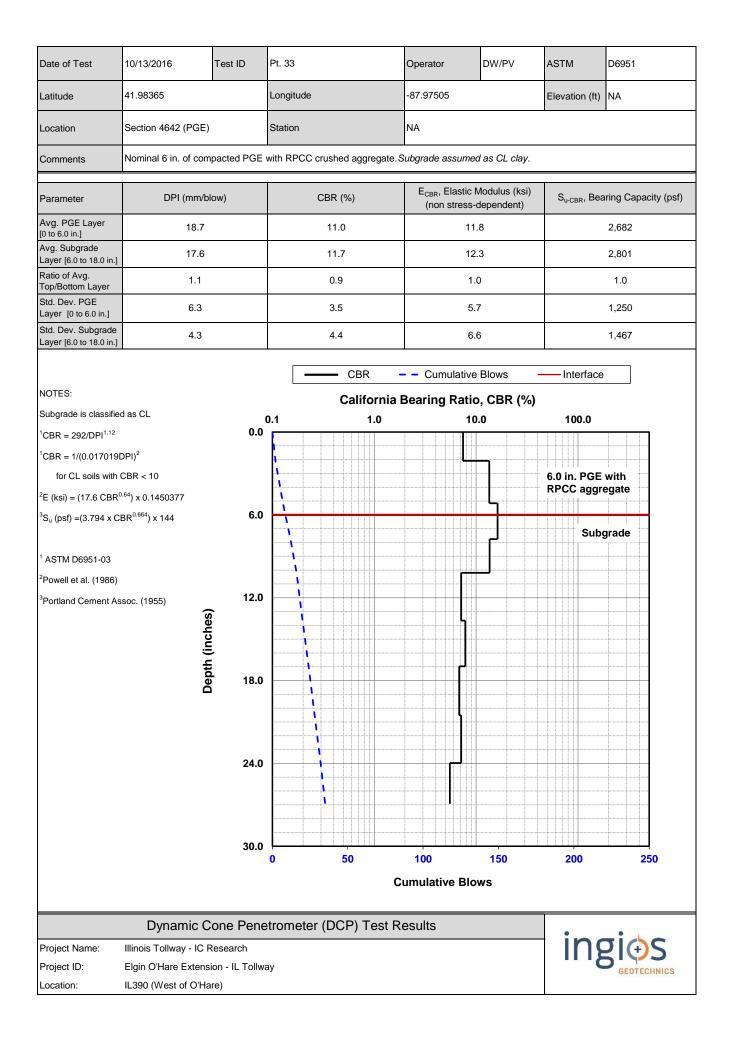


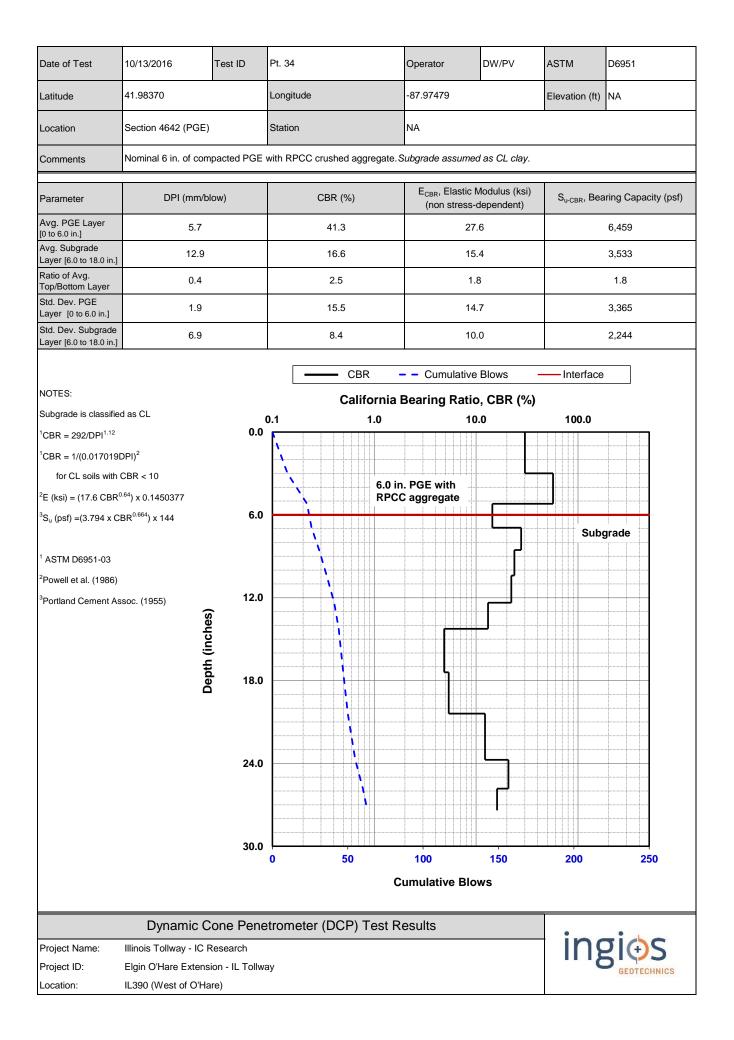


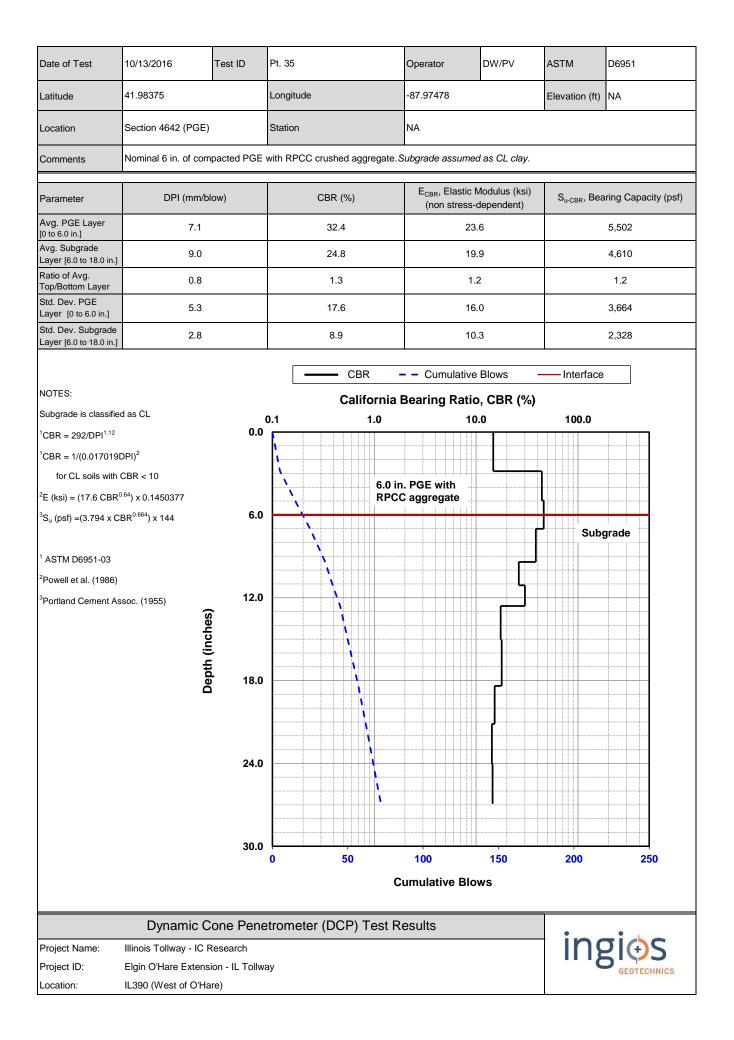


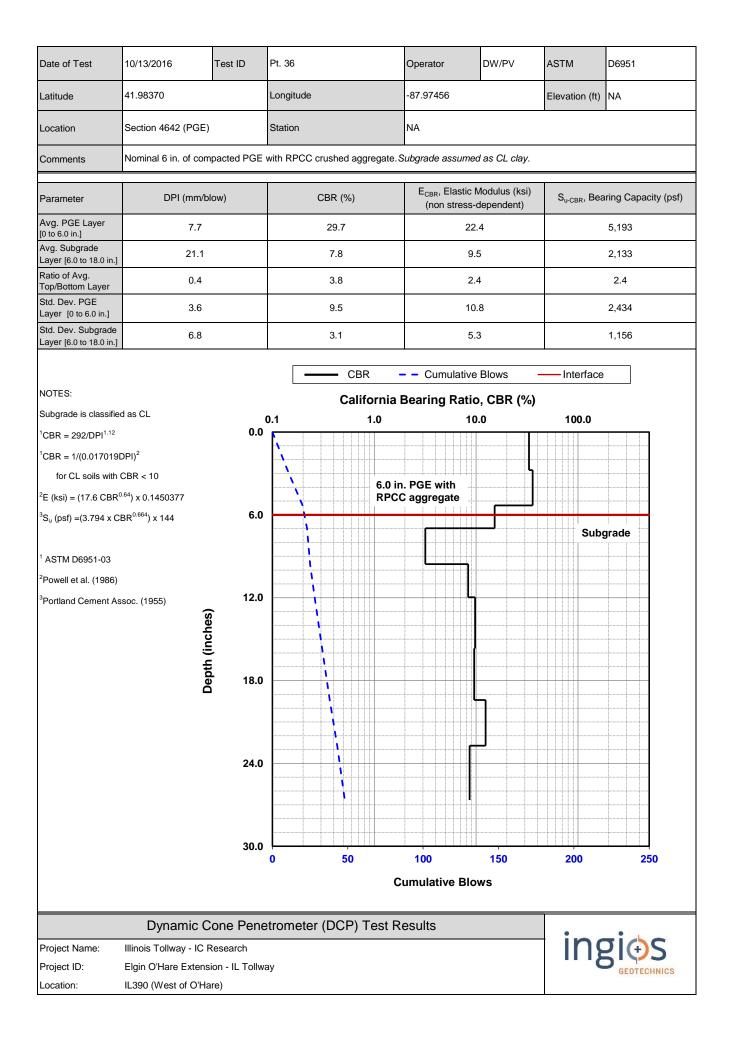


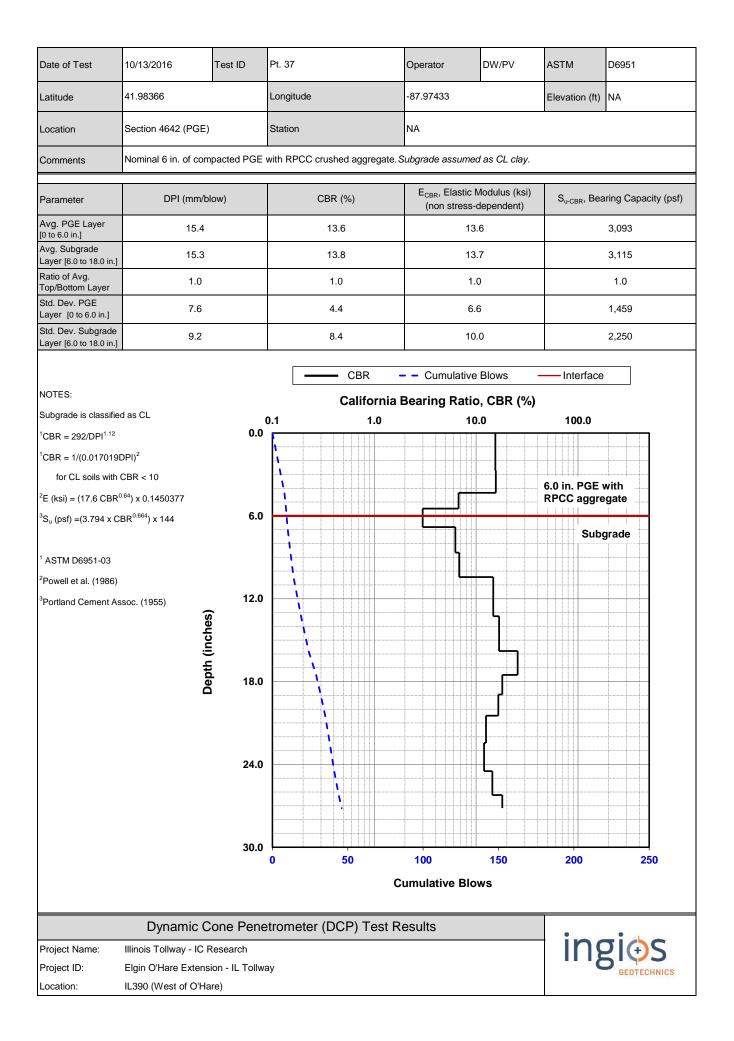


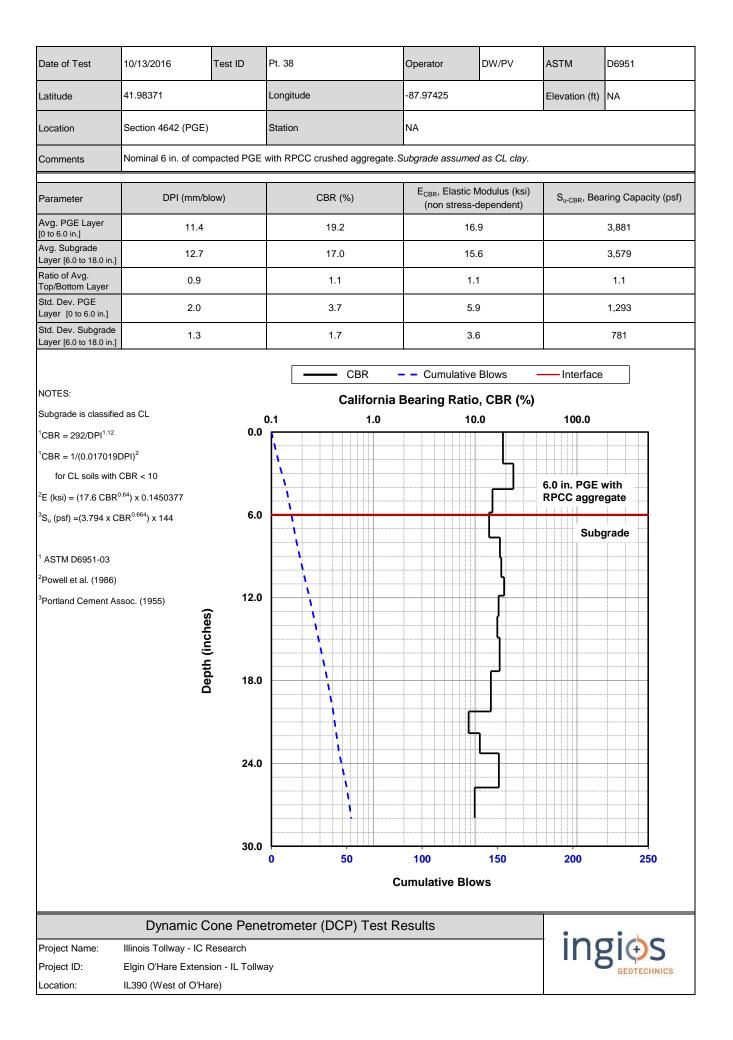


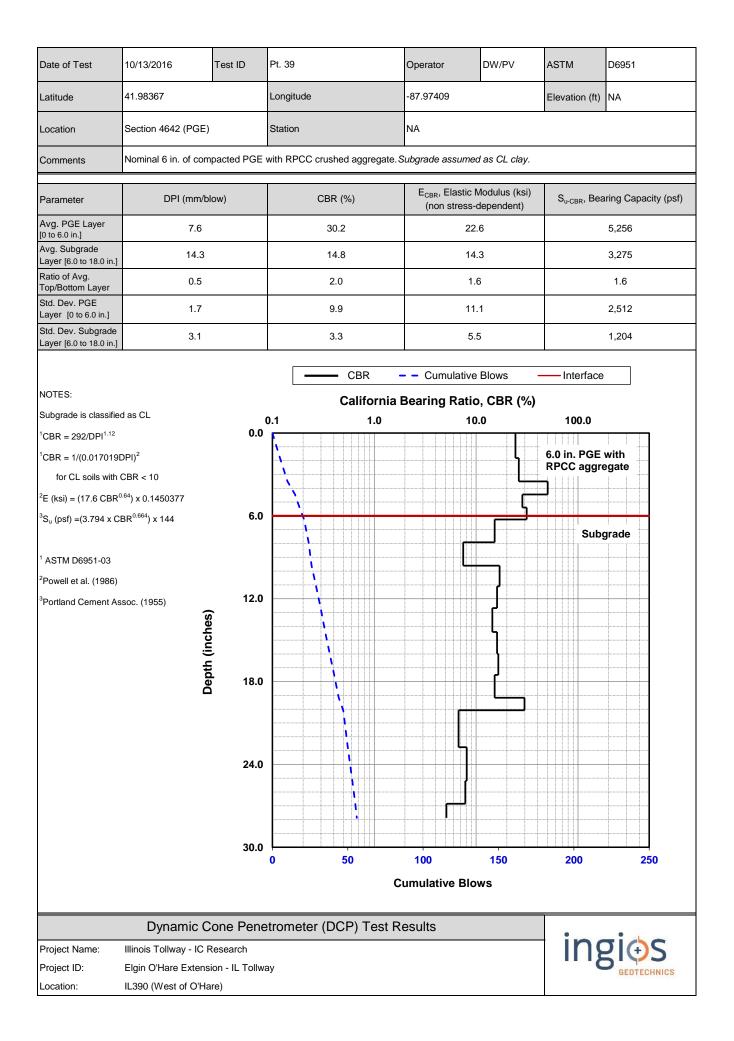


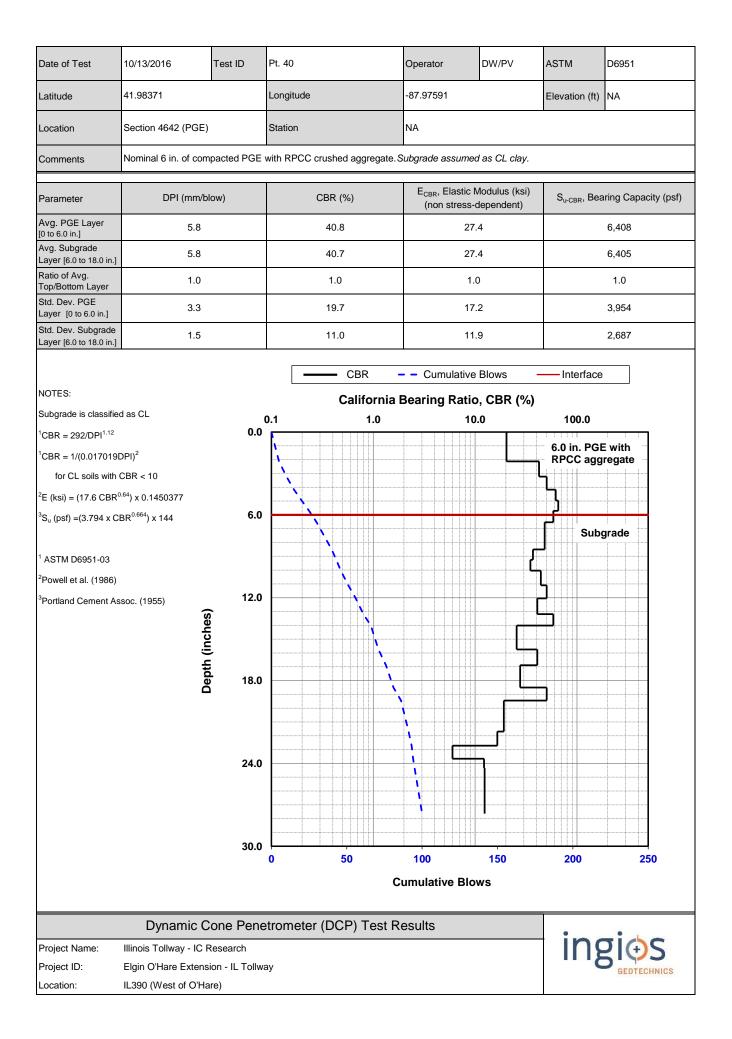


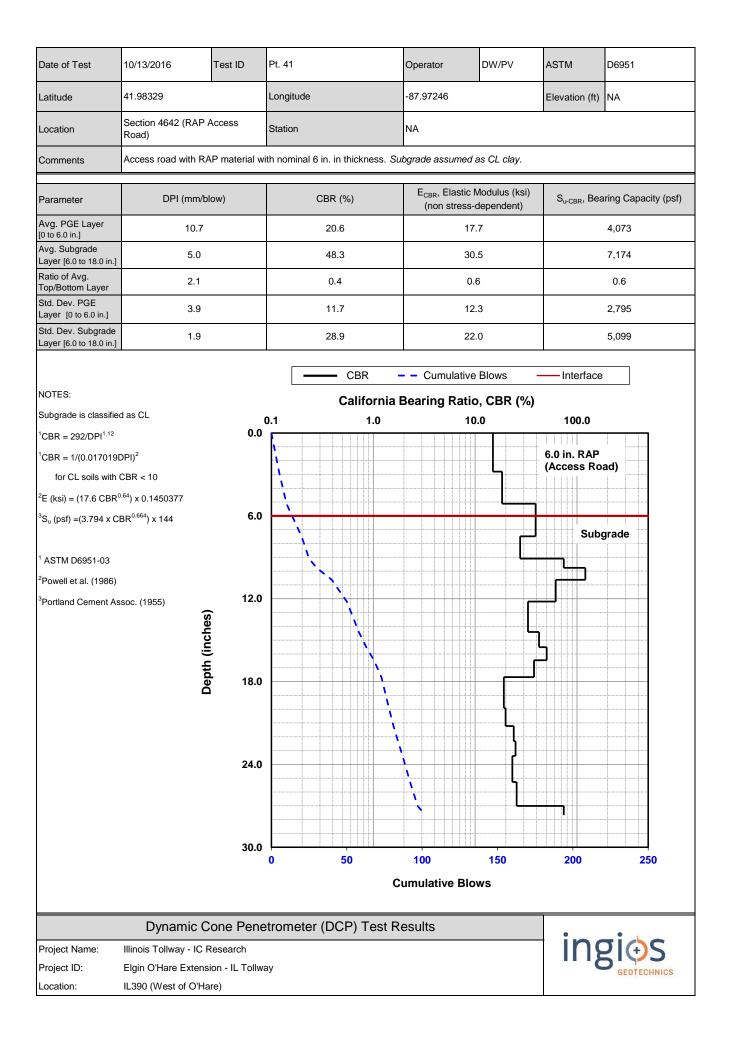


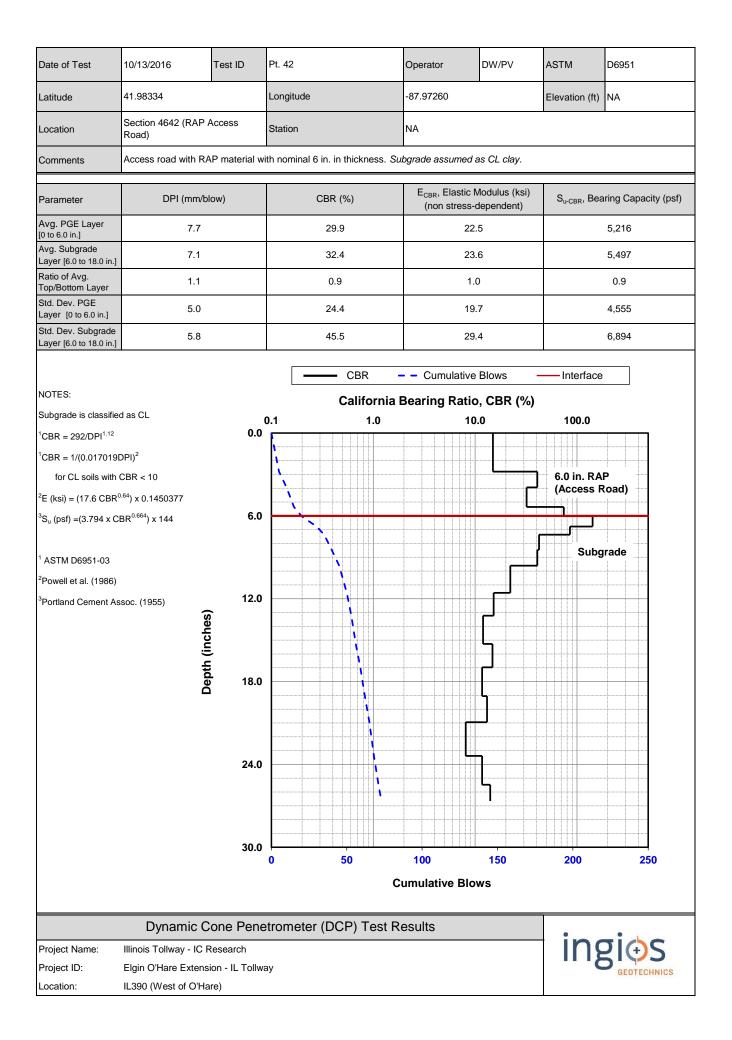


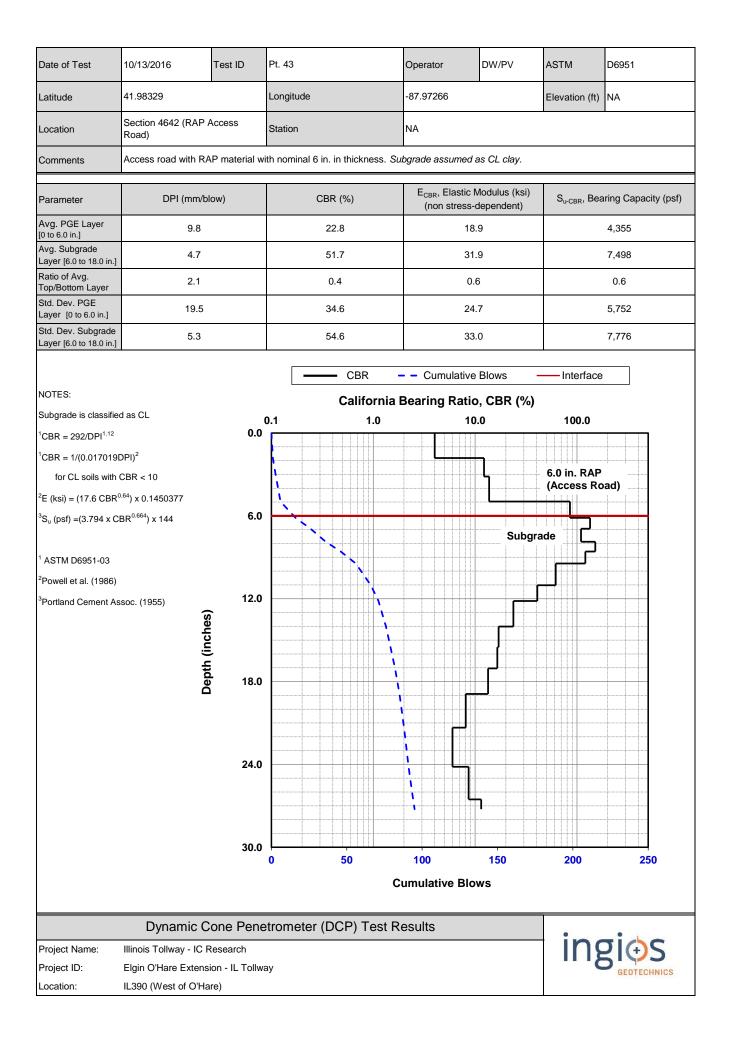


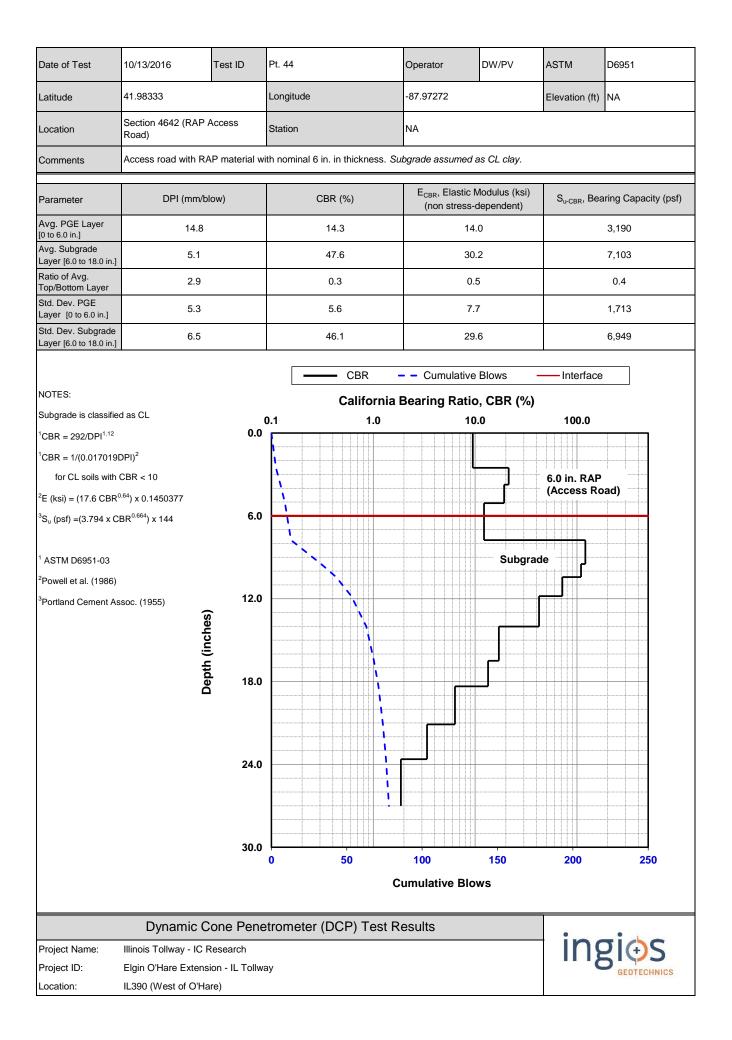


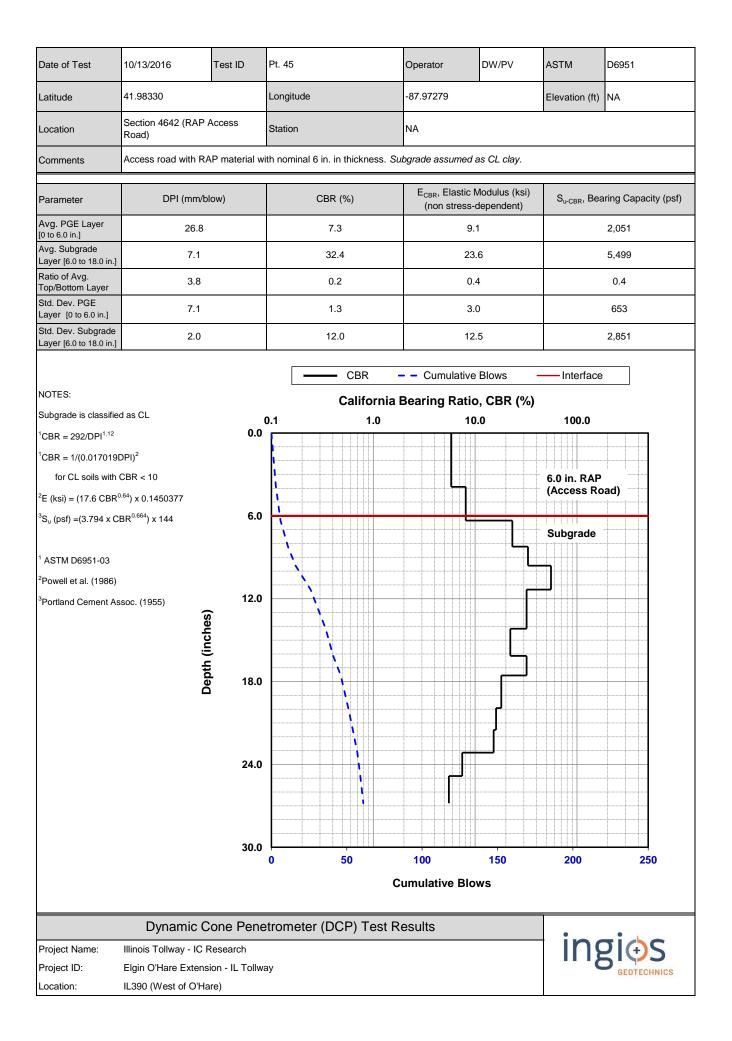


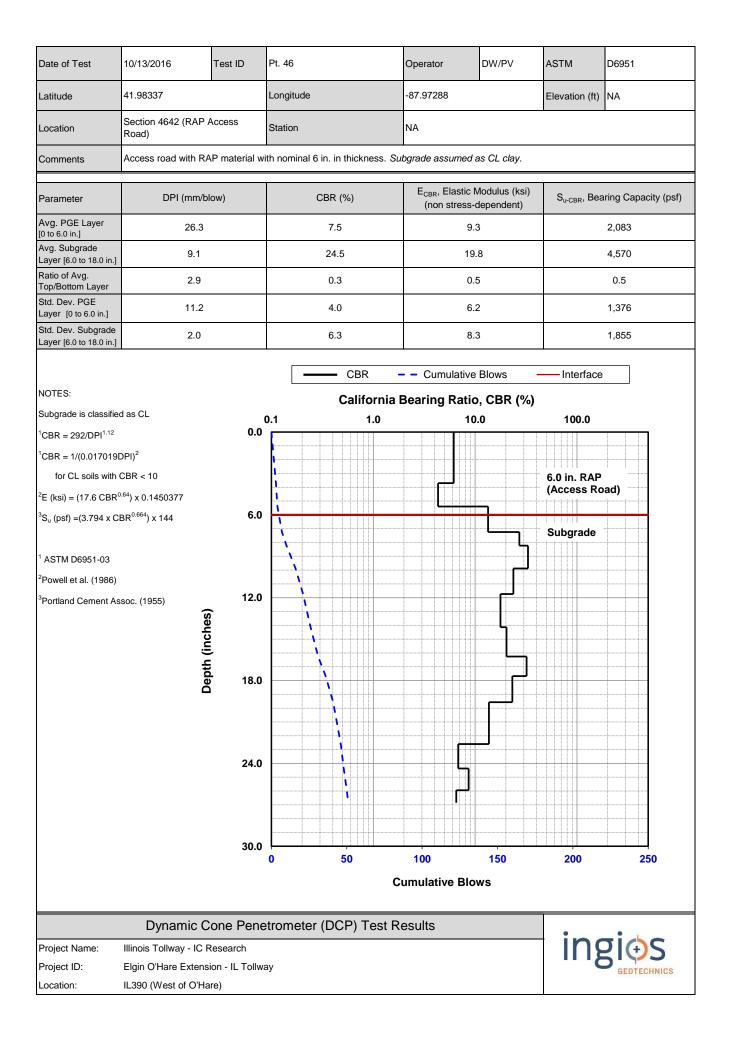


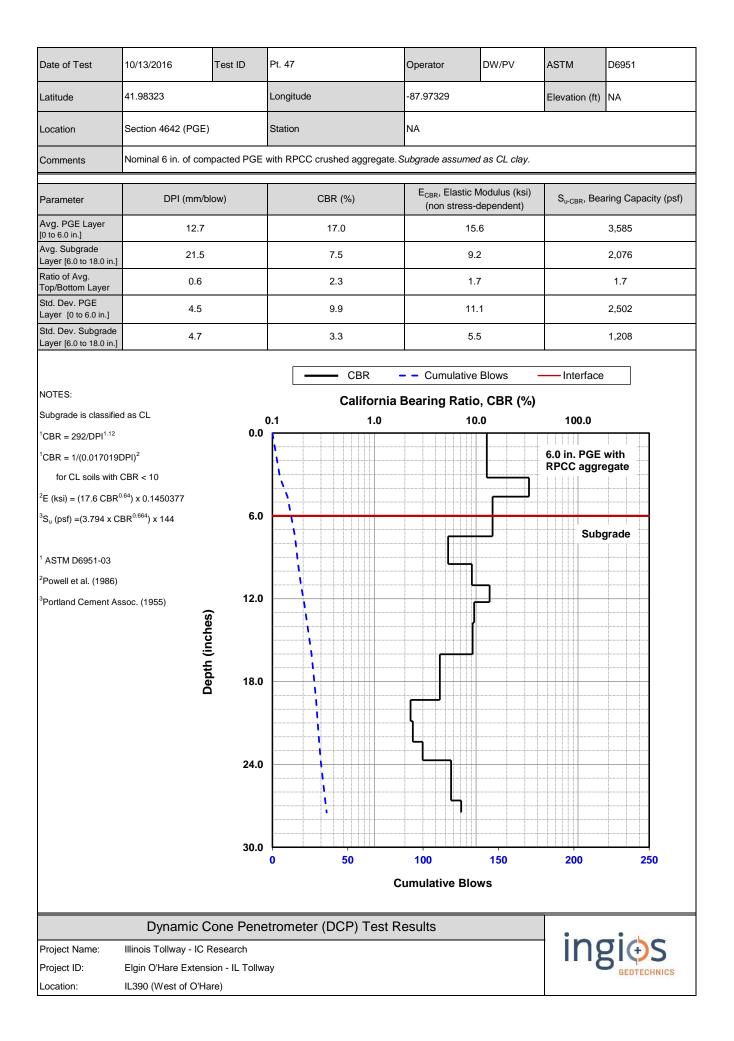


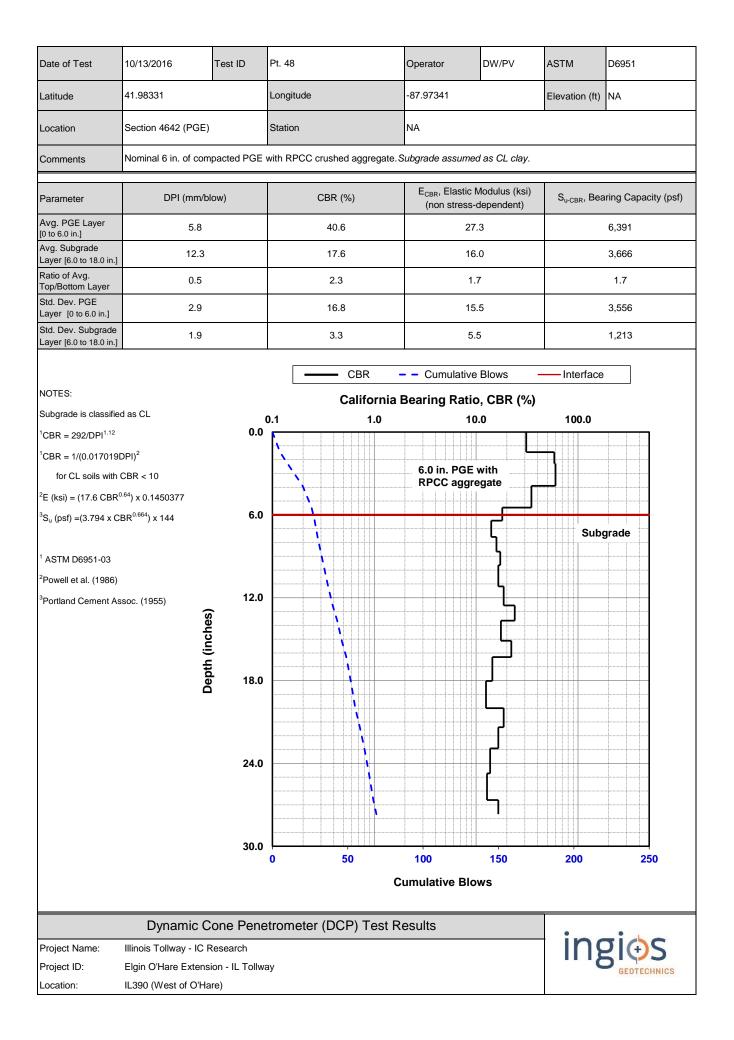


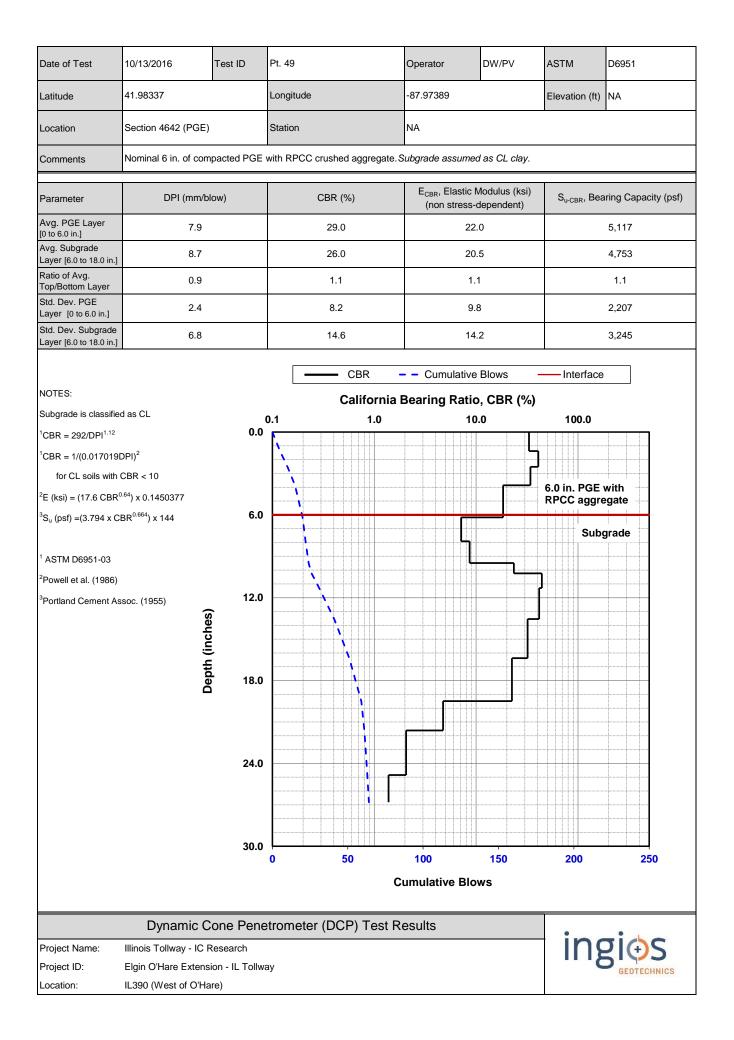


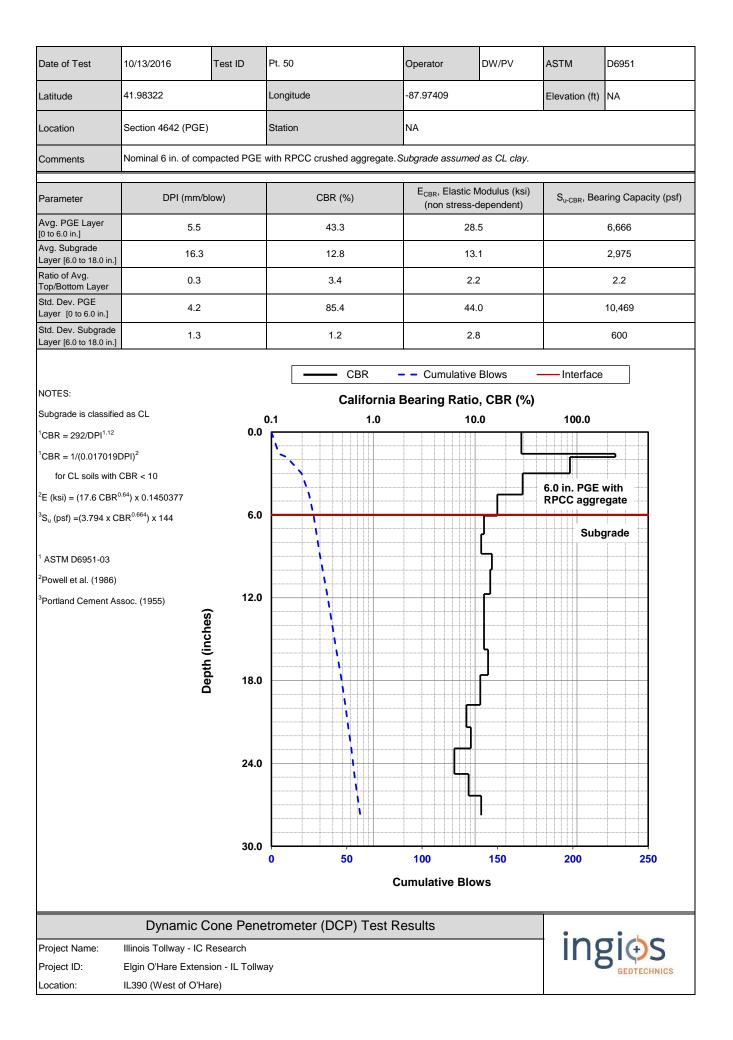


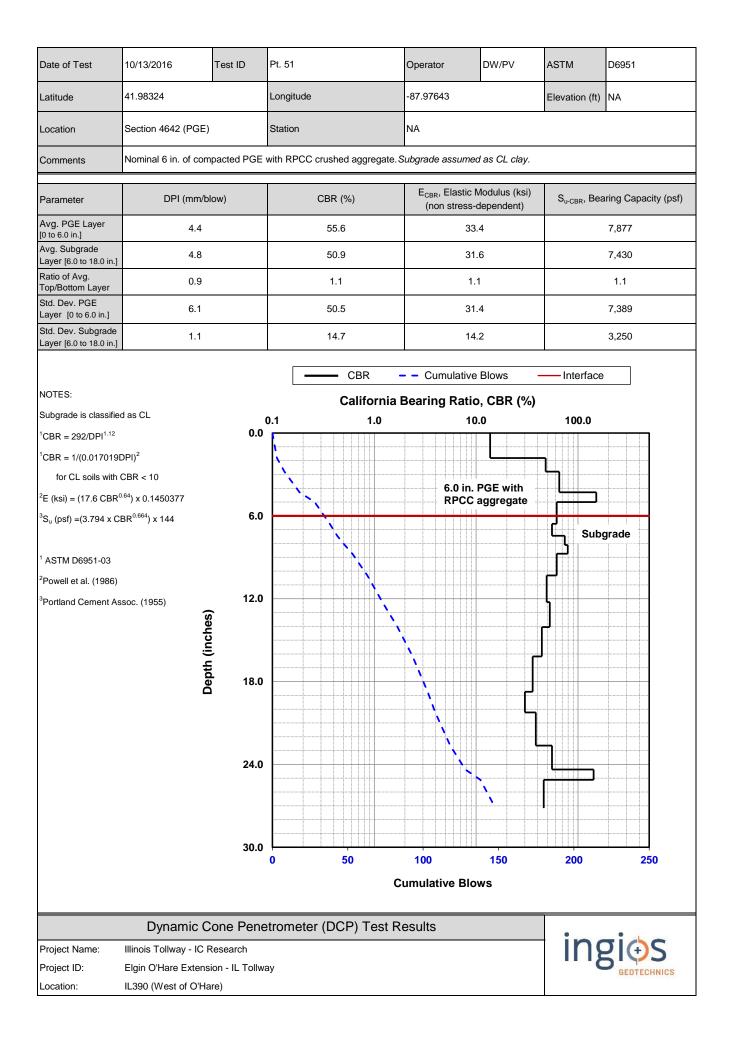


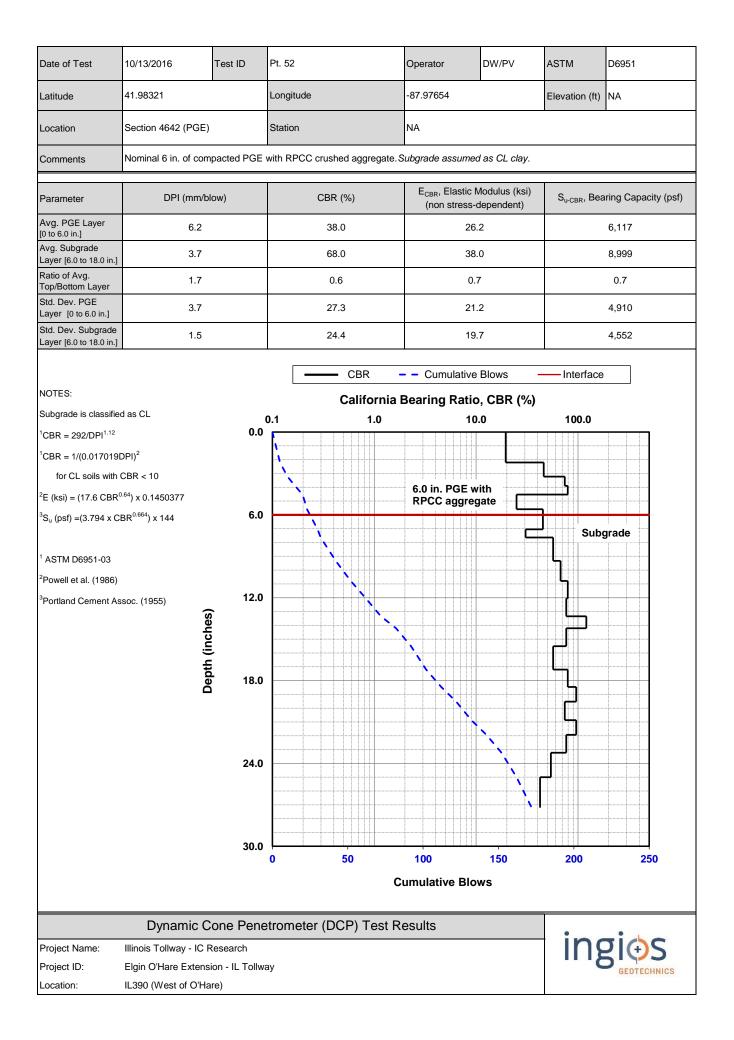


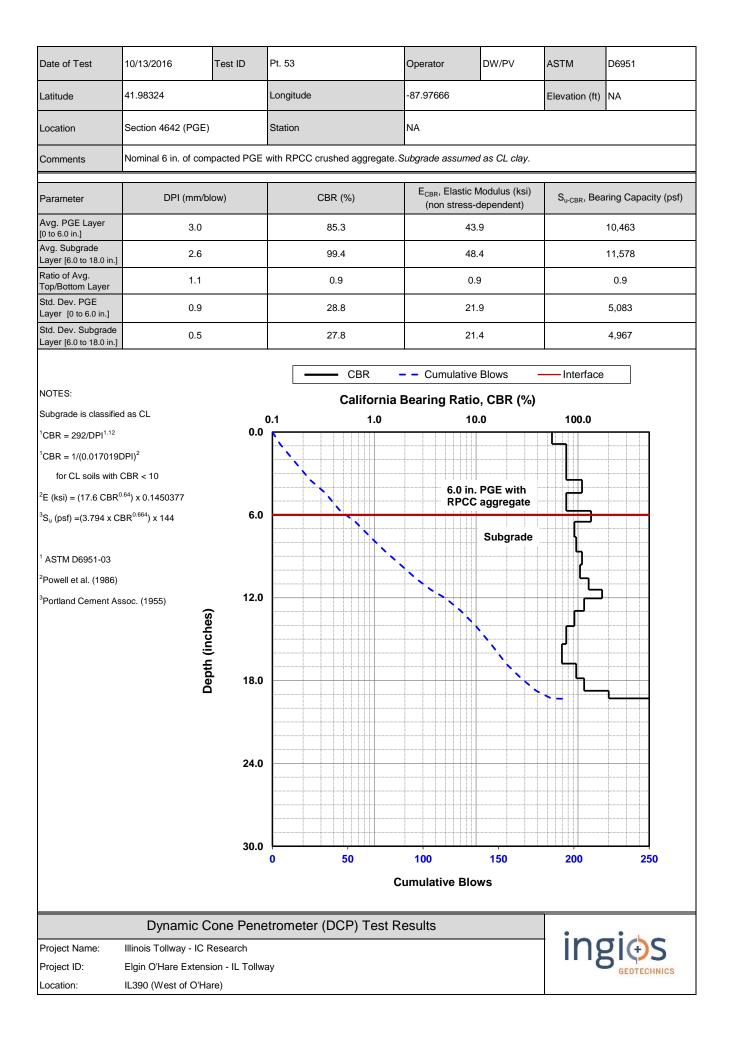


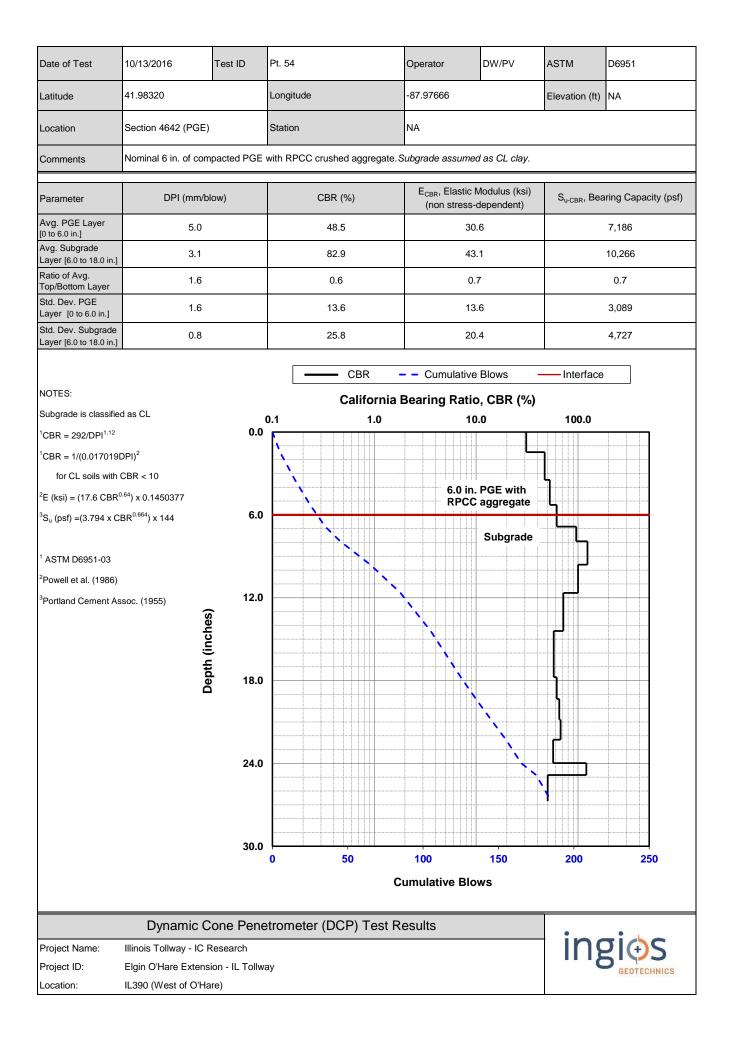


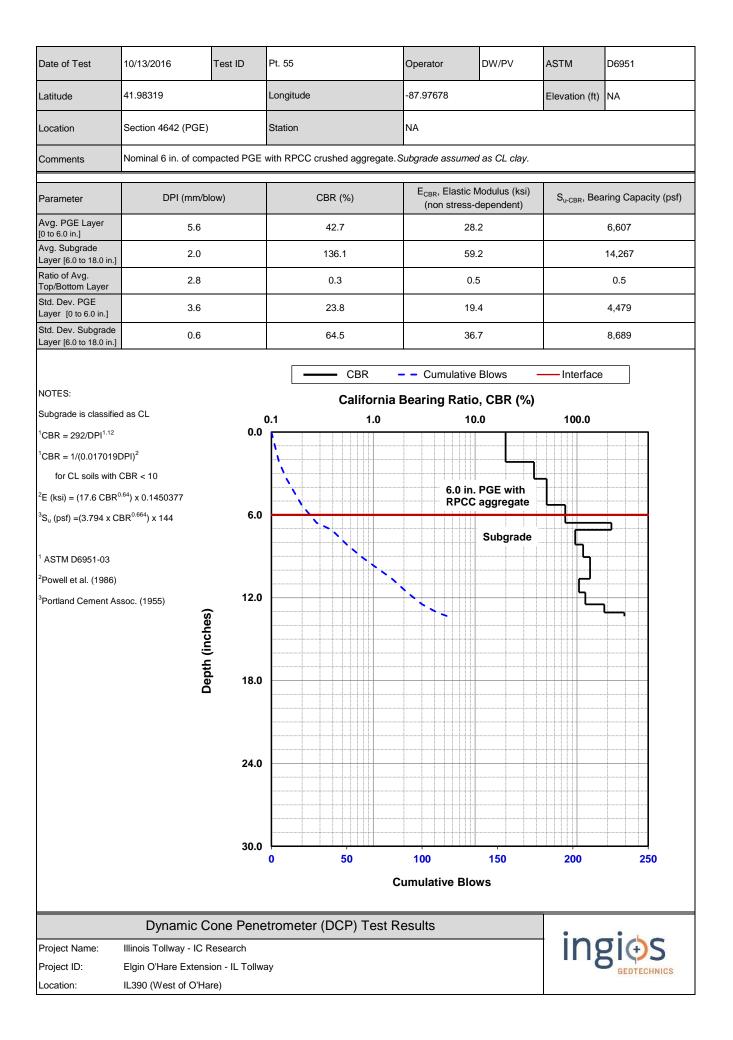


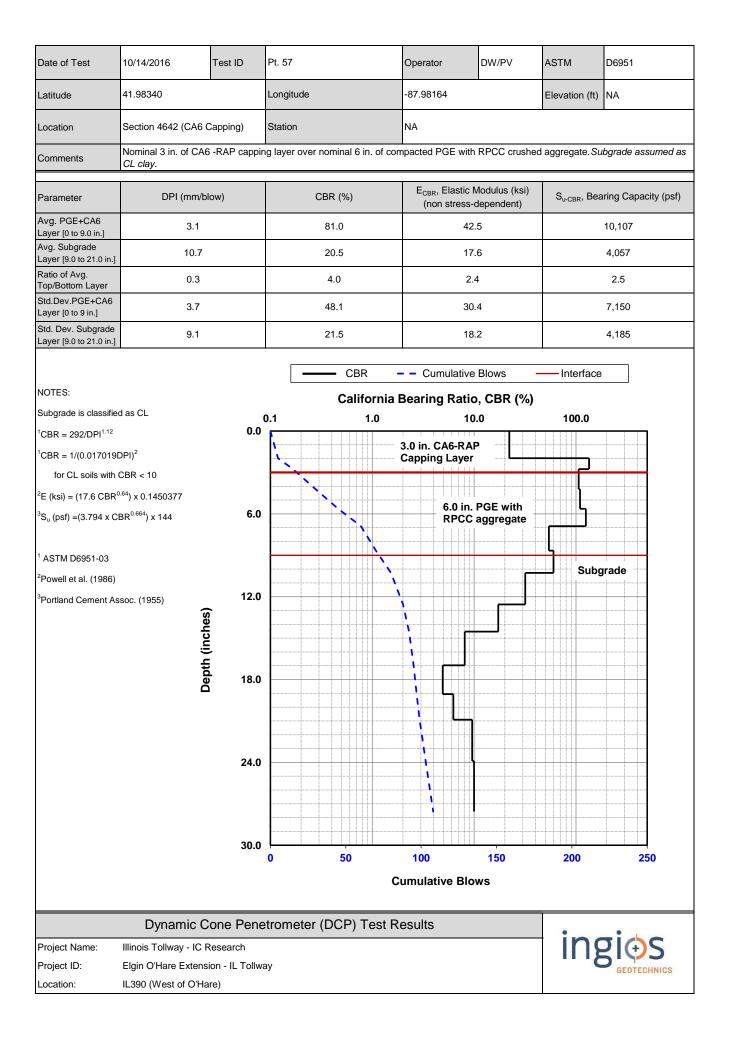


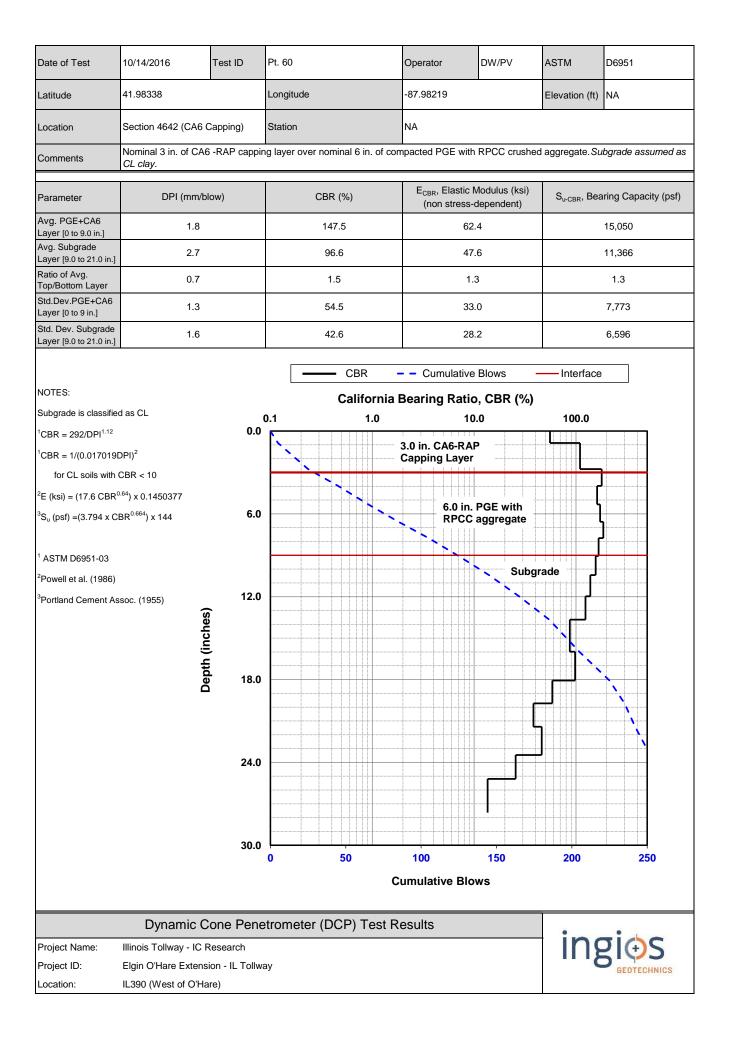


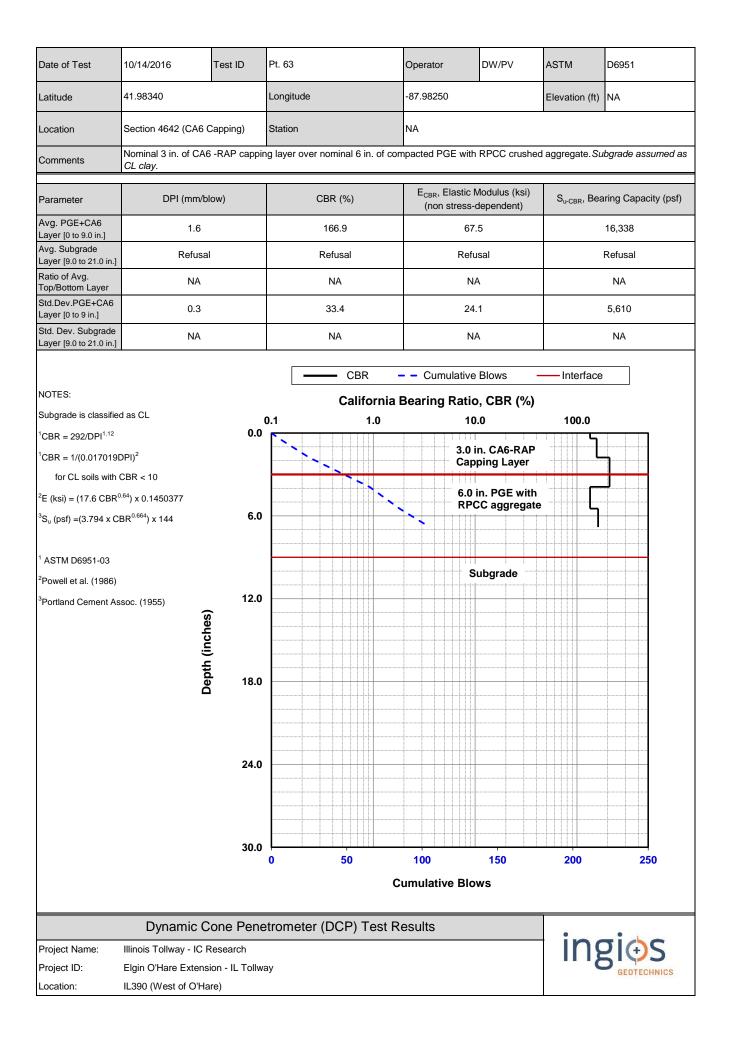


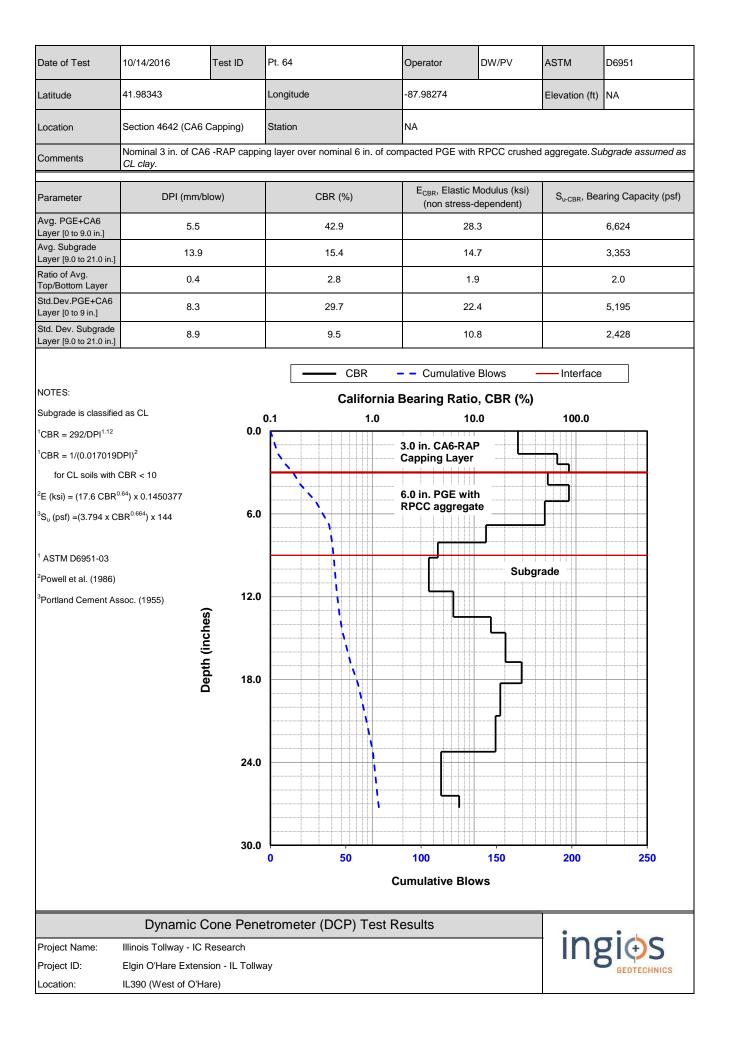


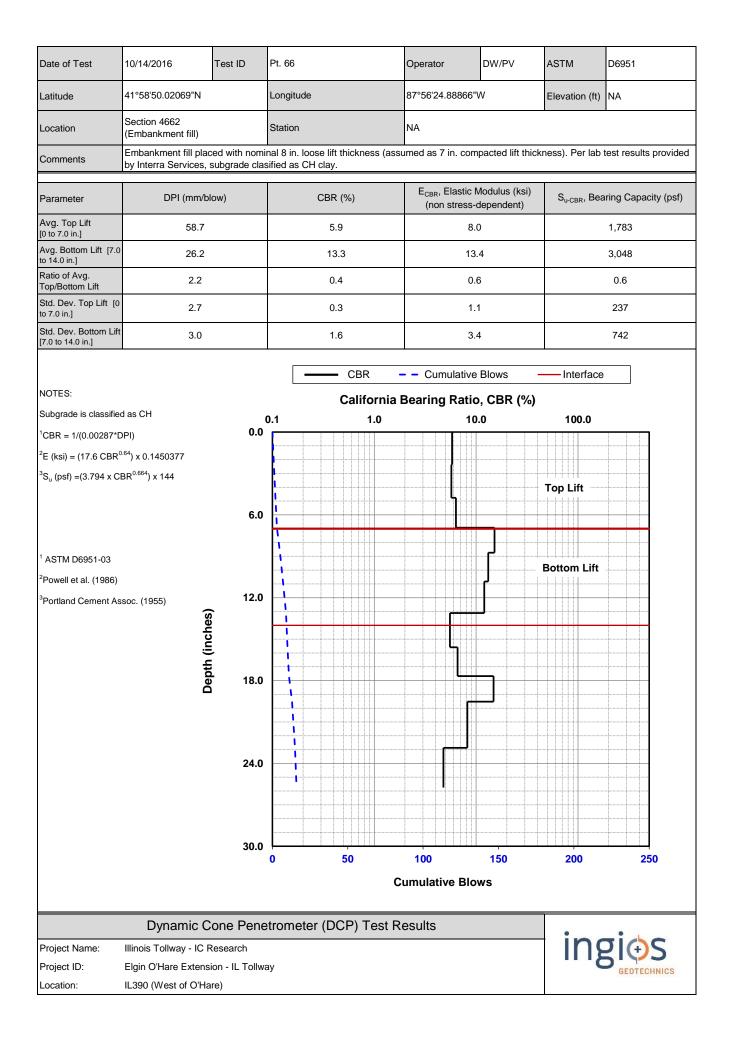


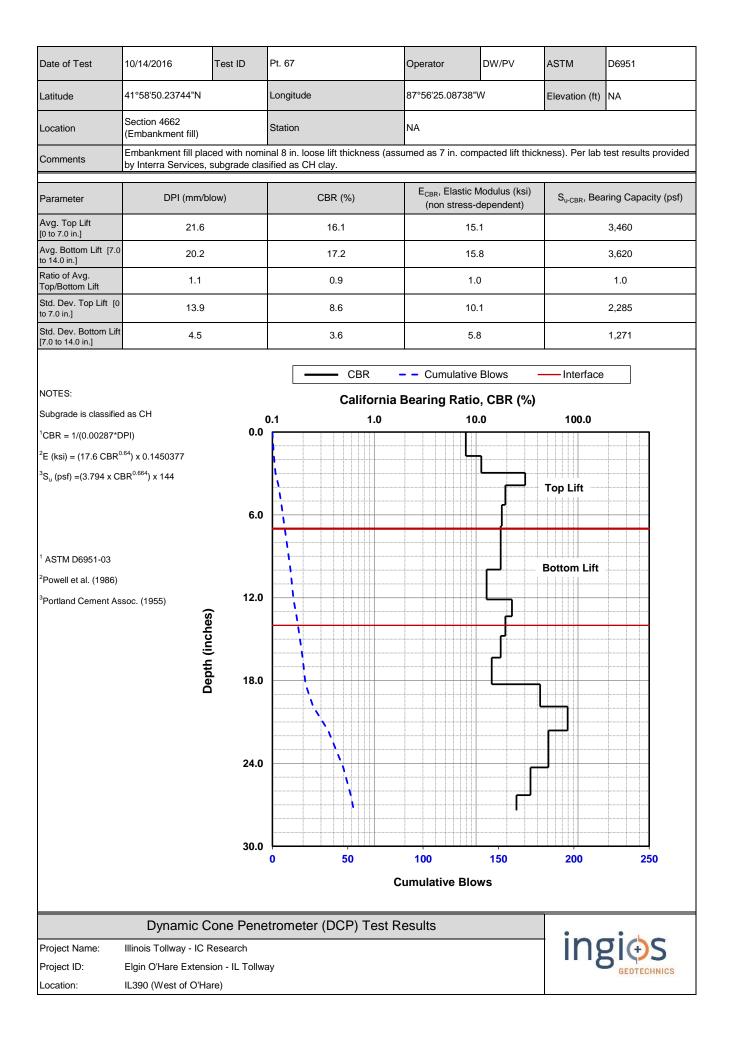


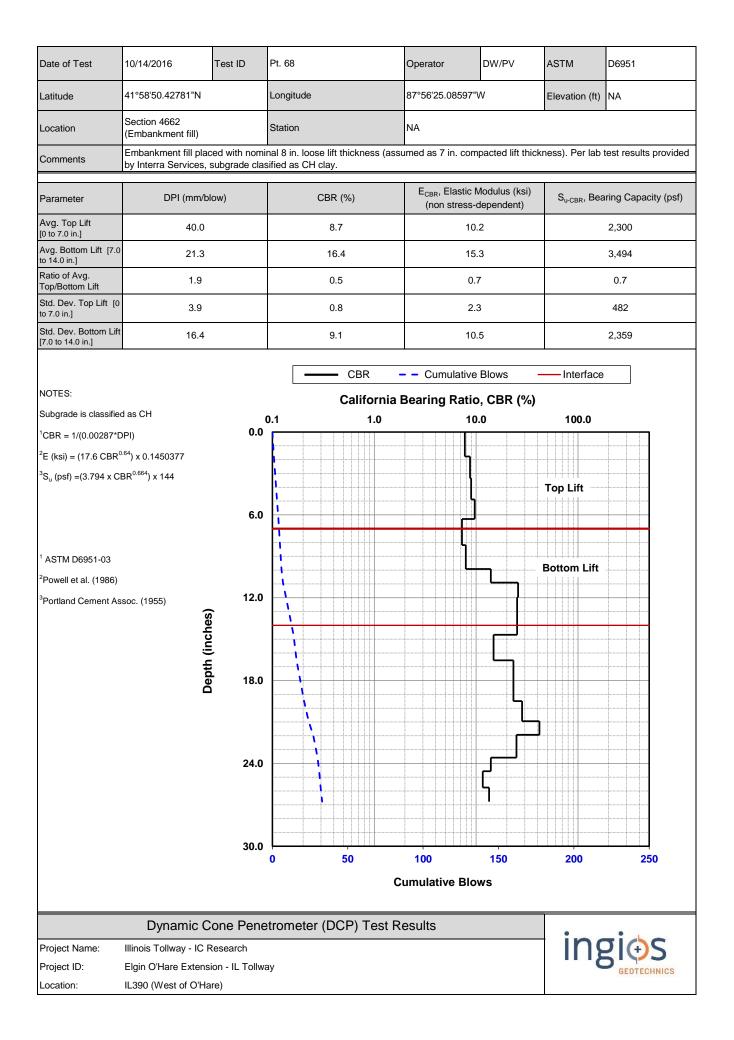


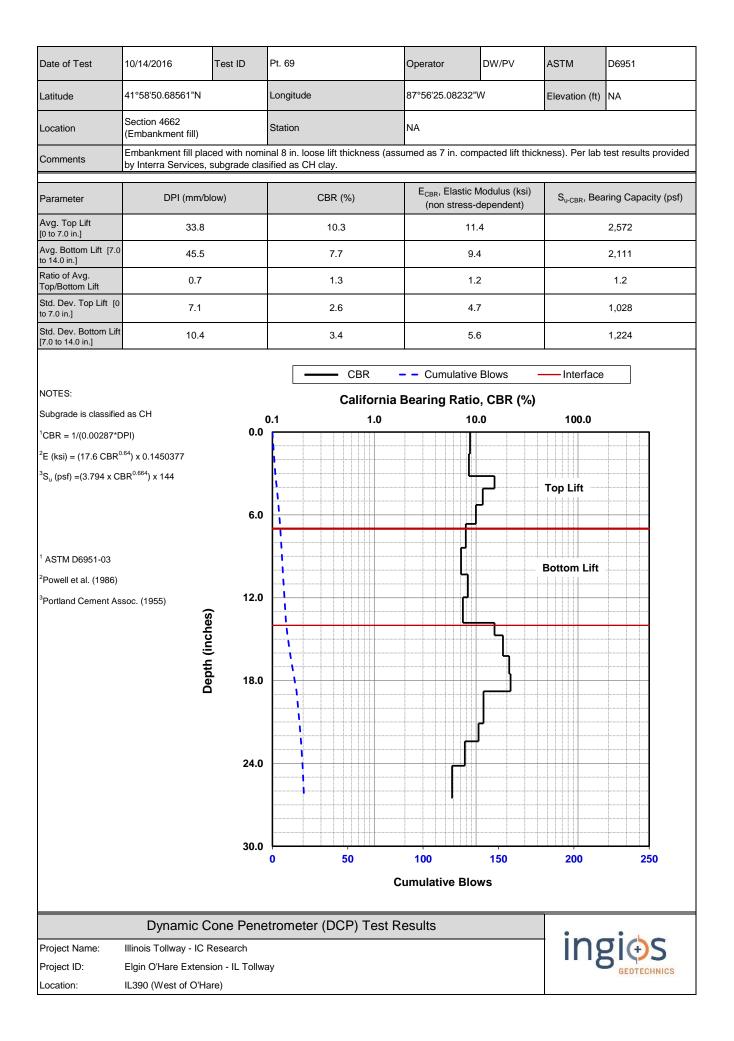


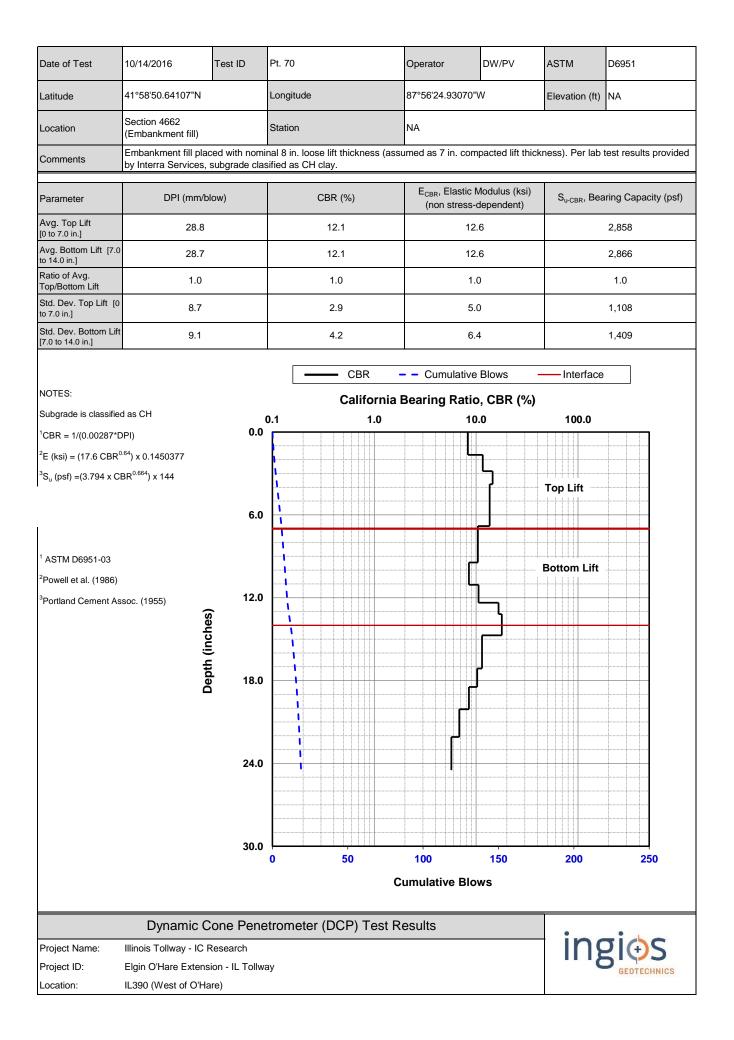


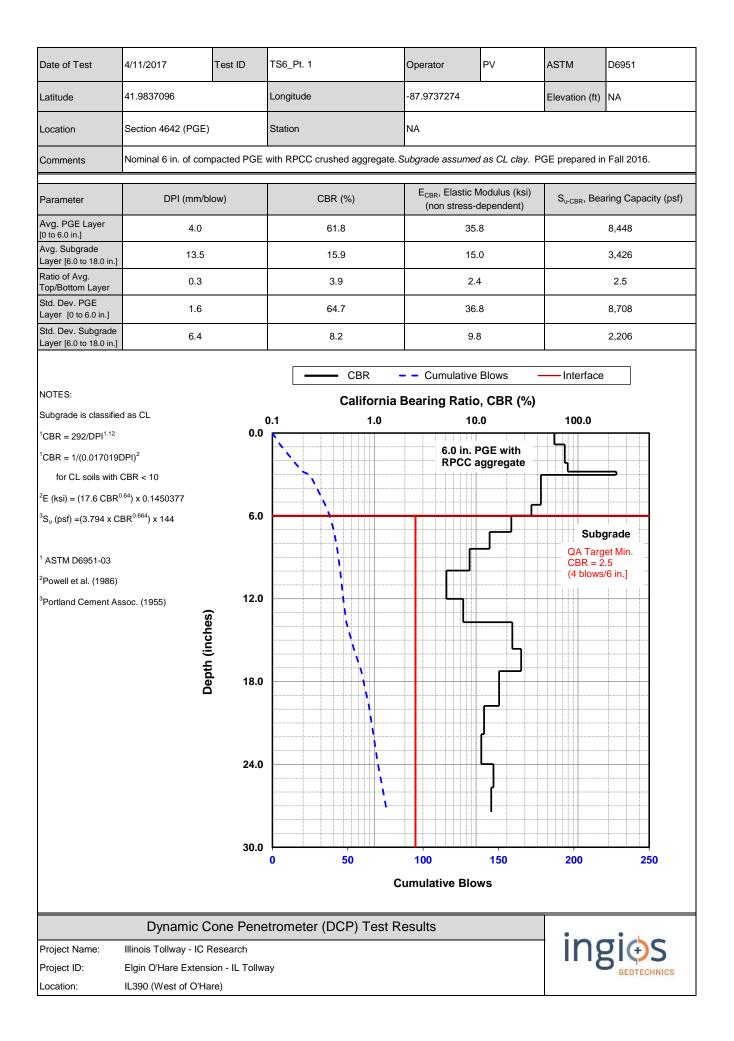


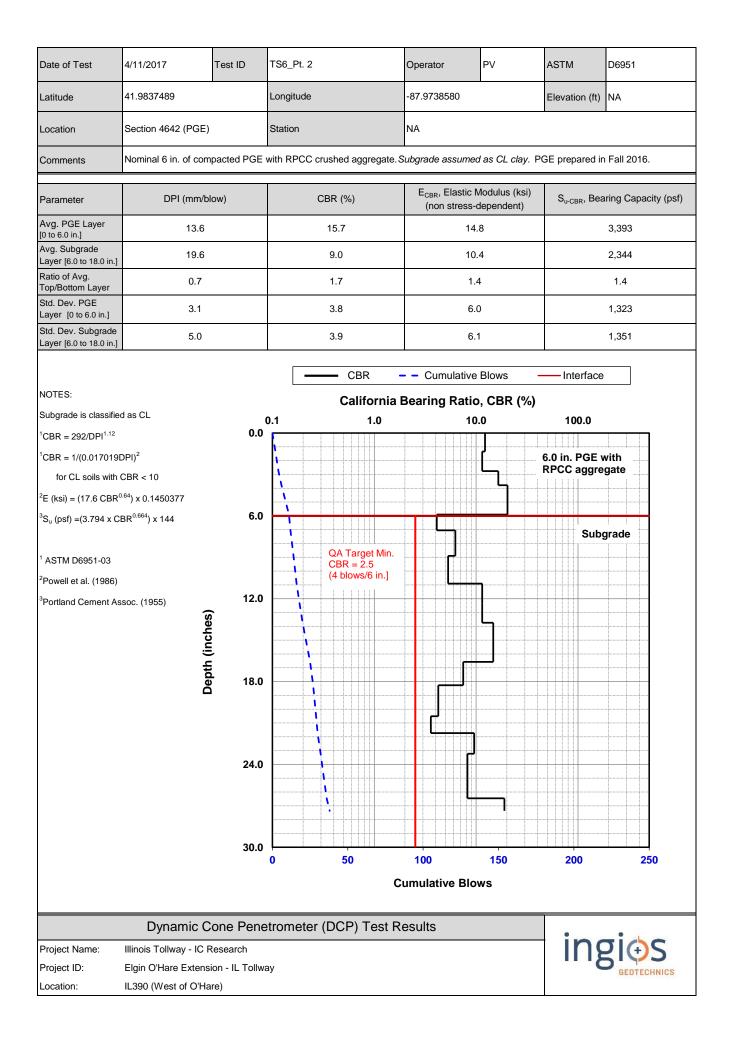


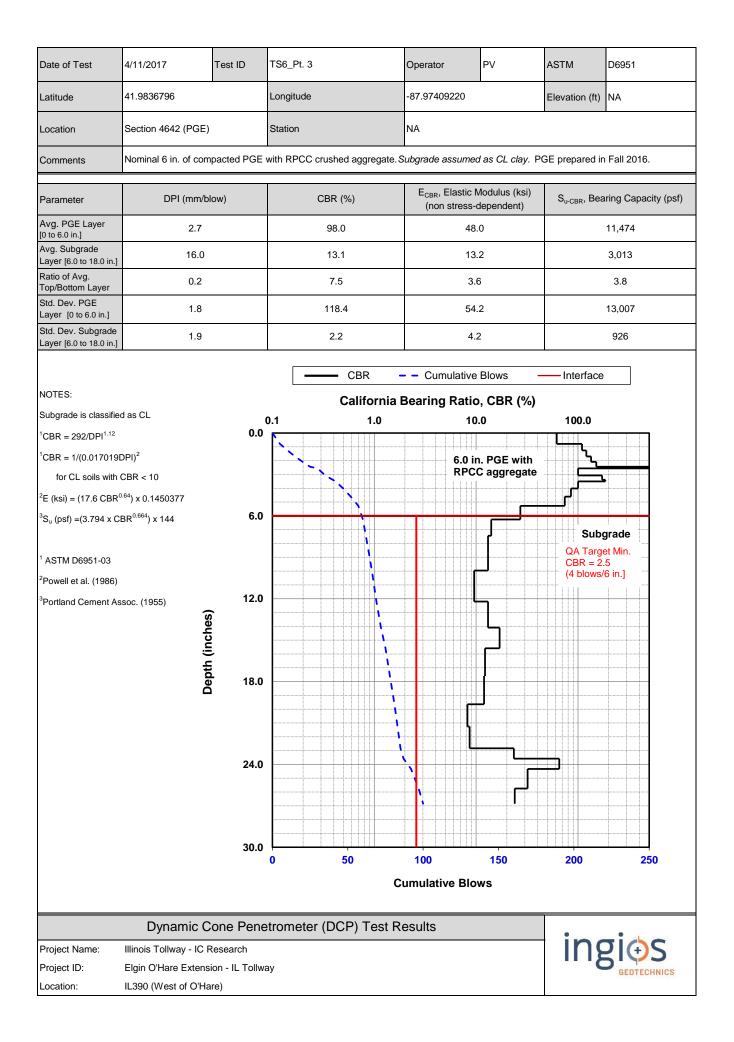


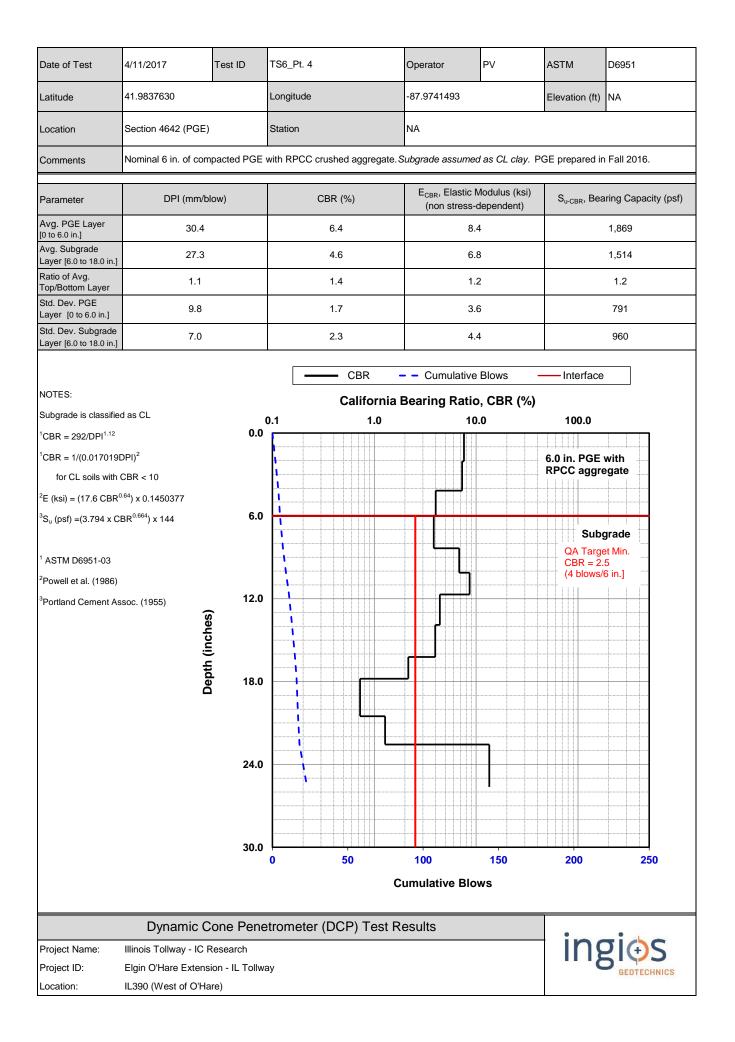


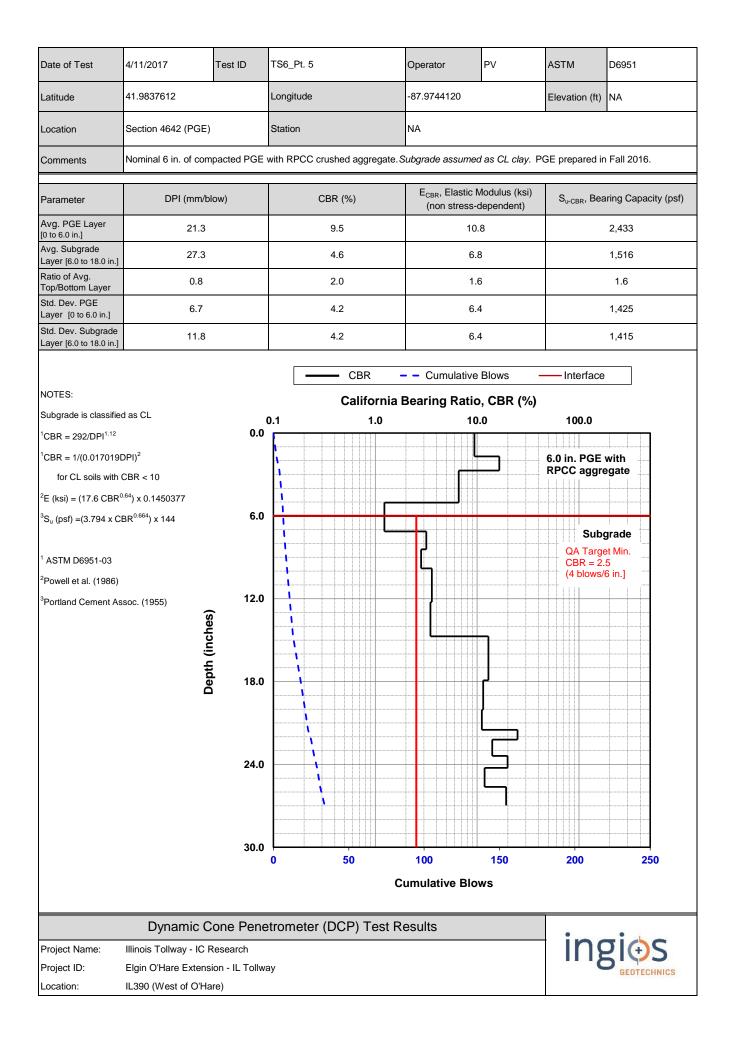


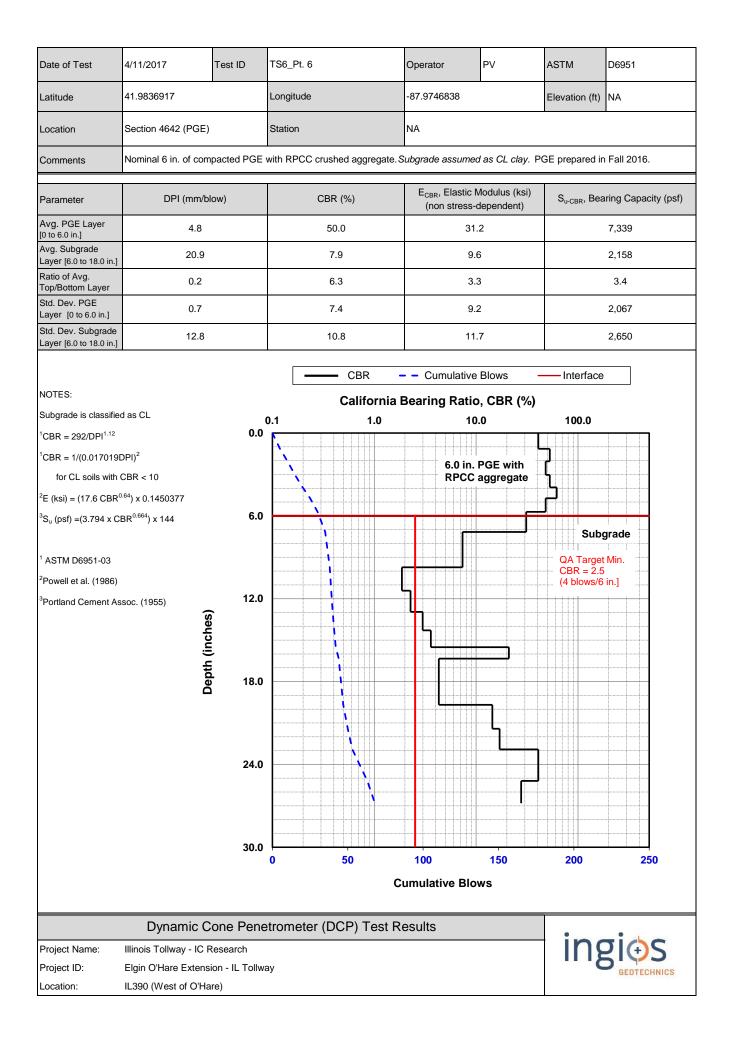


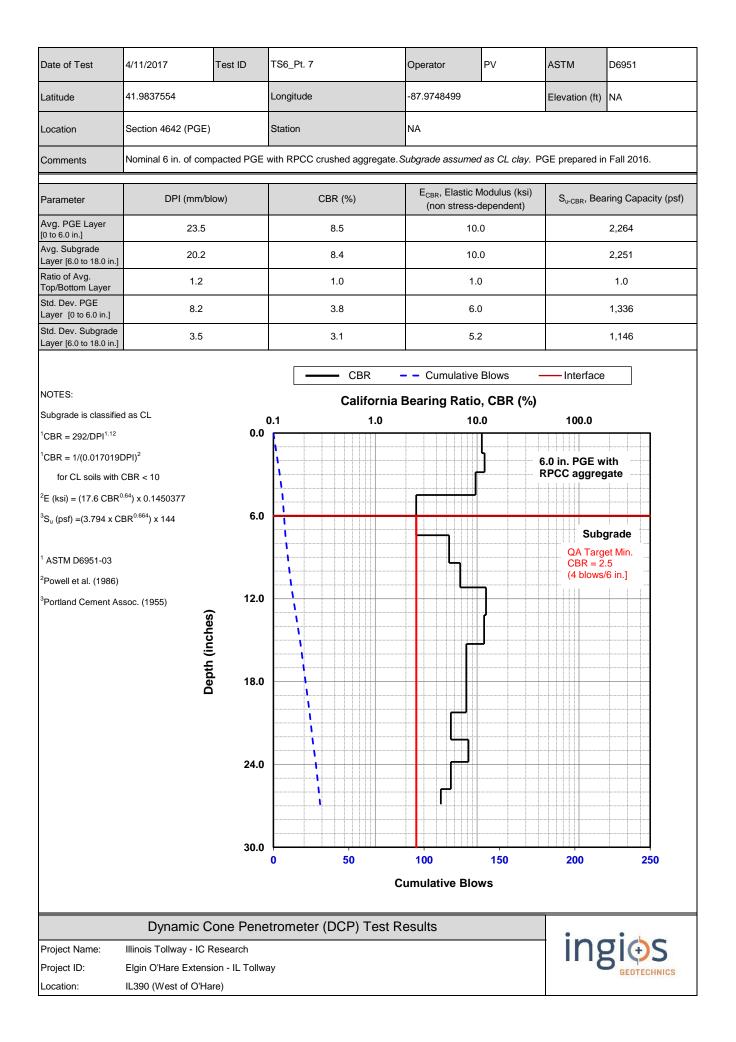


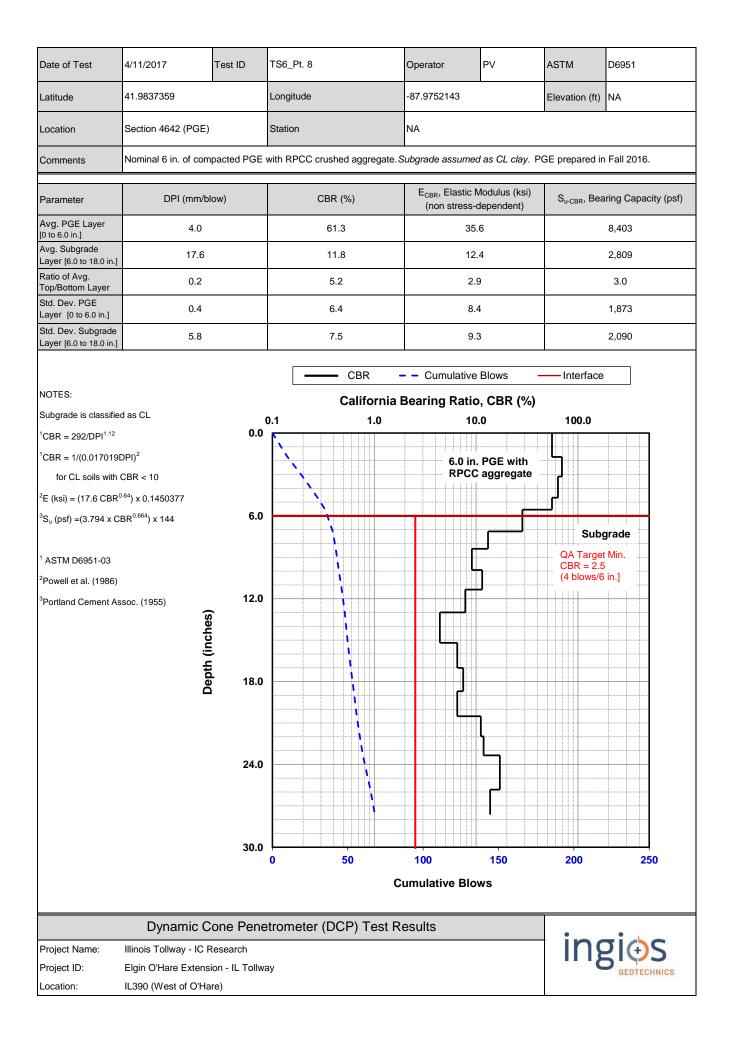


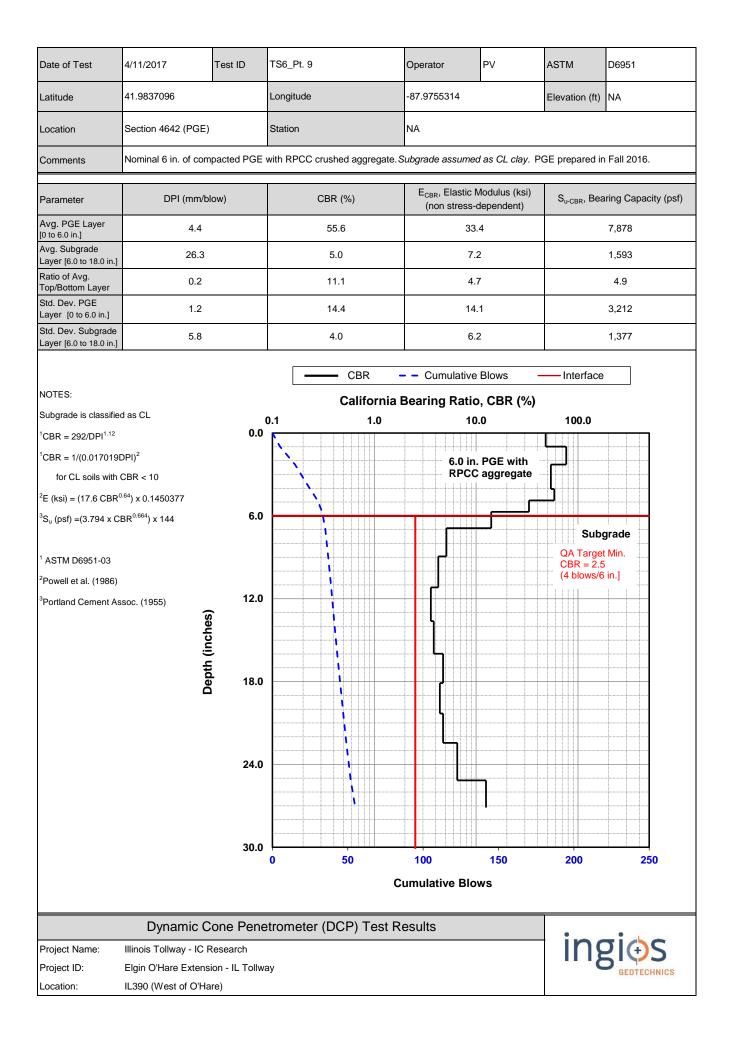


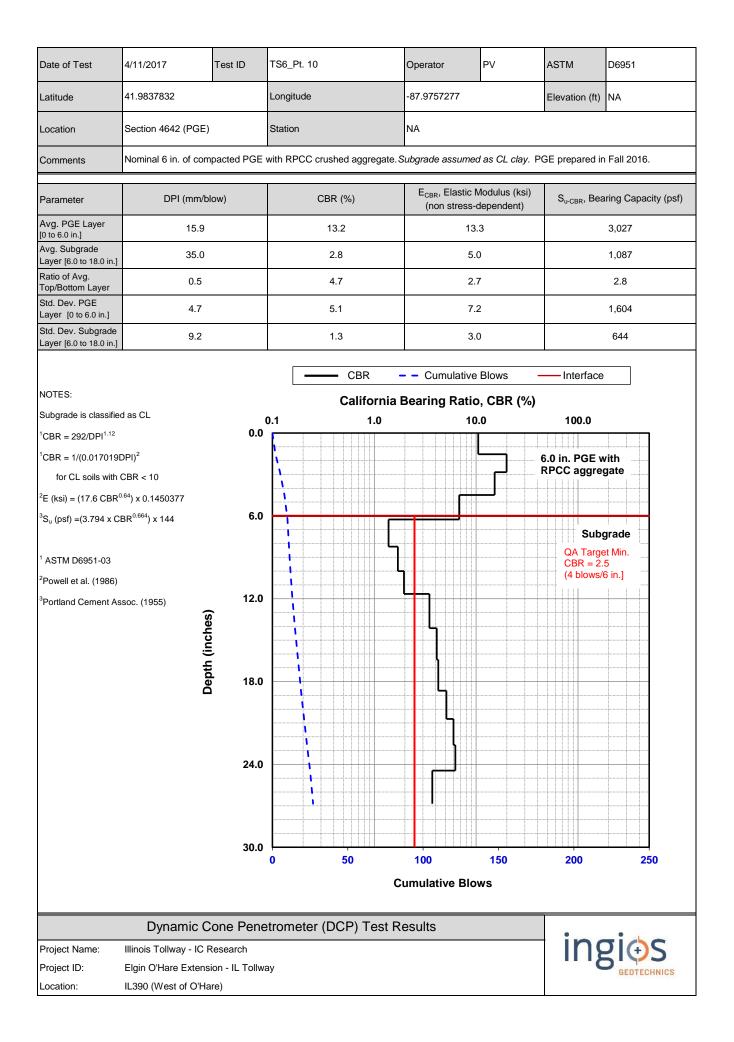


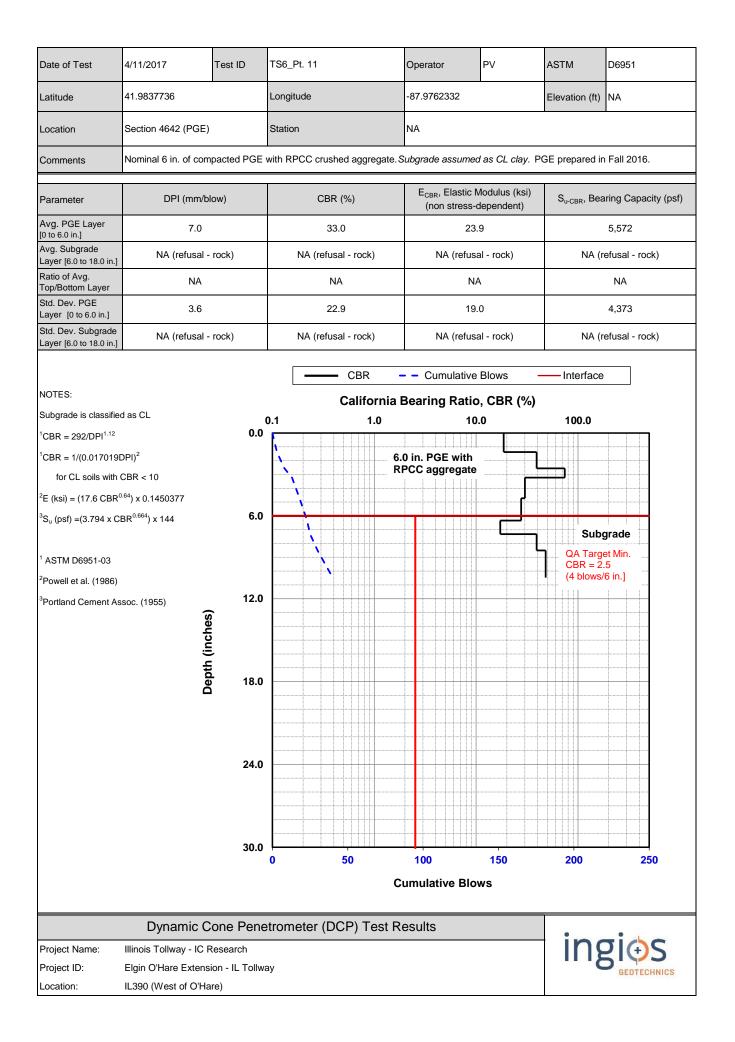


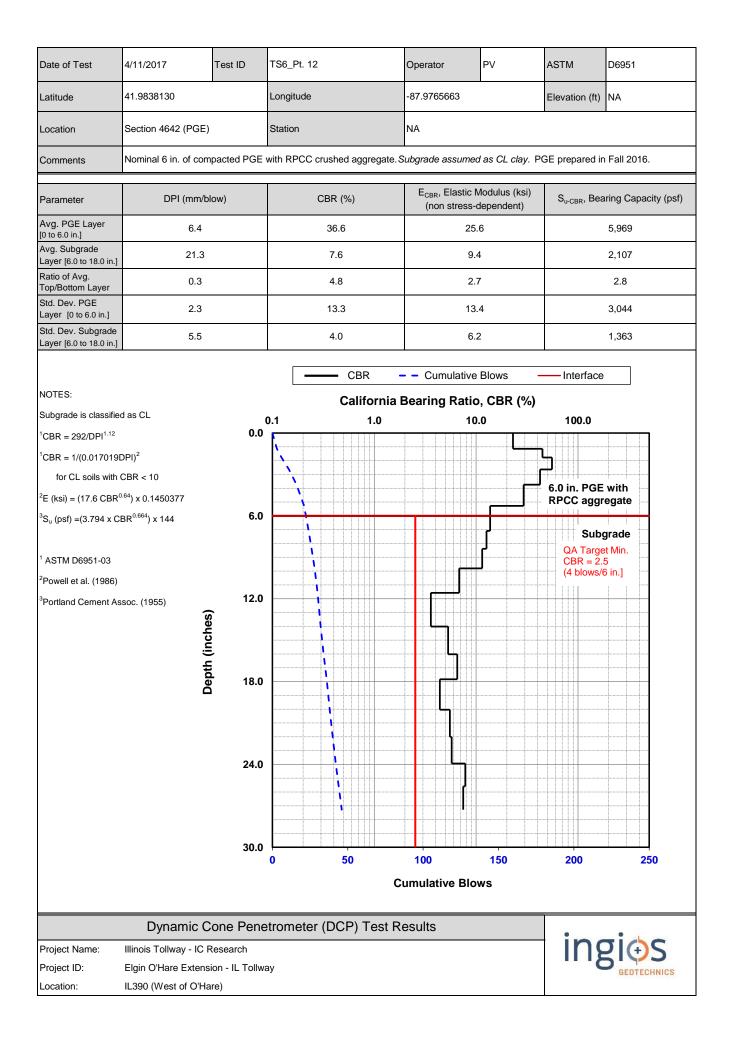


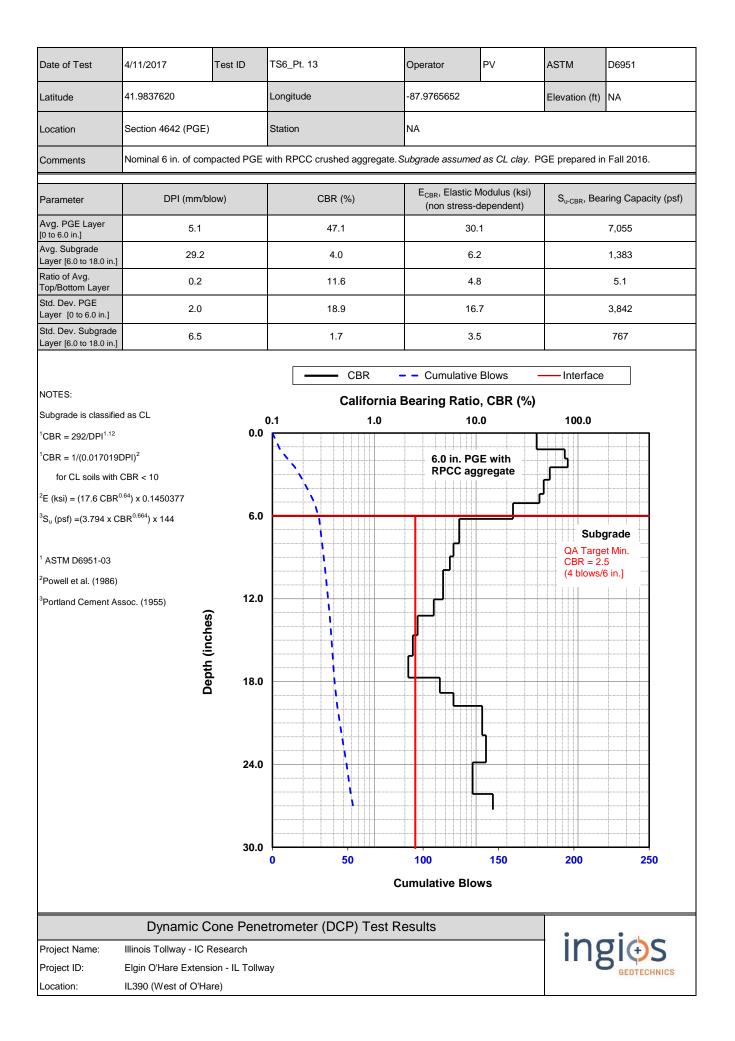


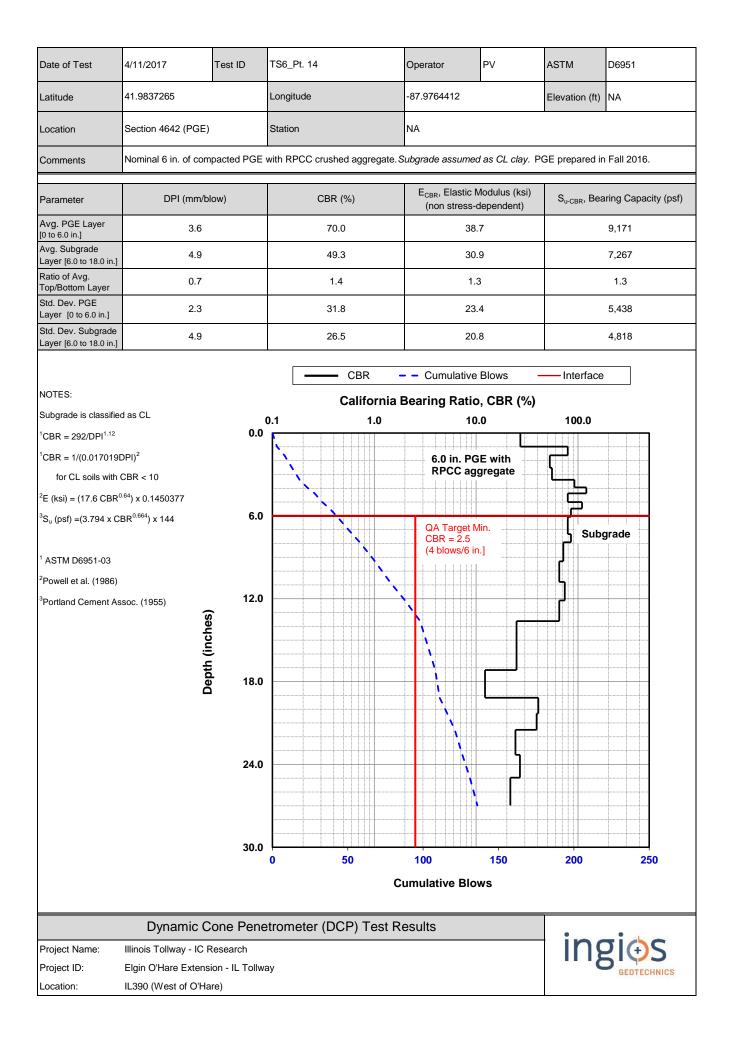


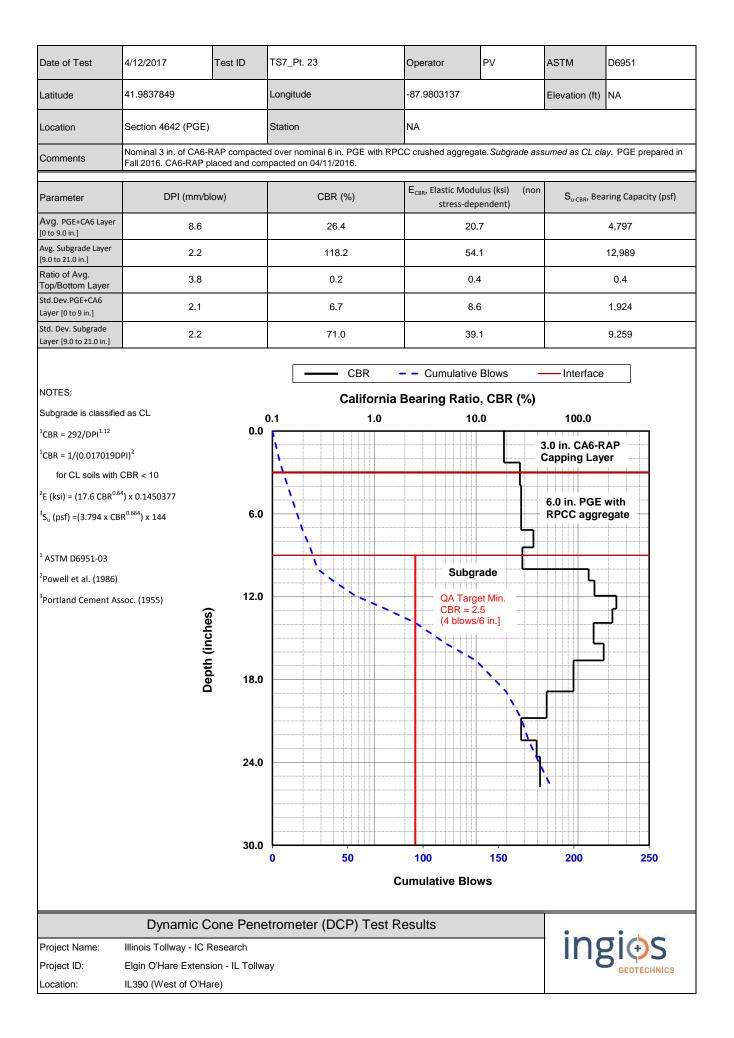


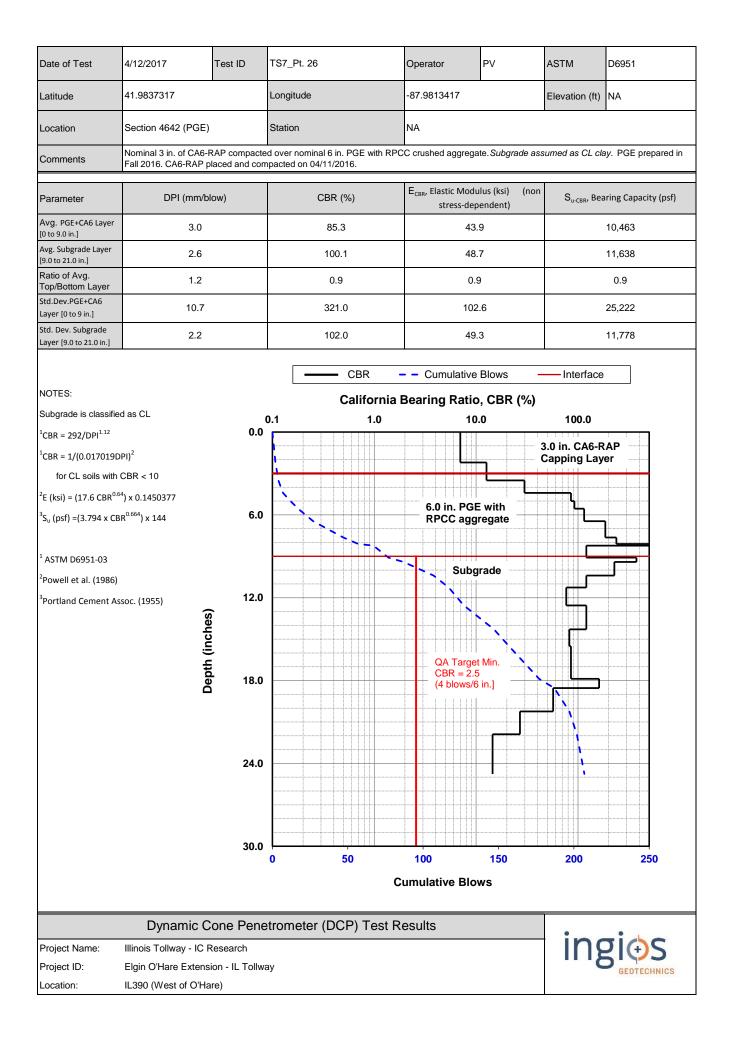


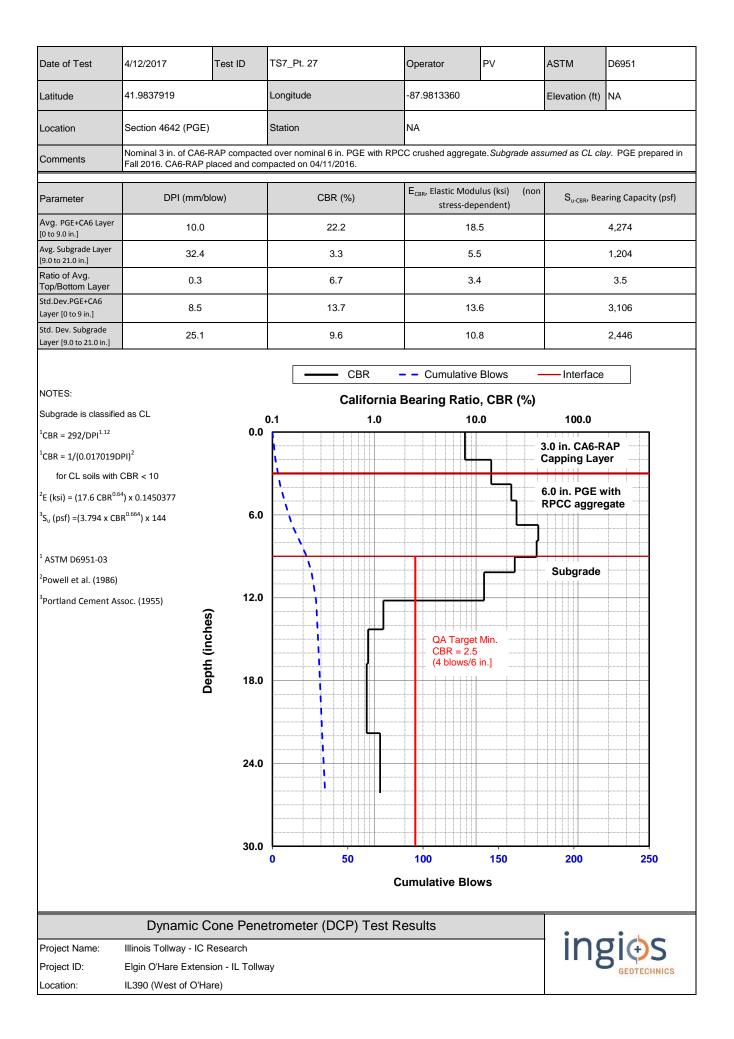


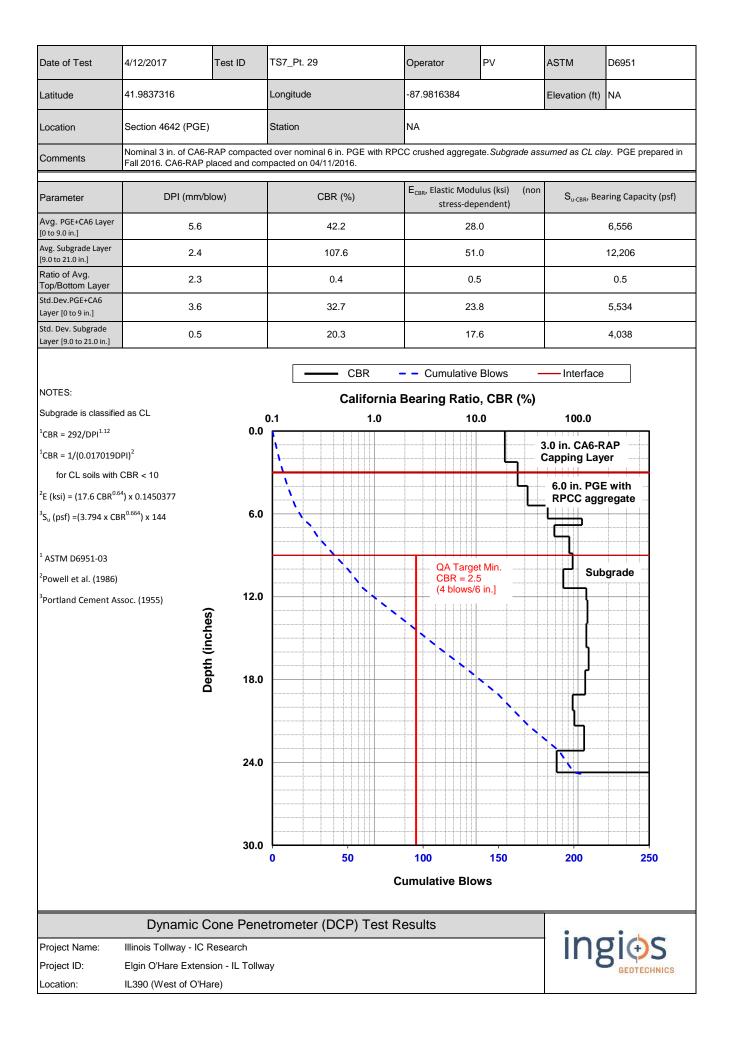


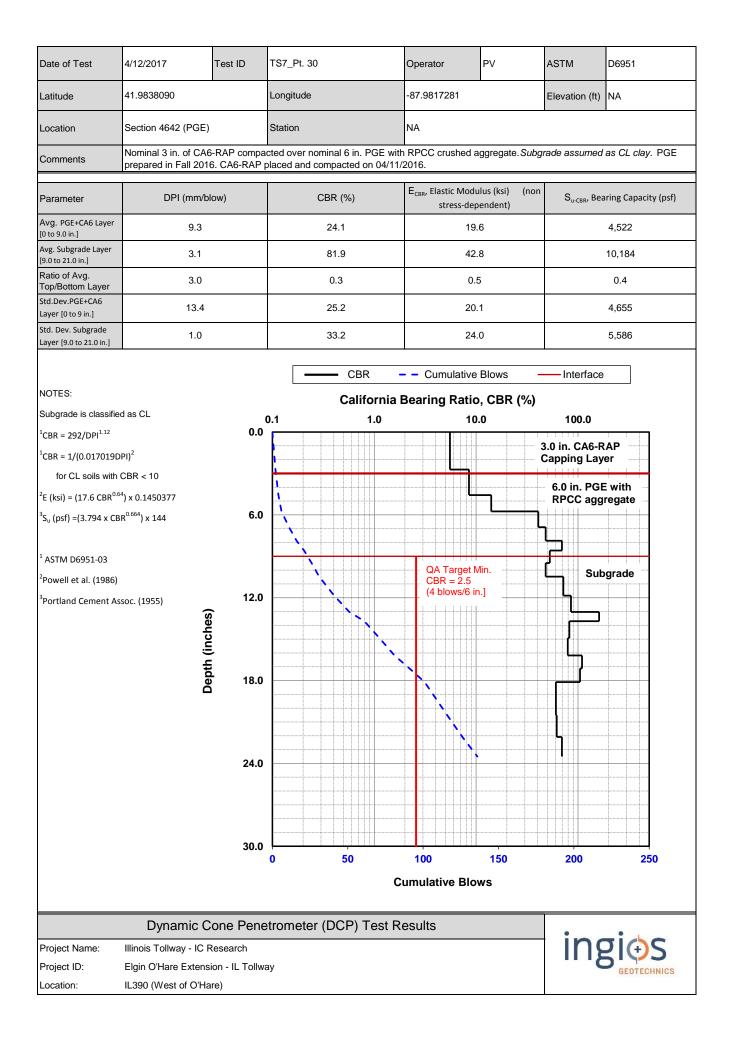


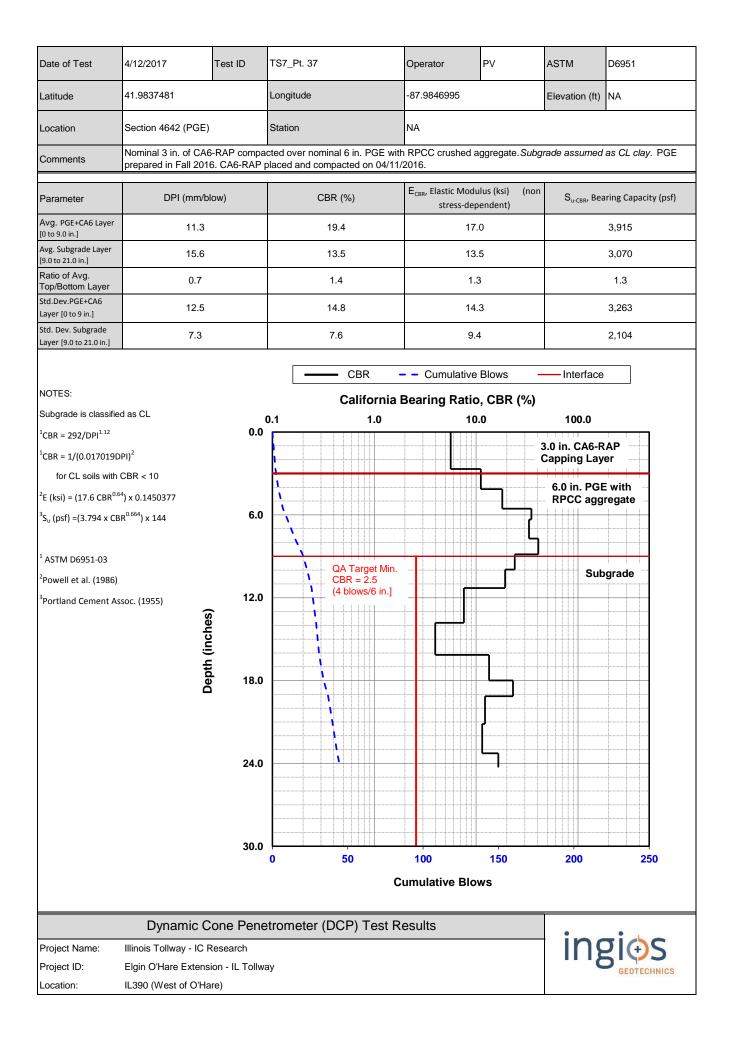


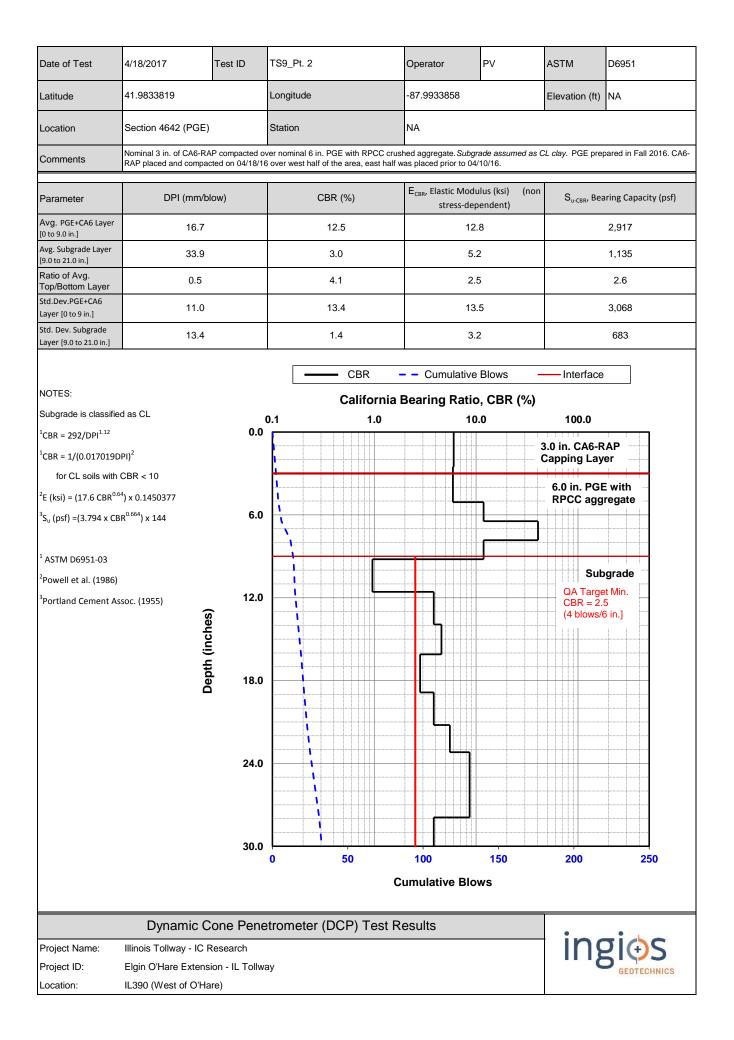


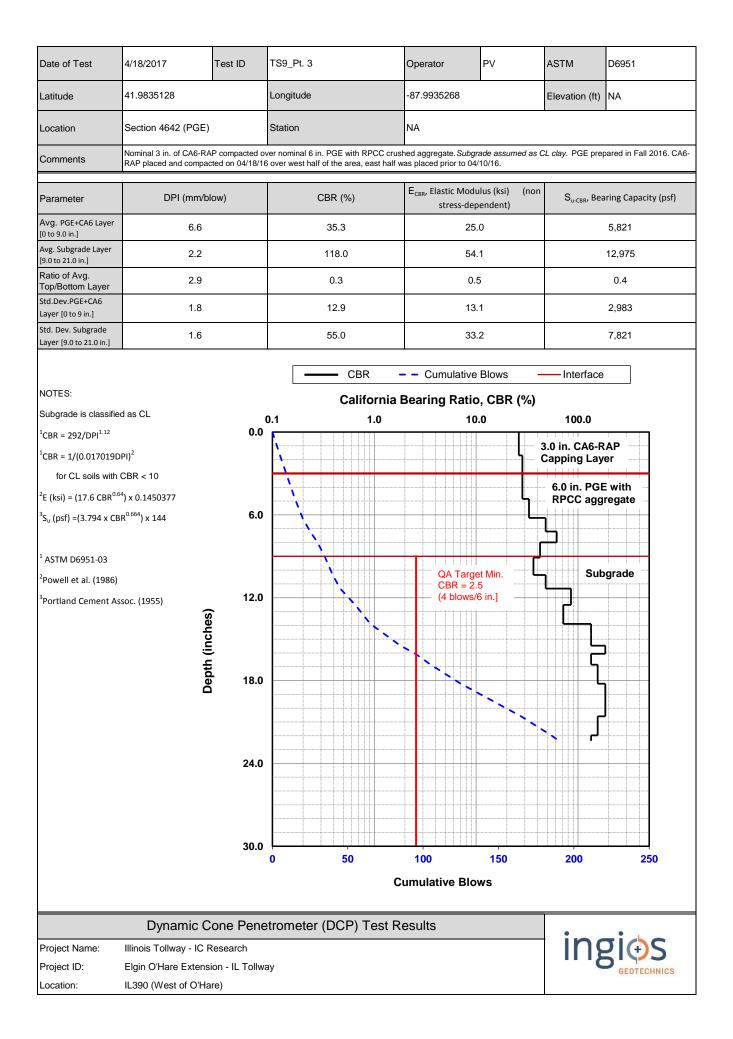


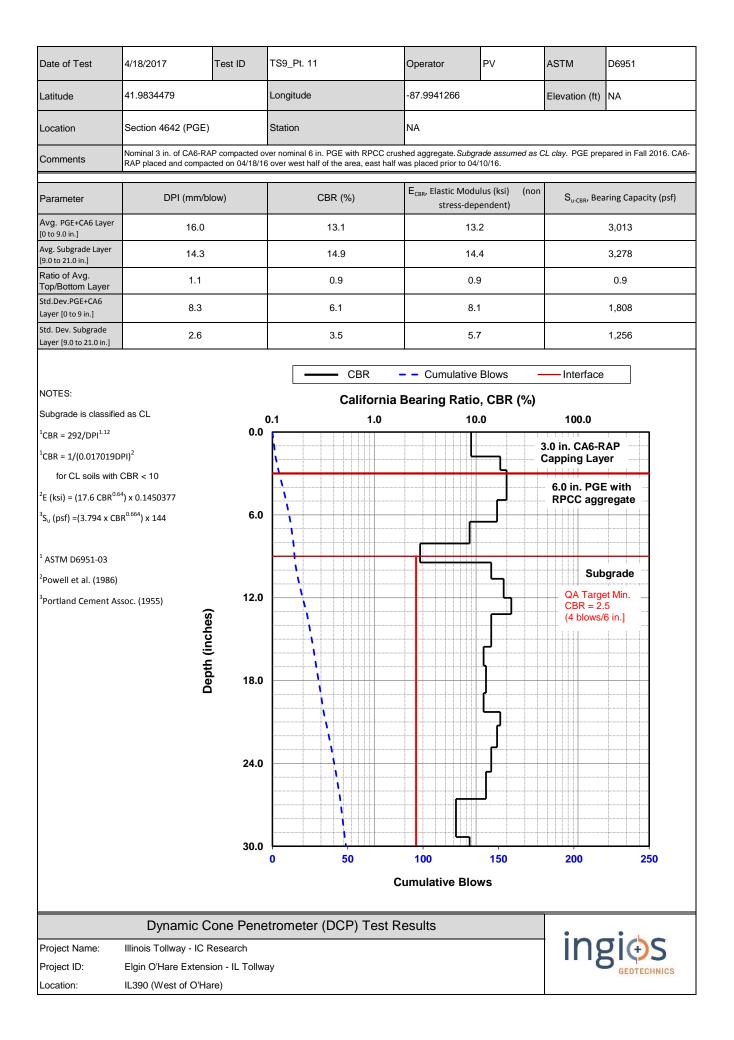


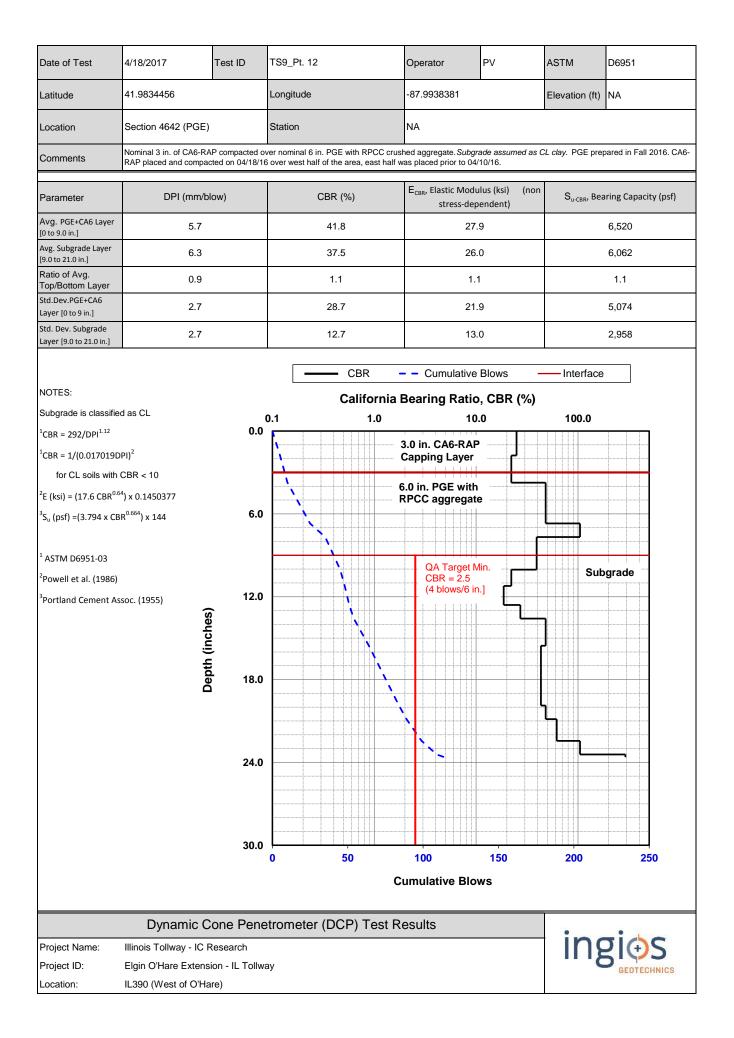


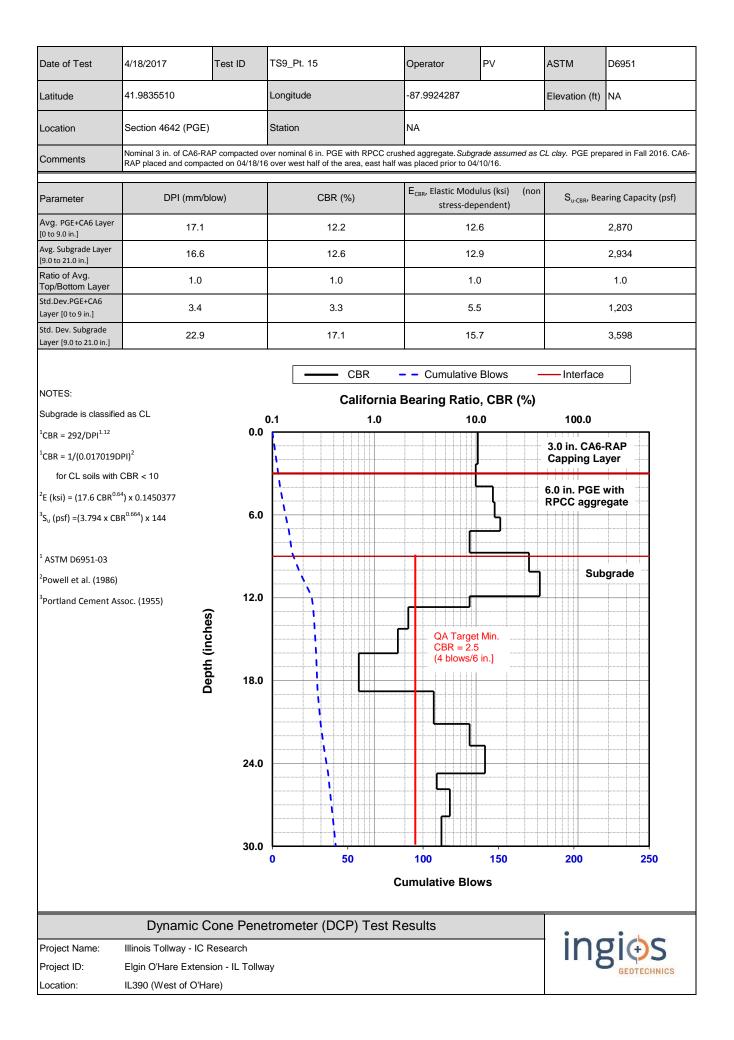


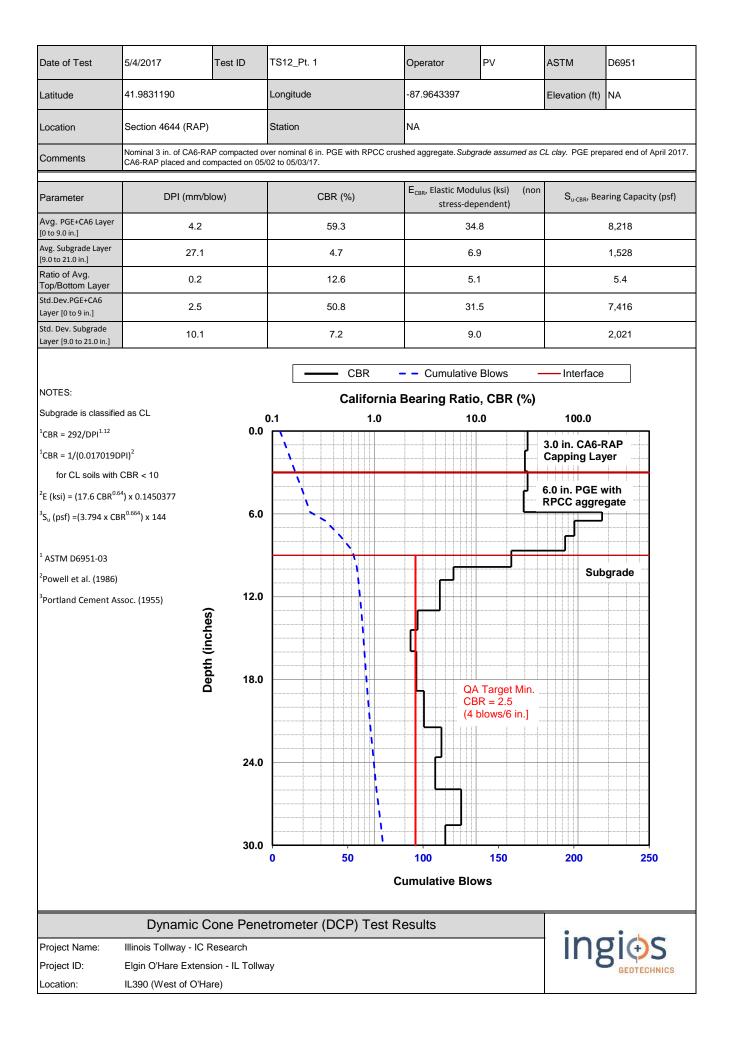


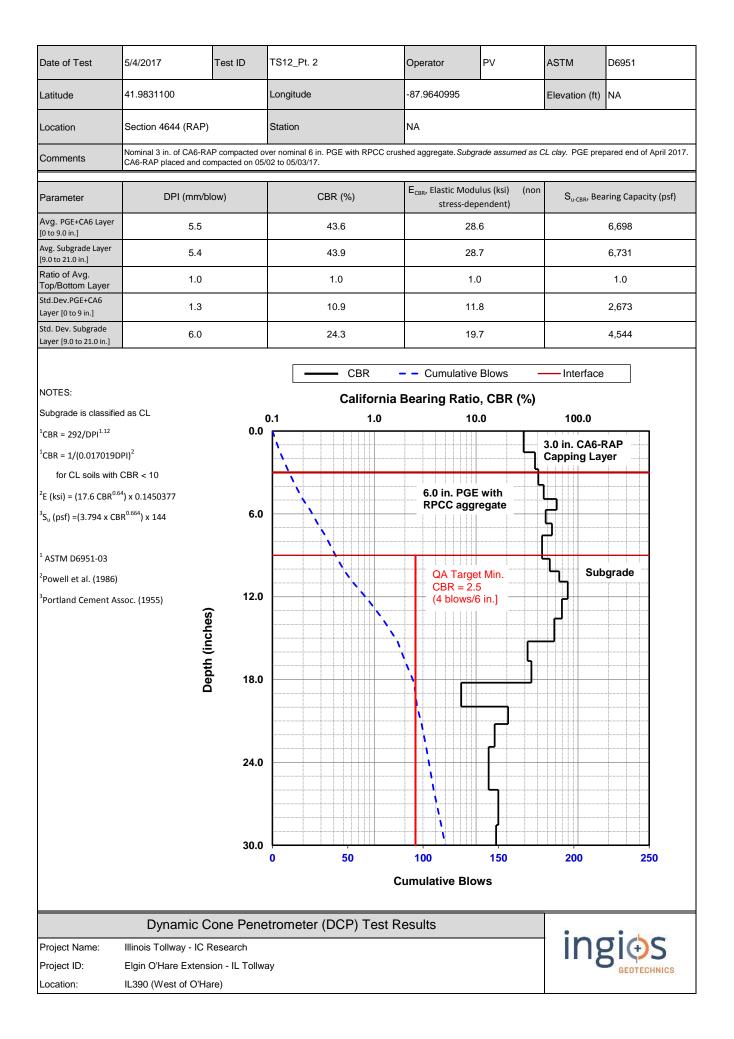


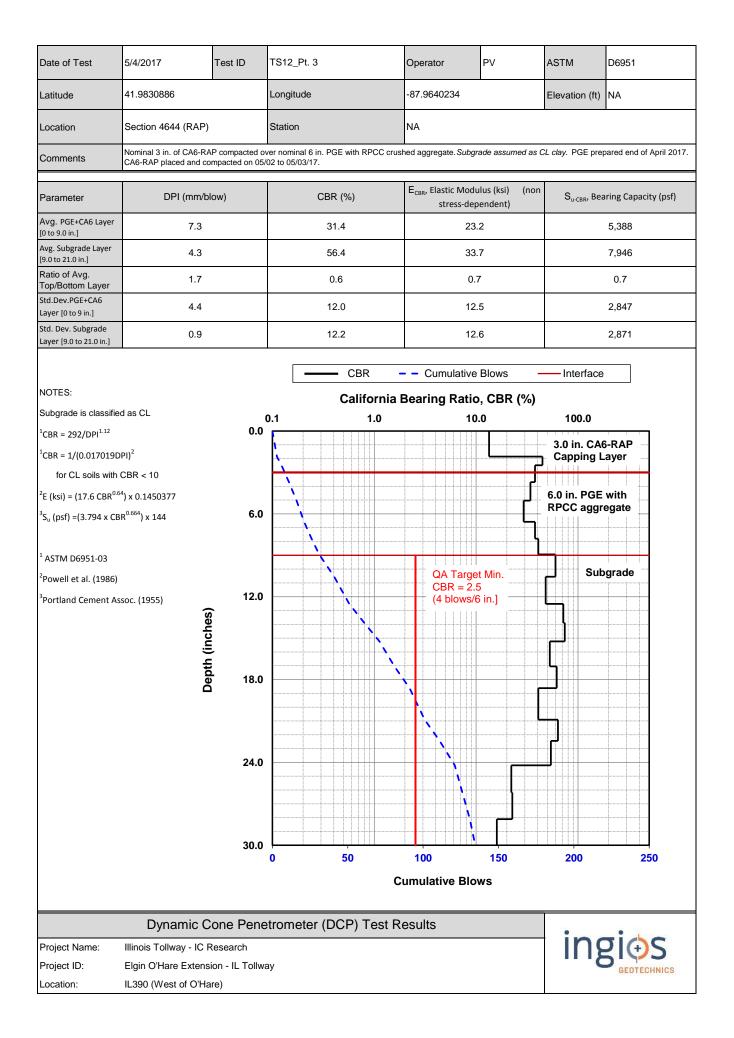


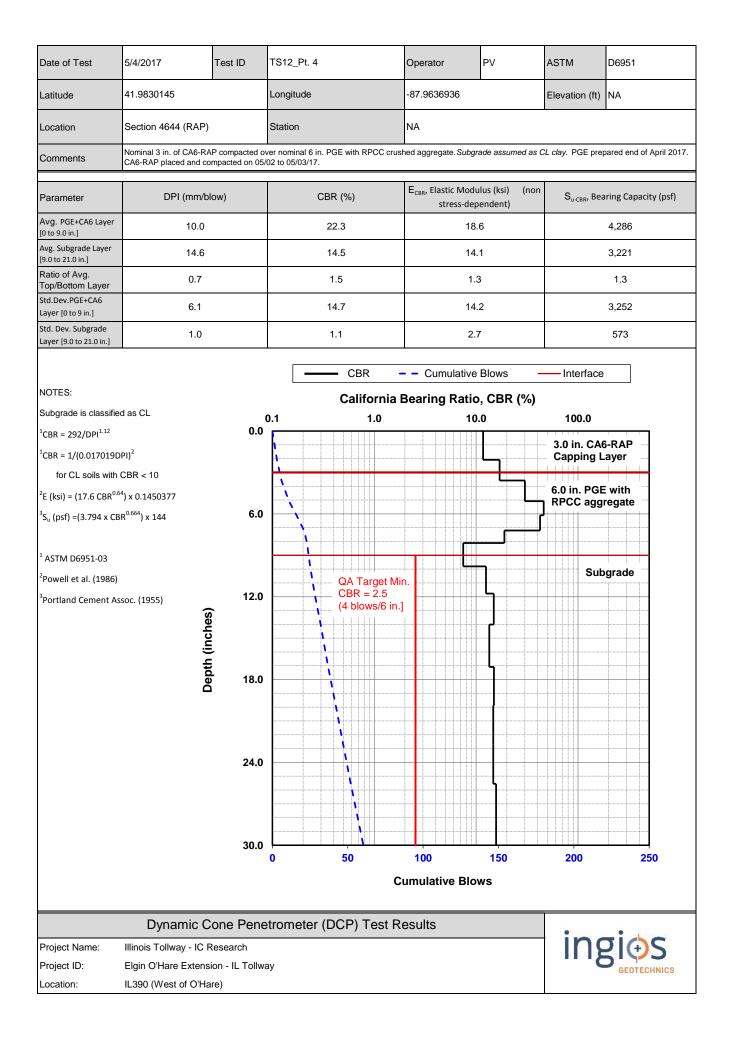


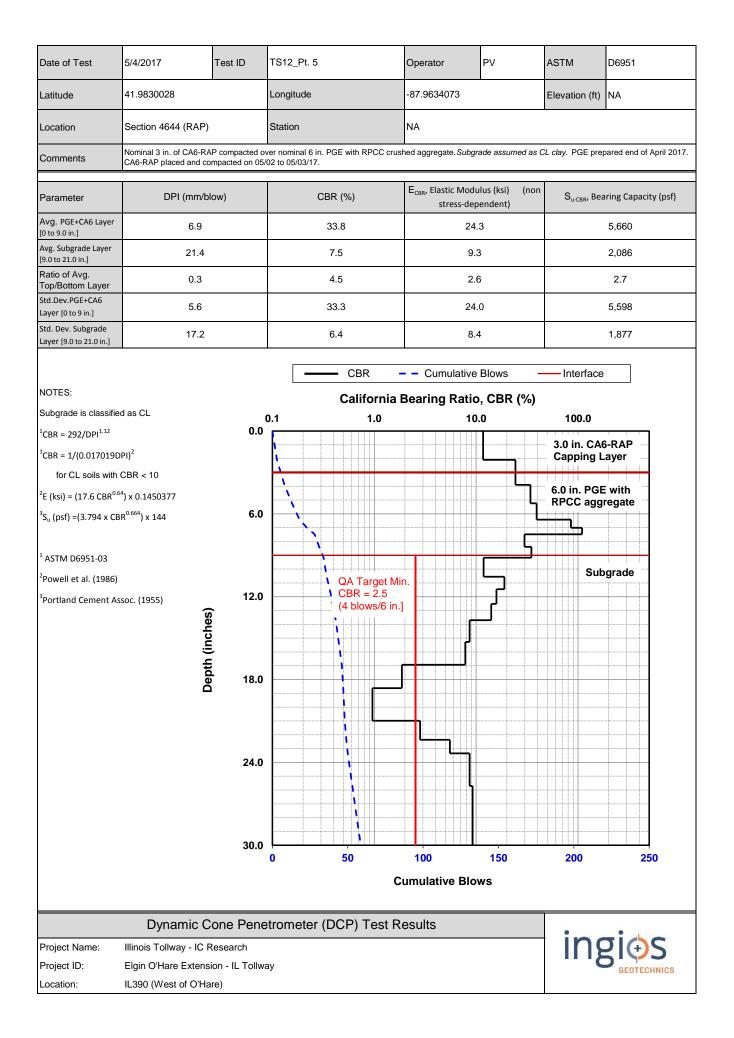


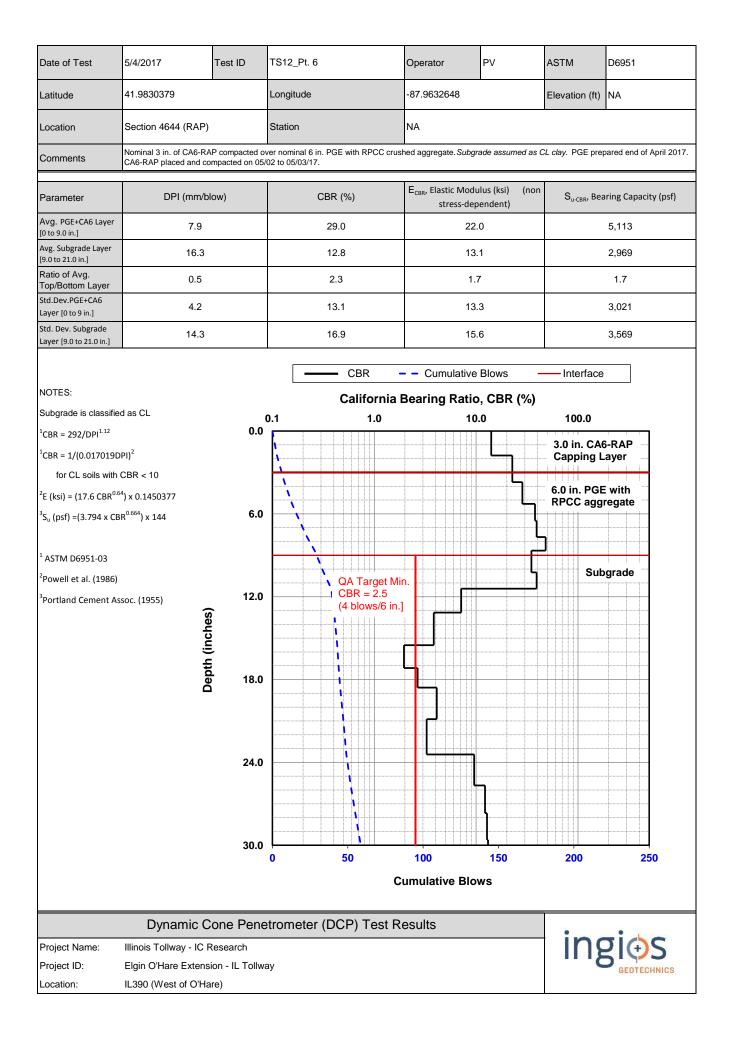


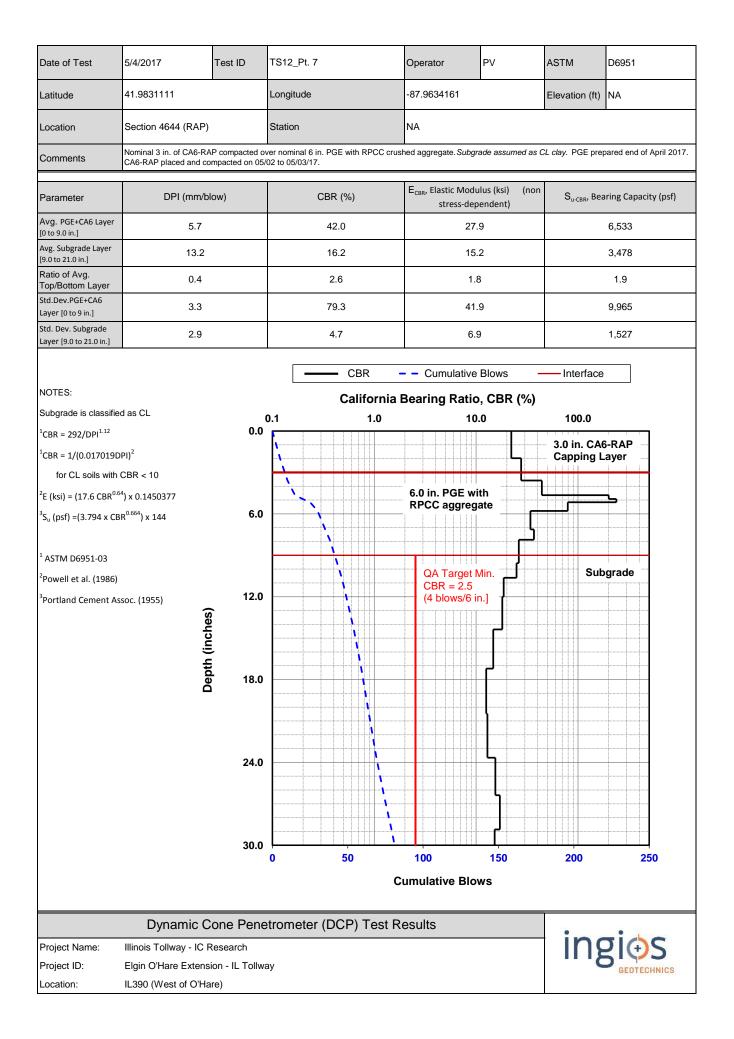


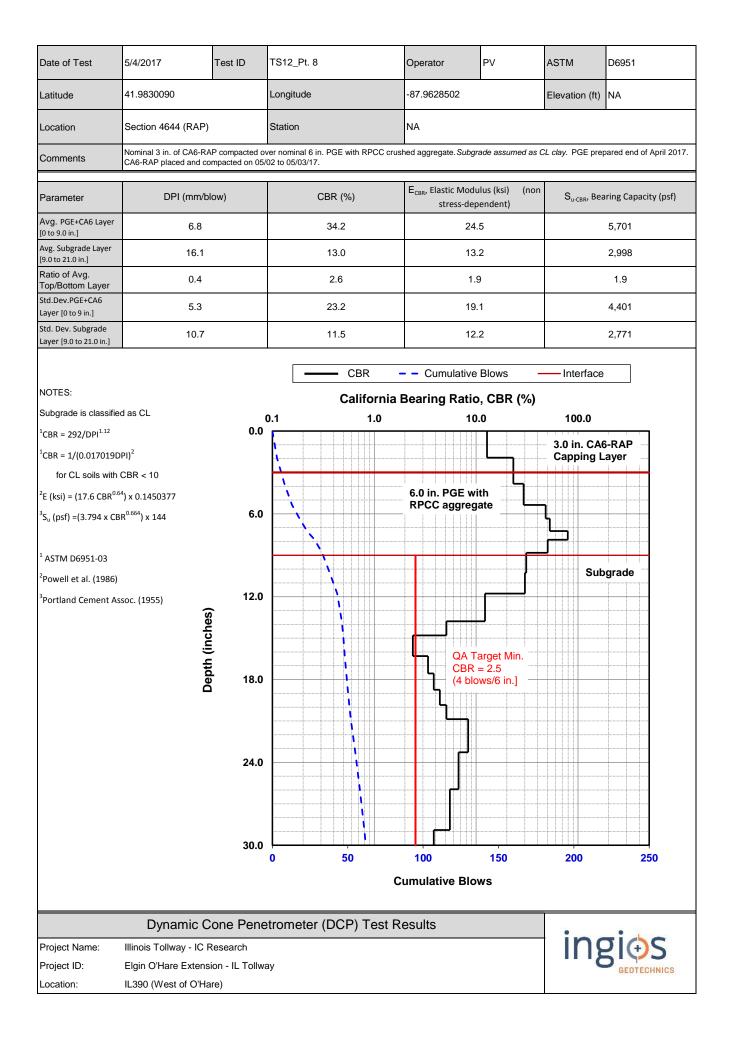


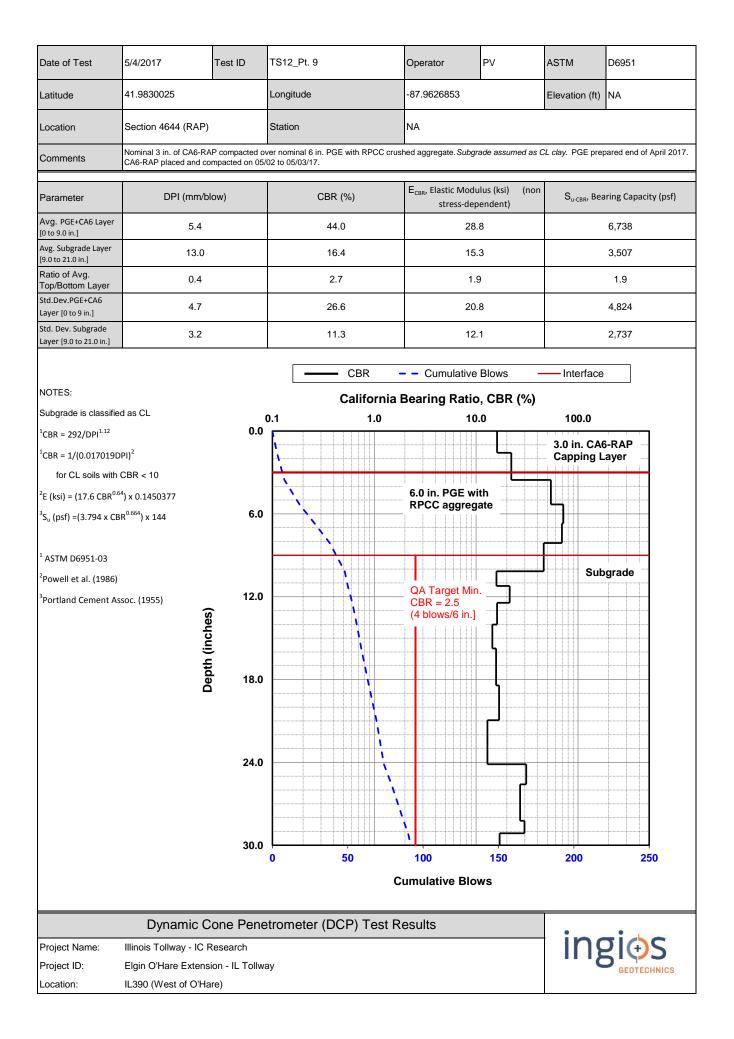


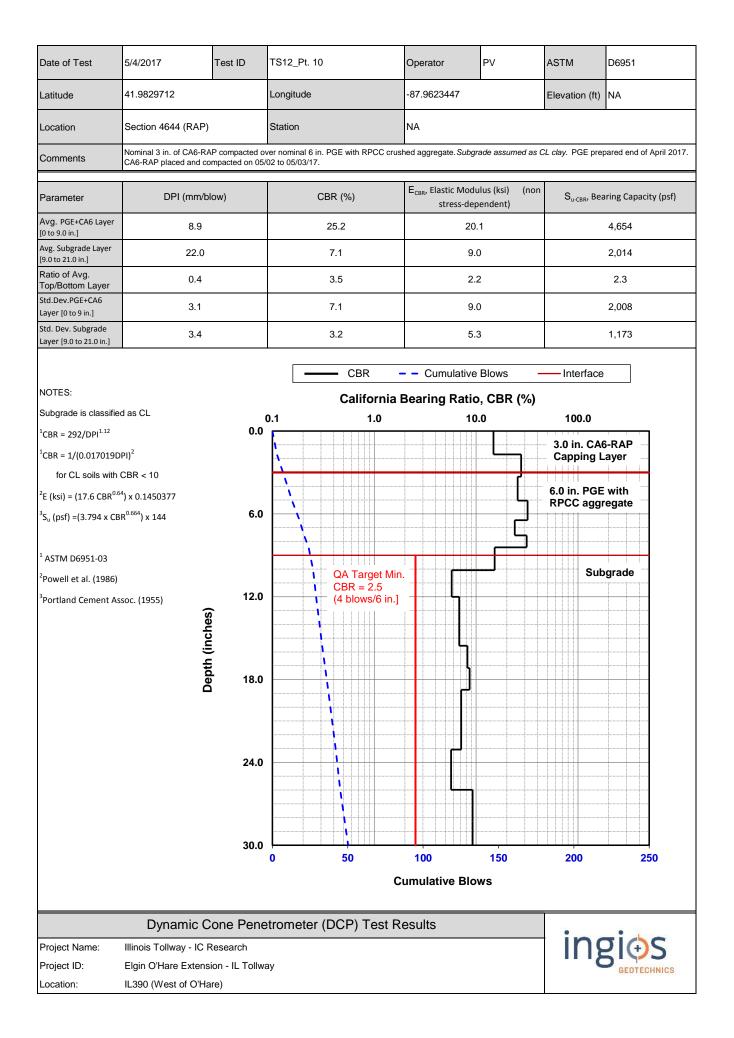


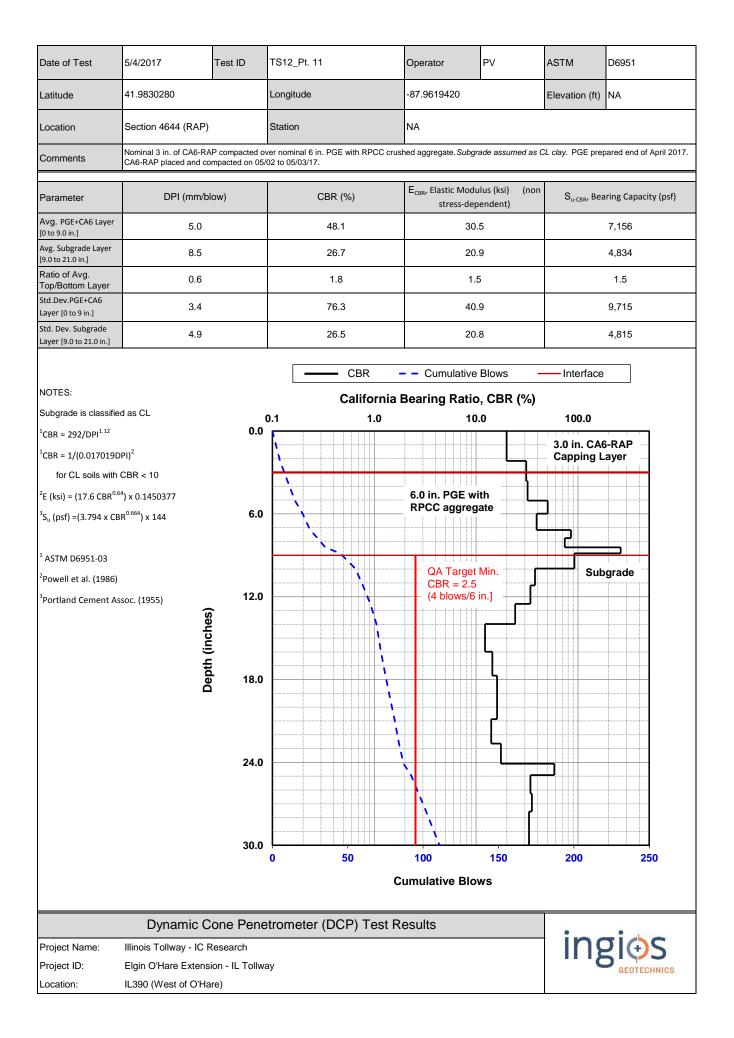


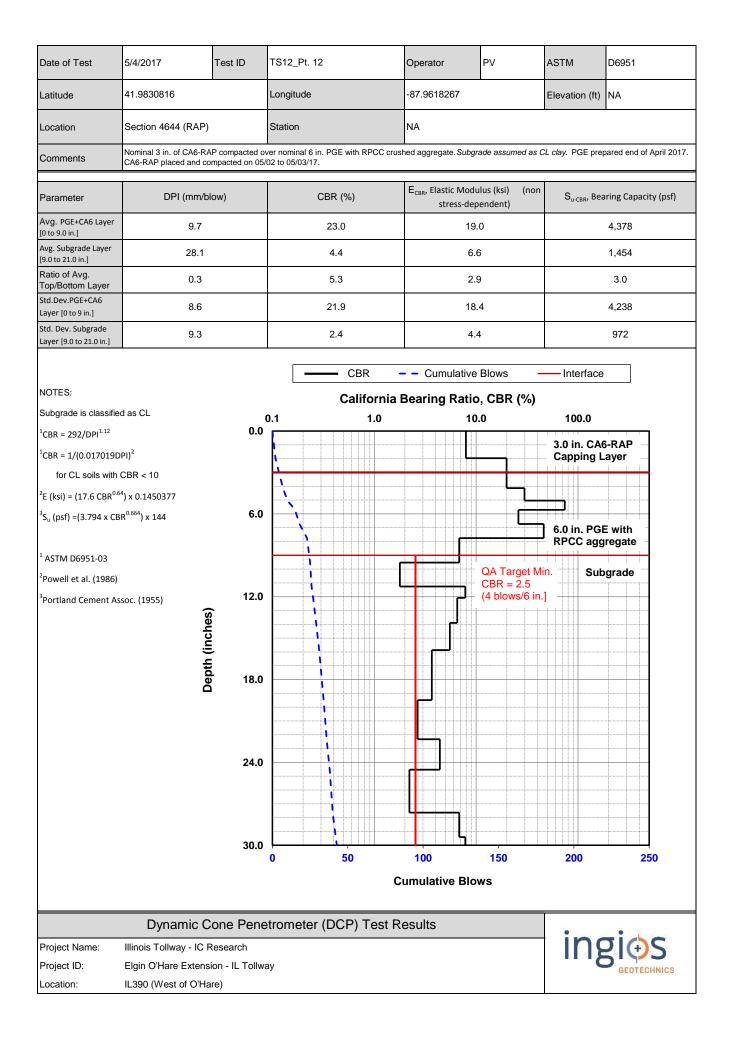


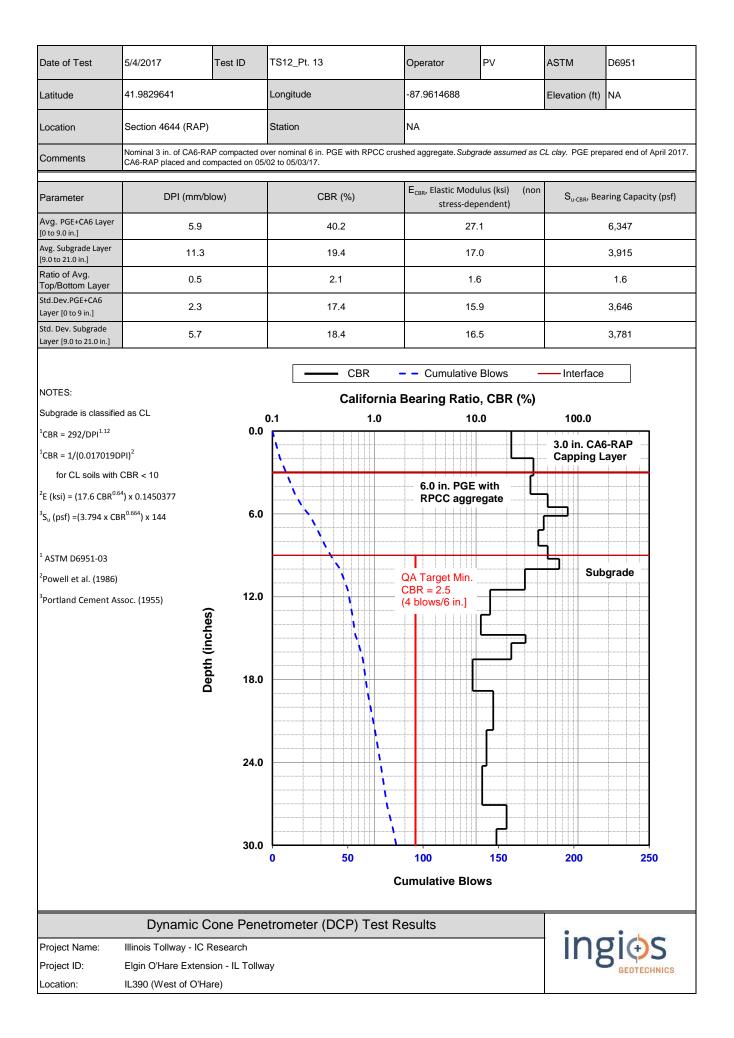


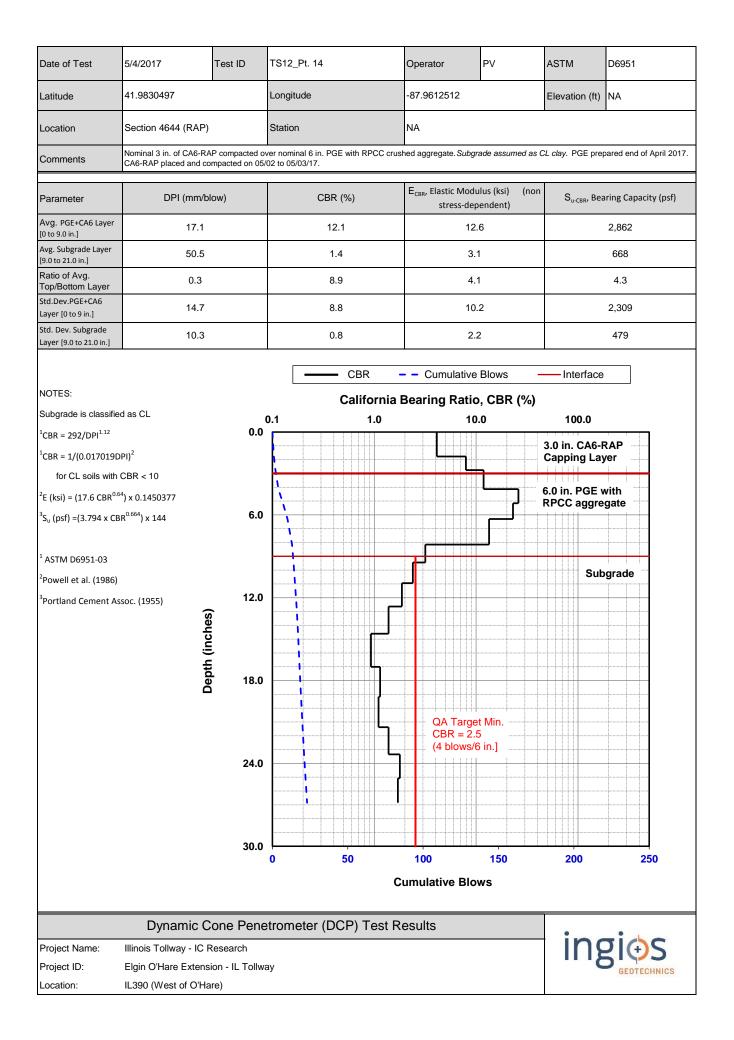


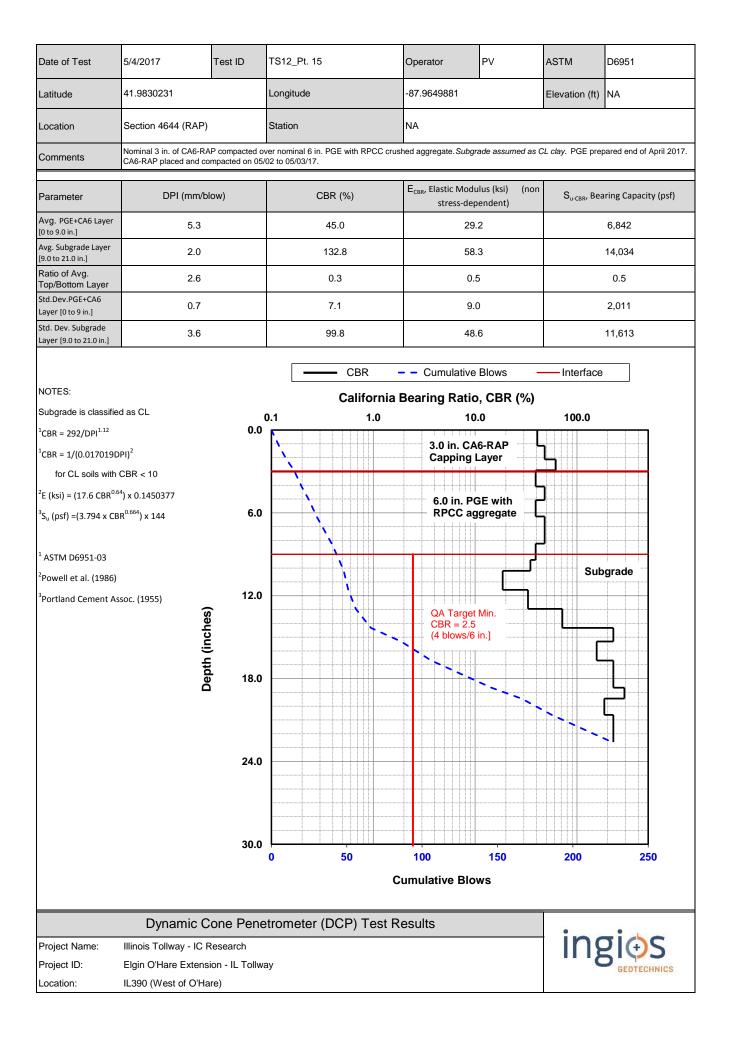


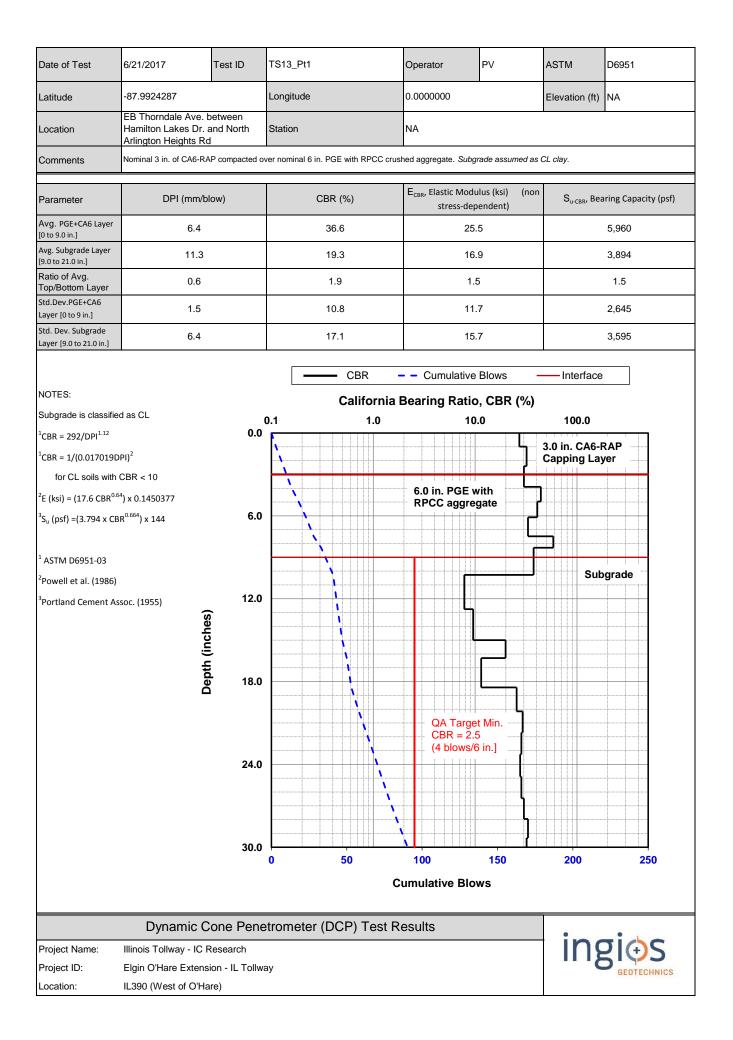


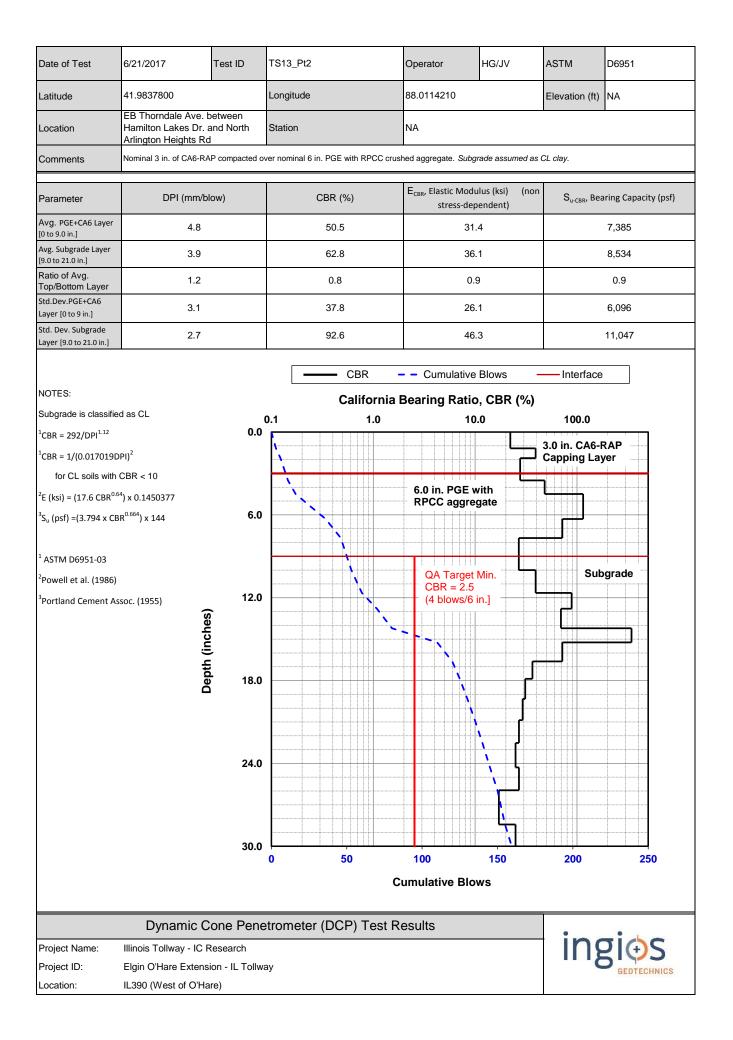


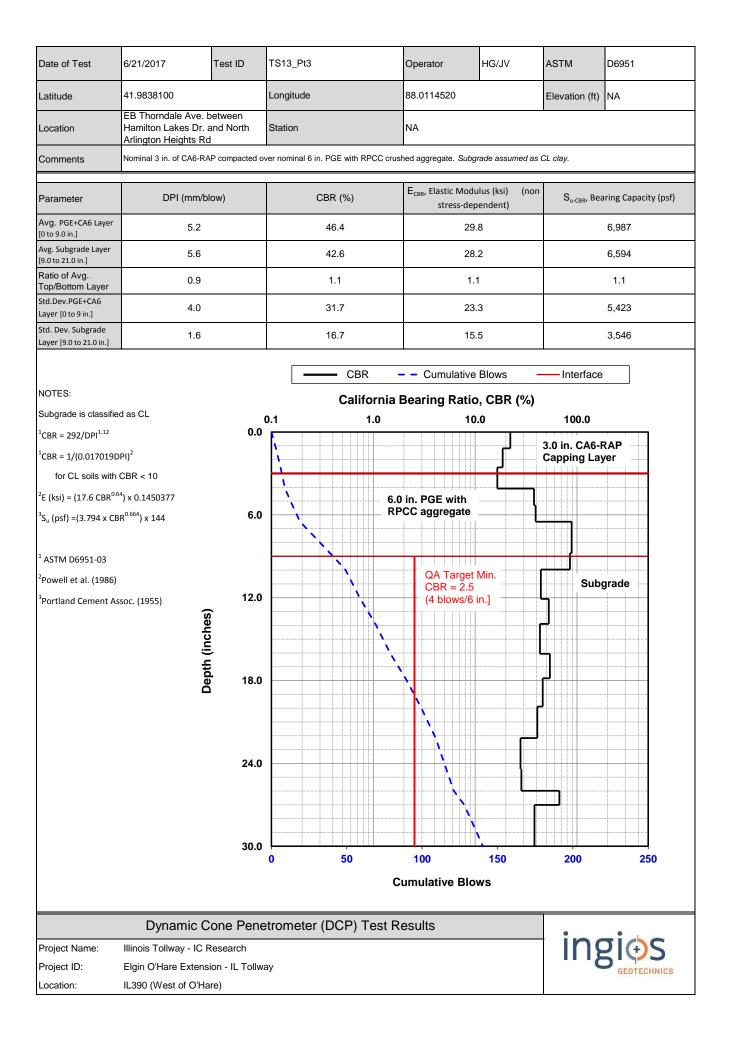


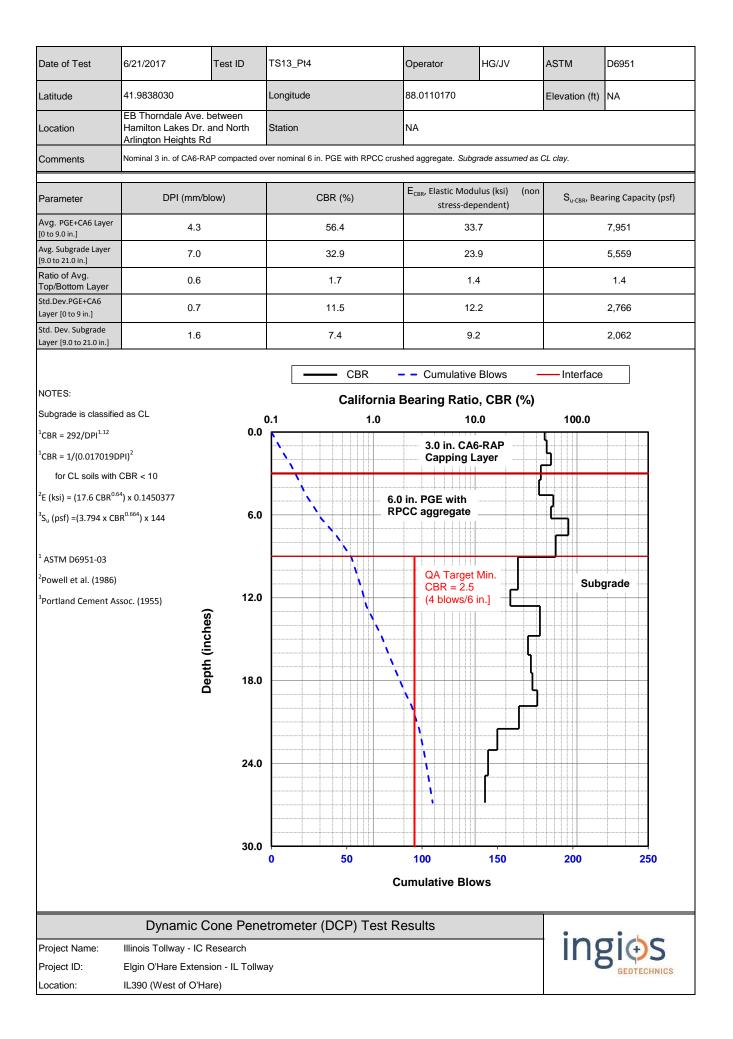


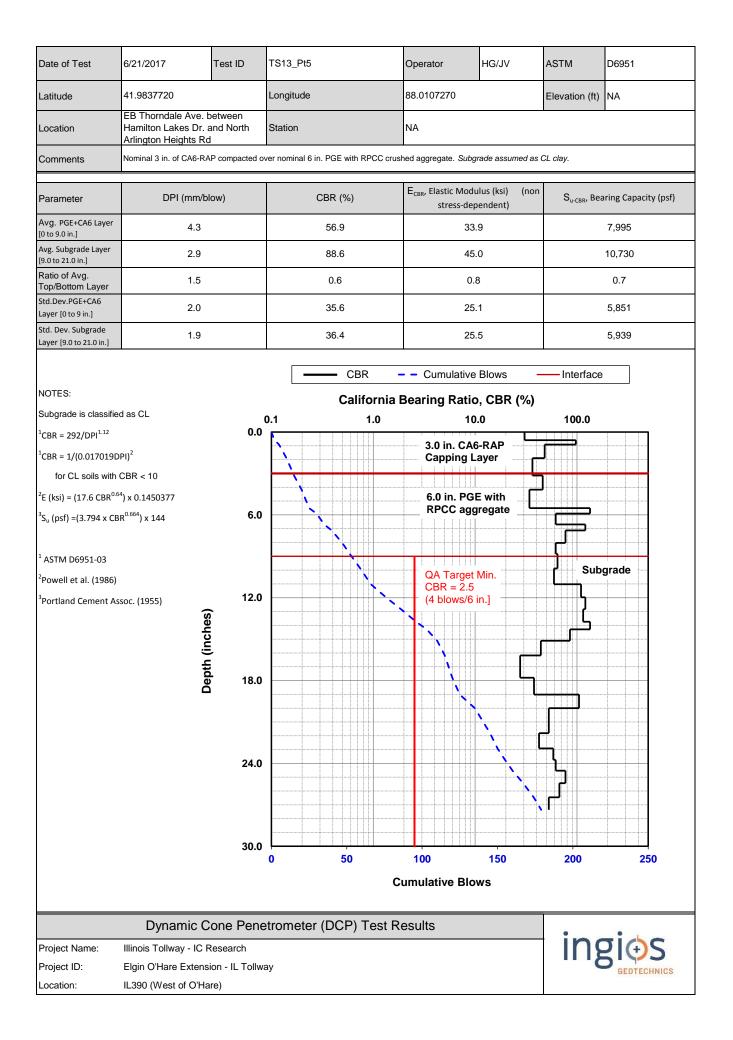


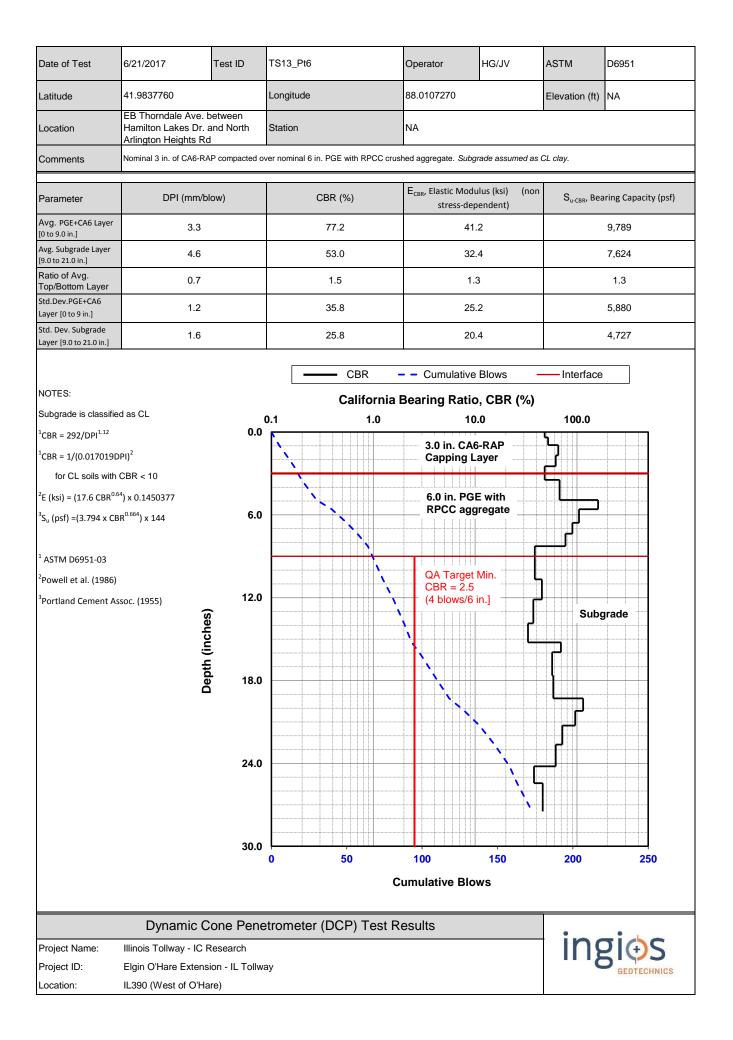


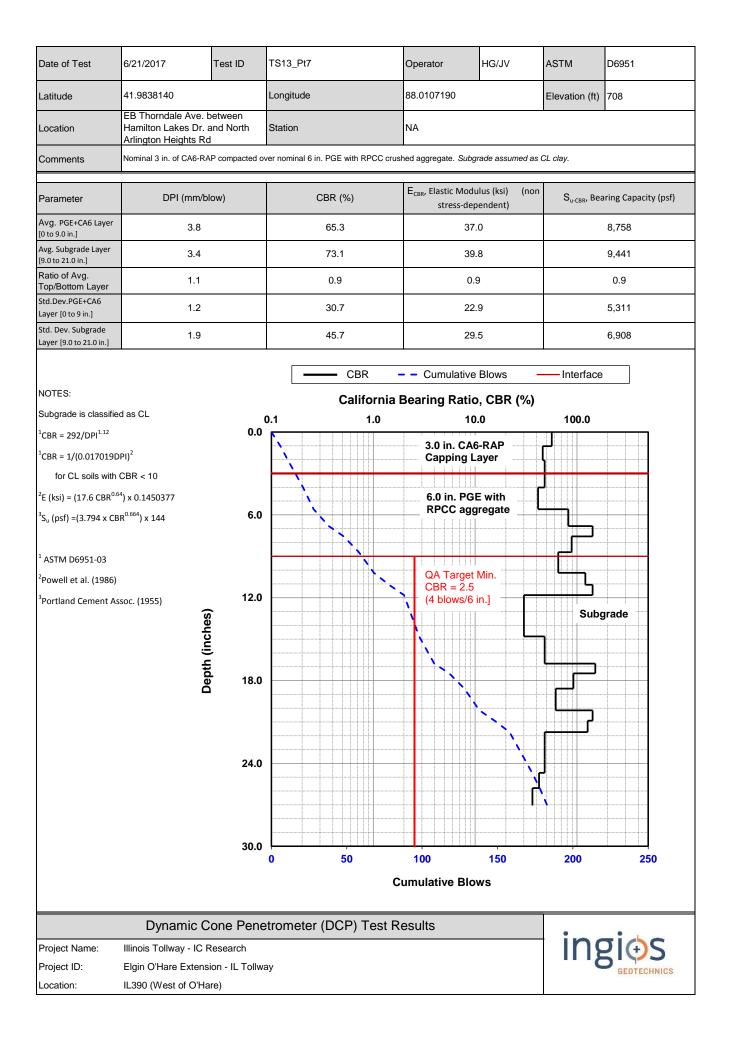


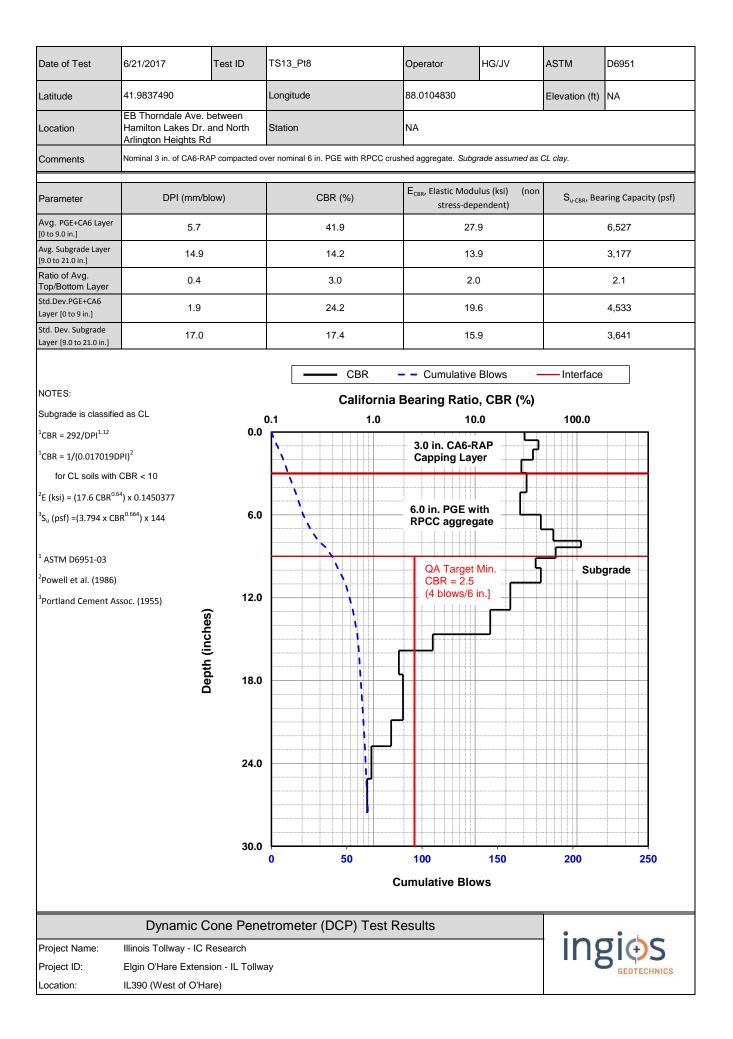


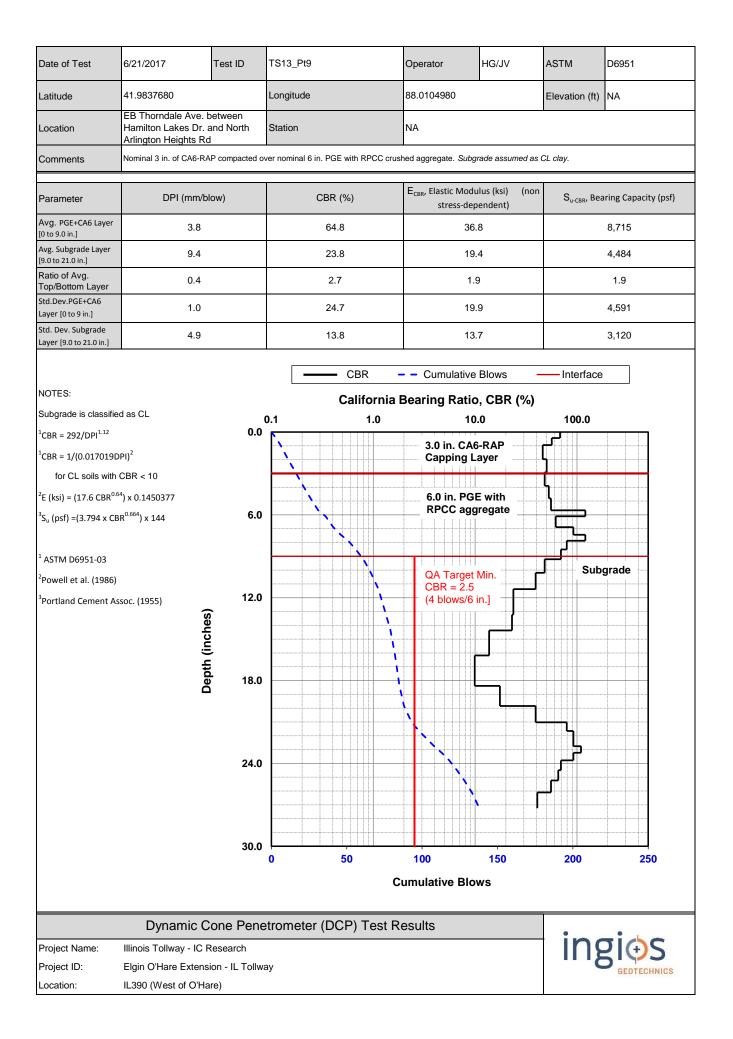


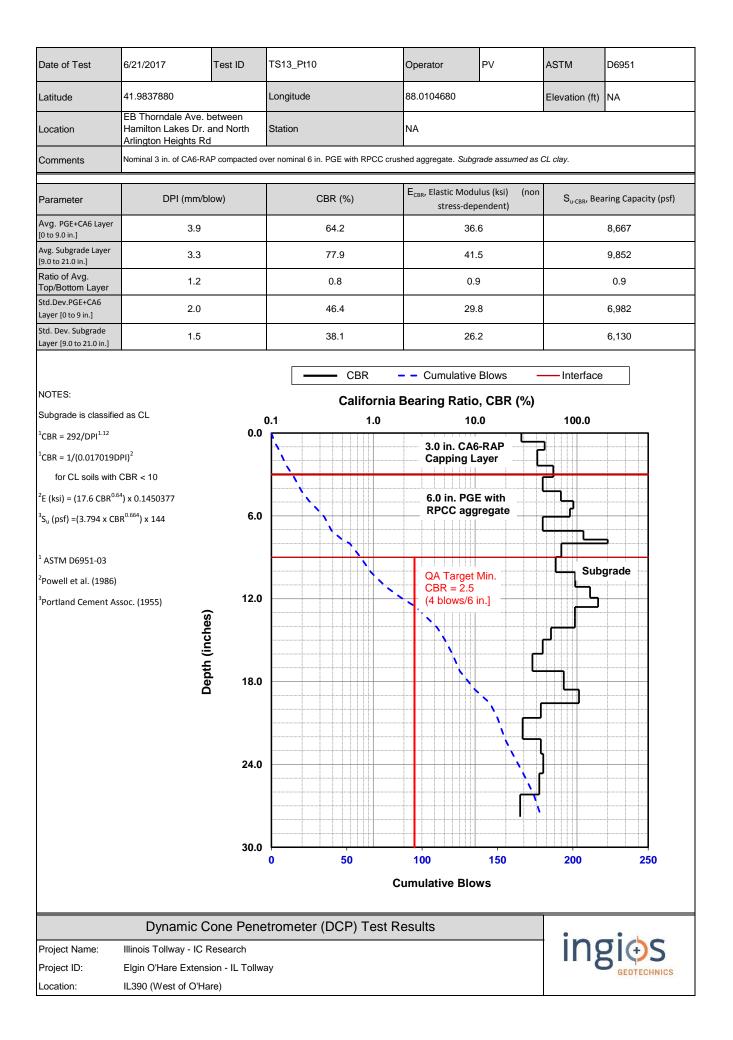


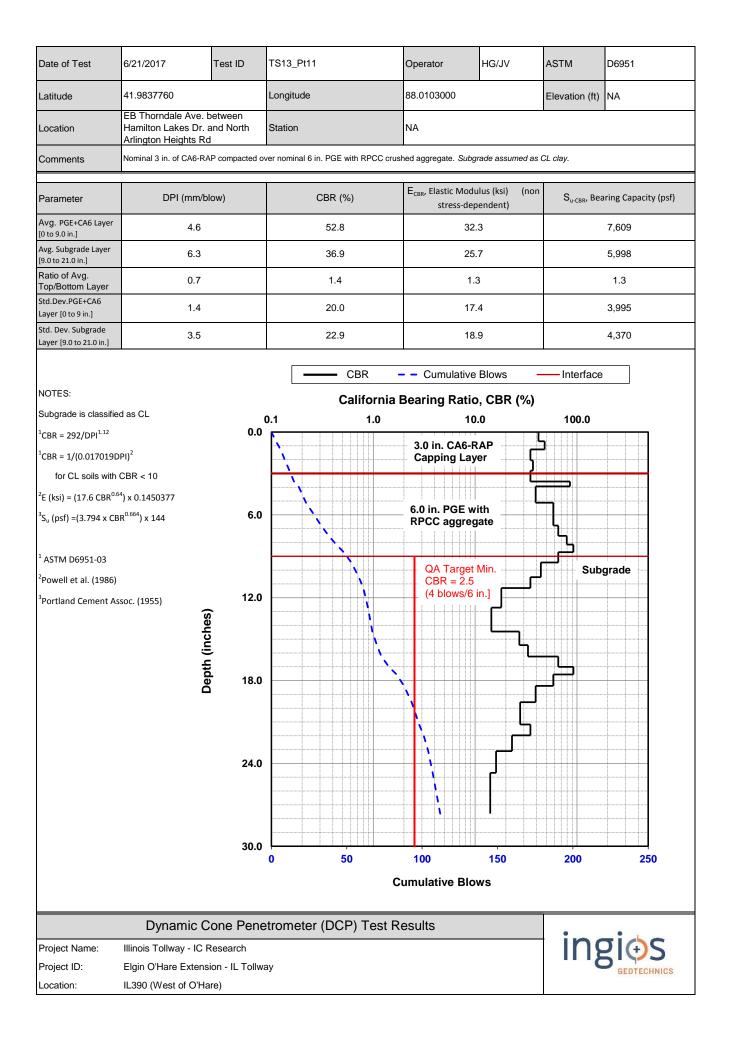


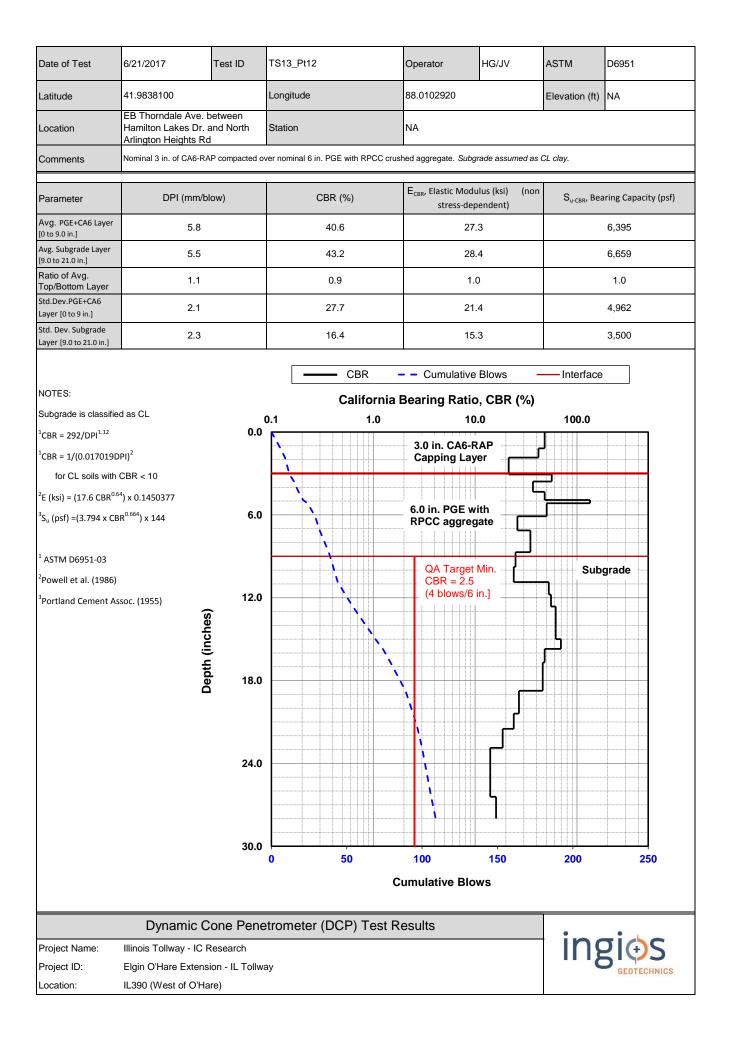


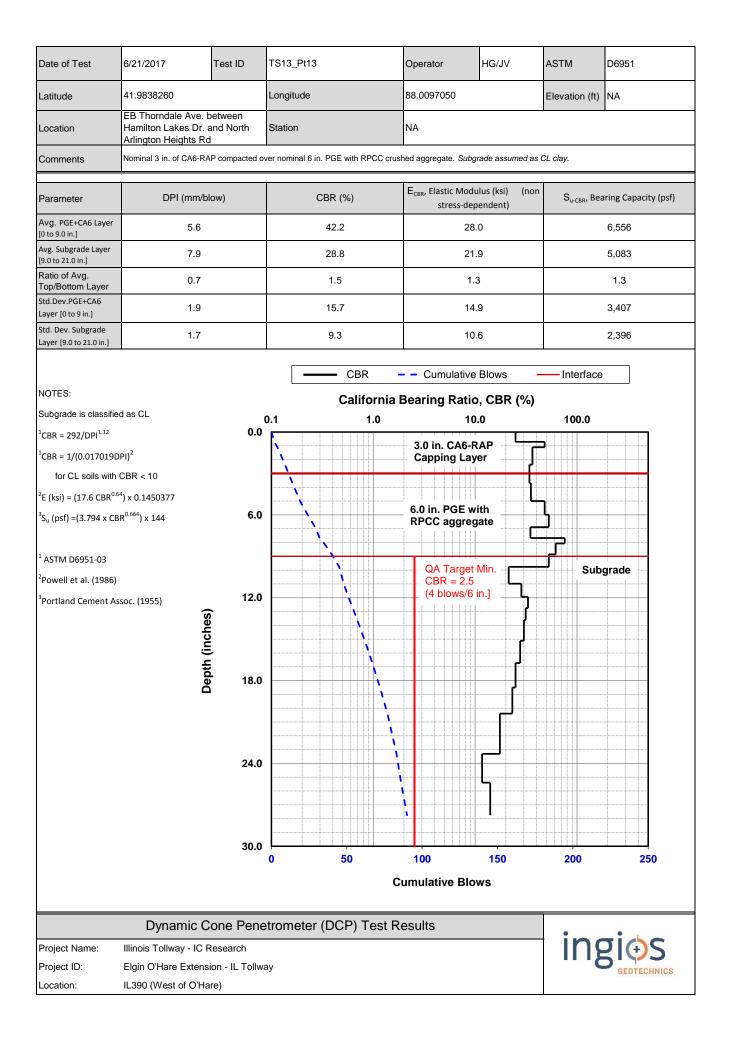


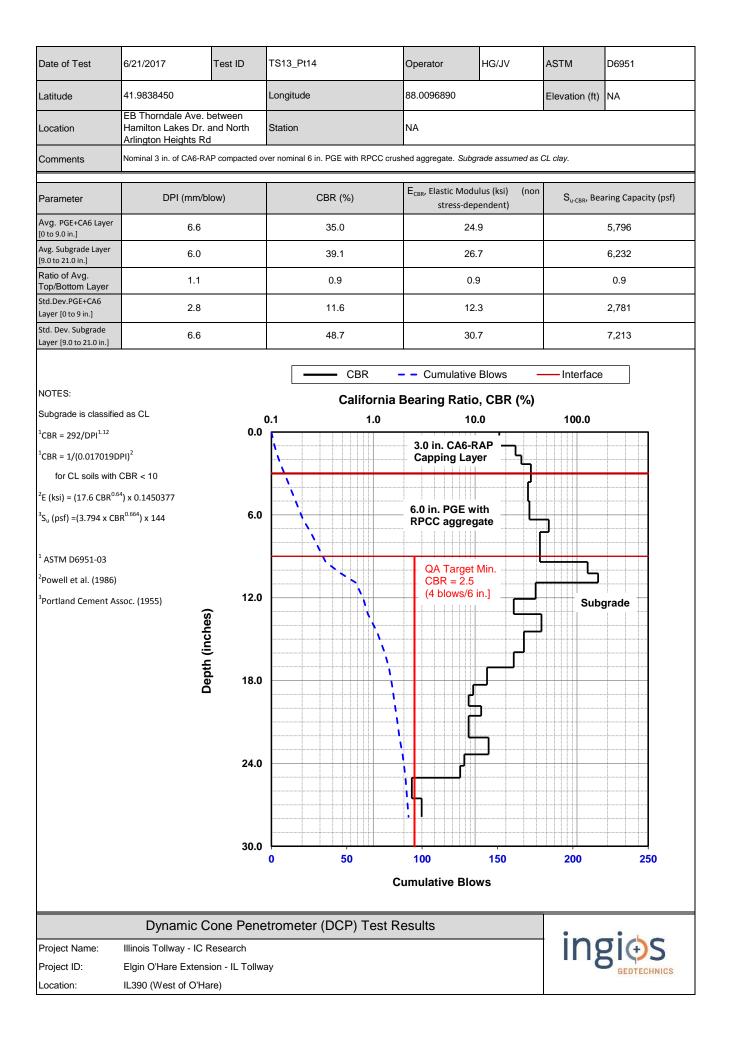


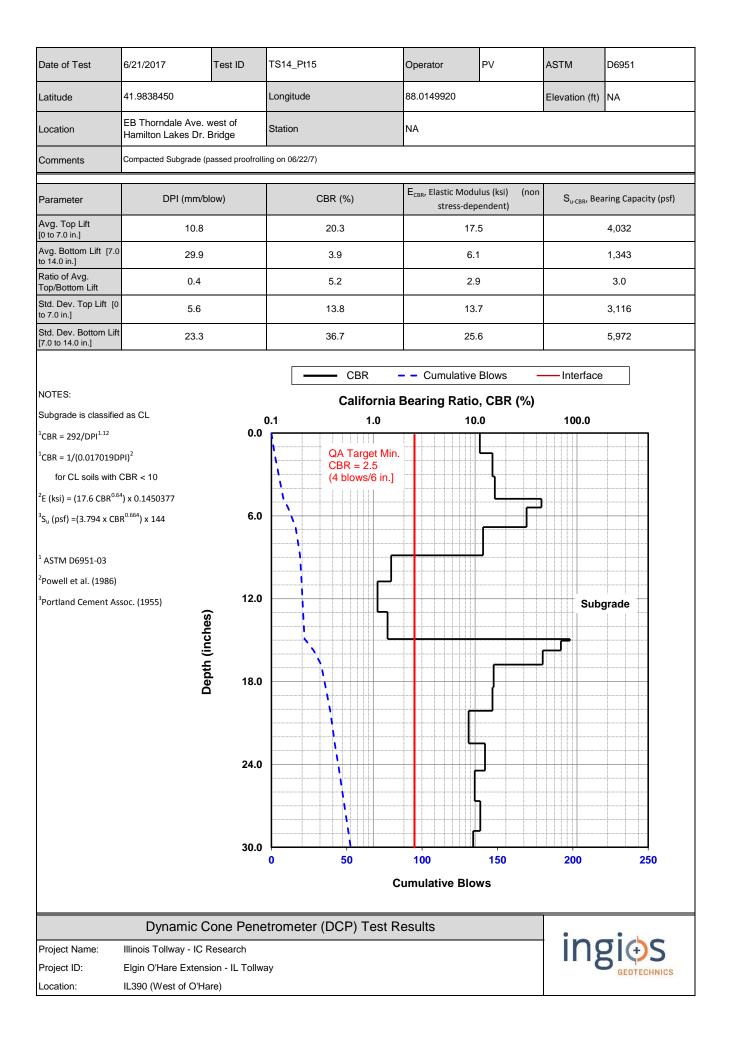


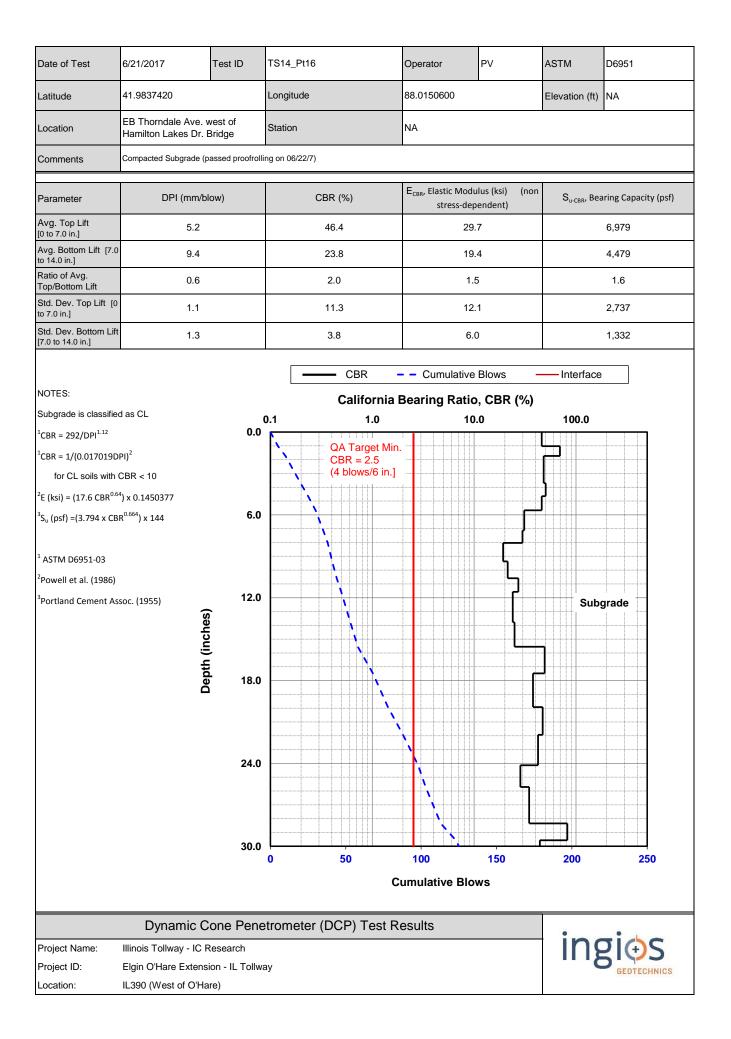


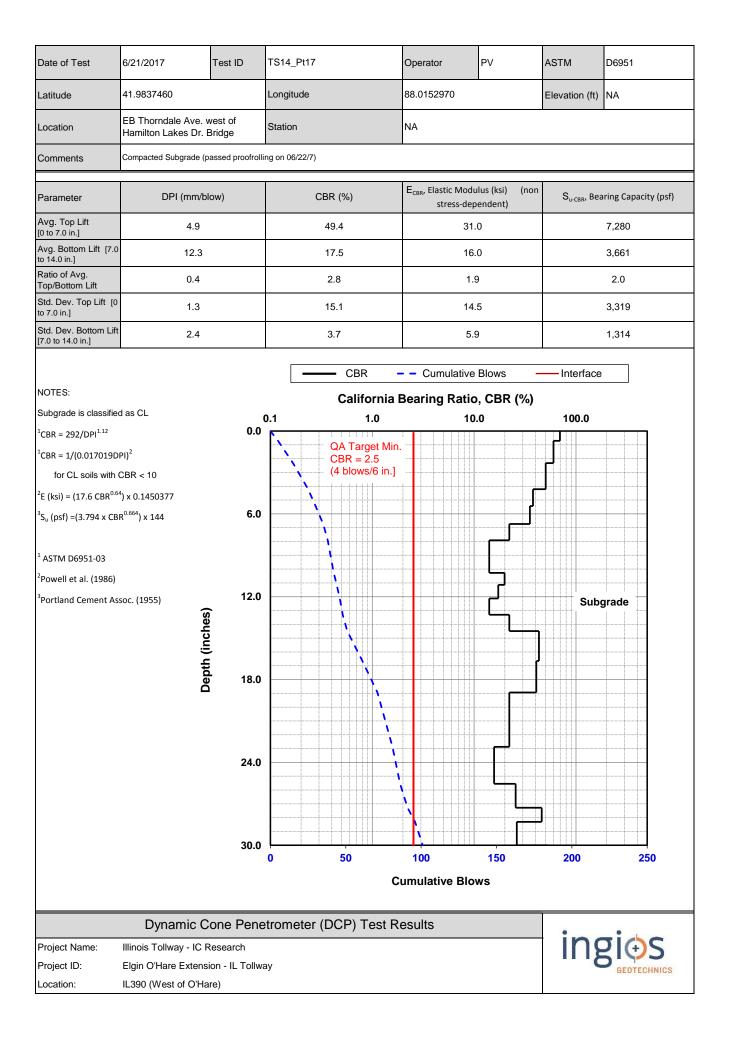


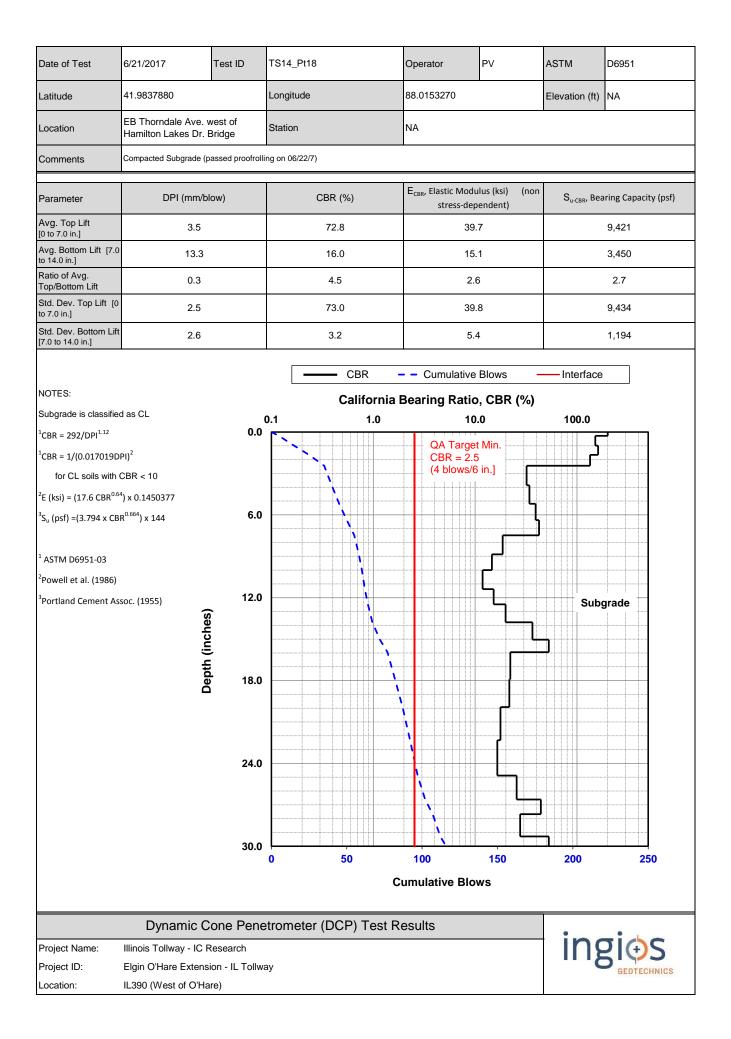


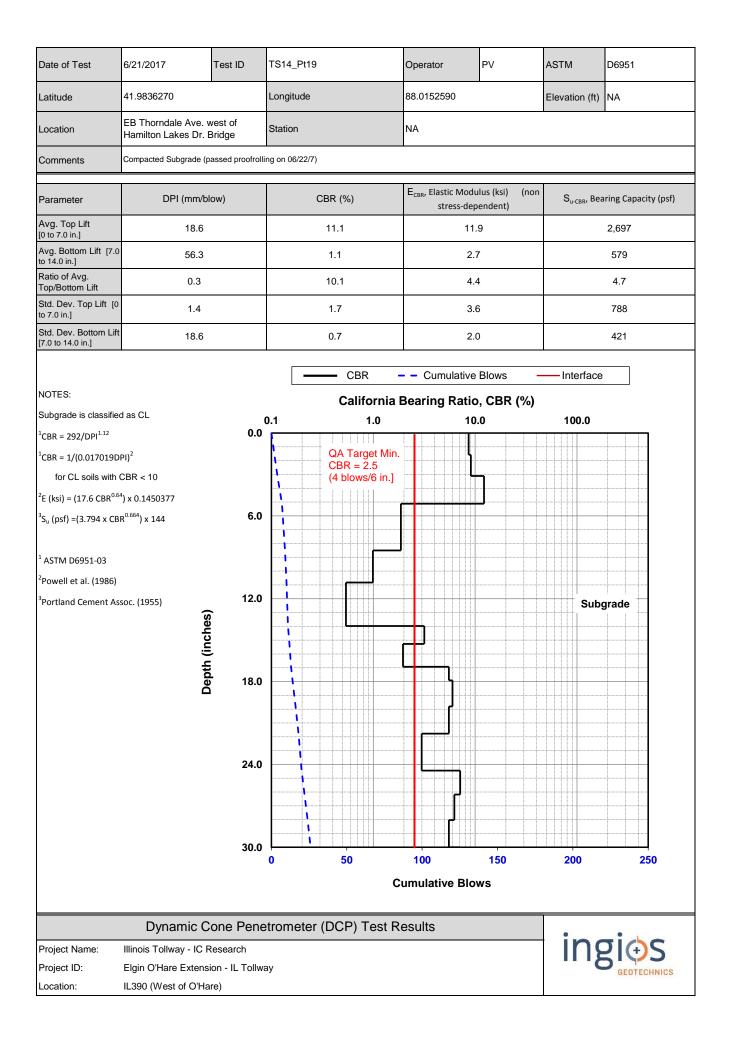


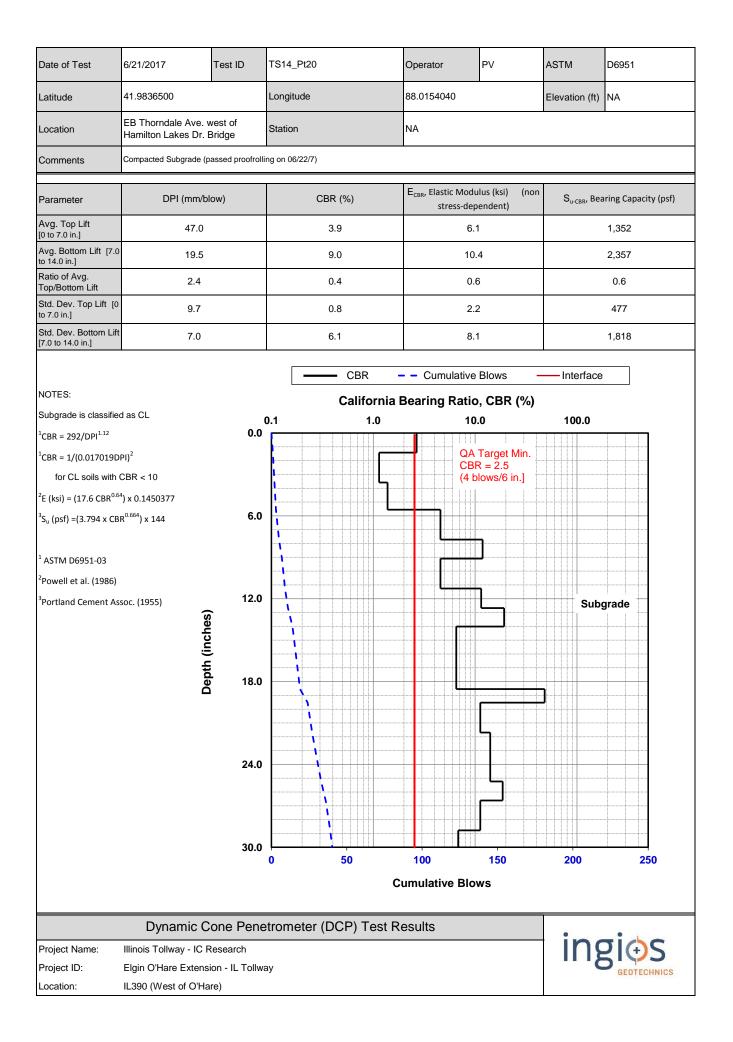












APPENDIX D: GUIDE SPECIFICATION

SECTION XXX

TOLLWAY SPECIAL PROVISION [Draft_v2]

INTELLIGENT COMPACTION STIFFNESS MAPPING FOR EMBANKMENT AND PAVEMENT FOUNDATION LAYERS

Xxx-1.0 DESCRIPTION

Provide Intelligent Compaction (IC) stiffness mapping on the surface of completed compaction layers (i.e., embankment, subgrade, base, and sub-base layers). Validate the IC roller result by demonstrating calibration to stiffness of soil and aggregate layers. Submit data acquisition records, completed IC mapping reports, and calibration results. The measurements obtained using the IC roller will not be used by the Engineer for acceptance of the compaction layers.

Xxx-2.0 MATERIALS

None

Xxx-3.0 GENERAL

DEFINITIONS

<u>IC Roller</u>: The intelligent Compaction (IC) roller is defined as a self-propelled smooth drum roller that is capable of measuring and recording in-situ stiffness of the compaction layers along with roller position (RTK-GNSS) and a time stamp.

<u>Mapping Area</u>: The test area is typically a portion of the project covered by one sublot of compaction materials, limited to a single lift. It may also be a test strip with dimensions stated in the specifications.

<u>IC Stiffness Mapping:</u> The mapping of a test area with IC rollers that have been calibrated with the stiffness units for each material type mapped. Such mapping is typically performed with a final full coverage pass of the test area by the IC roller after the required compaction has been achieved.

<u>System Calibration</u>: The Contractor shall have the IC system certified and independently calibrated, upon selected materials, by the Contractor's IC technology professional or independent experienced professional. The Contractor shall have the IC results independently calibrated to read out in stiffness values. Certified calibration test results comparing predicted and measured IC stiffness measured values should demonstrate a coefficient of determination (R^2) \geq 0.85. The Contractor's technology provider shall provide training for Contractor and Tollway project staff on use of IC data and mapping reports.

<u>Real-Time Display, Reports:</u> The IC results must be displayed to the roller operator on a color-coded computer screen in real-time and the data must be saved on board for viewing. Results shall also be available for viewing remotely during the rolling operations. IC stiffness mapping reports shall be prepared and submitted by the Contractor or Contractor's IC technology provider.

<u>HAPS:</u> The IC roller will be equipped with a High Accuracy Positioning System (HAPS), typically Real Time Kinematic Global Navigation Satellite System (RTK-GNSS) or Robotic Total Station (RTS), to document the rollers position.

IC stiffness mapping will be required on the surface of all completed compaction layers. Acceptance of the IC results by the Engineer will be based on submitting the data and calibrated mapping report for each layer.

The contractor must submit to the Engineer an IC Stiffness Mapping Work Plan at least two weeks prior to the Preconstruction Meeting. The work plan shall include the following:

- 1. IC roller make, model, dimensions, weight, and operating parameters (i.e., speed, vibration frequency and amplitude setting(s)).
- 2. Description of IC measurement system and evidence of previous field calibration results with insitu stiffness values and a description of the test methods.\
- 3. Description of IC roller calibration protocols (i.e., description testing procedure(s), number of test locations, and frequency of calibrations).
- 4. Credentials of the Contractor's IC technology provider.
- 5. IC stiffness mapping report format, submittal process and timing, and responsible personnel. Provide an example of an IC stiffness mapping report that shows geospatially located and colorcoded stiffness values.
- 6. A contingency plan in case of equipment breakdown or IC system malfunctions during the project.
- 7. Procedure for transferring IC data to the Engineer and provision for real-time monitoring during IC operations.

Xxx-4.0 EQUIPMENT

1. IC Roller

The IC roller shall meet the following minimum requirements:

- a. Machine Type: Self-propelled smooth drum vibratory roller.
- b. Weight: Operating weight of at least 22,000 lbs.
- c. Drum Width: 7 feet.
- d. Vibration Settings: Amplitude range of 0.029" to 0.075" and frequency range of 30 to 40 Hz.
- e. IC system: Integrated or retrofitted (with a computer screen in the roller cab for realtime viewing of geo-referenced spatial color-coded maps and data storage).
- f. HAPS: HAPS mounted on the roller to report data at the drum center. The HAPS Unit shall receive corrections from a local base station to report RTK-GNSS measurements of northing, easing, and elevation.

2. High Accuracy Positioning System

The Contractor shall provide the High Accuracy Positioning System (HAPS) that meets the following requirements. The goal of the HAPS requirements is to achieve accurate and consistent HAPS measurements among all HAPS devices on the same project. Conversions of HAPS data need to be minimized to avoid errors introduced during the process.

Real Time Kinematic Global Navigation Satellite System (RTK-GNSS) -or-

Robotic Total Station (RTS)

- a. GNSS Base Station Local or virtual GNSS base receiver that acquires satellite signals from the GNSS and GLONASS constellations. The GNSS base station shall broadcast updated correction data to the GNSS receivers on the IC rollers during operations.
- b. RTS A robotic total station set up over a control point determines the position of the targets mounted on the IC Roller(s) and Rover.
- c. Rover A hand-held GNSS receiver or active RTS target on a survey rod with controller shall be provided and operated by the contractor, for in-situ point measurements in conjunction with the IC roller, at the direction of the Engineer.
- d. GNSS or RTS systems will use the local coordinates of the project control, as established by the surveyor. Accuracy must be verified to within 1 ft between the IC rollers and rovers.
- e. The data from the IC roller shall be displayed to the roller operator on a color-coded computer screen in "real time" during the roller operation and the data shall be saved for transferring and viewing by the Engineer. The color coding will be based on calibration to stiffness values. Target stiffness values will be provided from the Engineer.

Xxx-5.0 OPERATIONS

1. IC Stiffness Mapping

The IC Stiffness mapping shall be performed on all compaction layers, and prior to placing of new fill layer. Mapping must be performed in such a way that it covers the full extent of the compaction work area. Overlapping between adjacent roller lanes shall be limited to 10% or less. Keep roller speed and vibration settings (frequency and amplitude) constant during roller operations and within range of what was used during calibration. Permitted variation in vibration frequency is +/-2Hz and permitted variation in roller speed is +/- 0.5 mph. Record IC stiffness mapping results in the forward direction only unless the roller is calibrated for mapping in reverse direction.

Check, verify and expand the field calibrated results for the IC equipment to ensure proper performance. If the IC results fall outside the limits set initial field calibration, additional tests shall be performed to further expand the calibration. Operate the machine according to the IC technology provider and roller manufacturer's recommendations to provide reliable and repeatable measurements. A minimum of 12 test points will be required to establish the IC stiffness calibration, and certified calibration test results comparing predicted and measured IC stiffness values should demonstrate a coefficient of determination (R^2) \geq 0.85.

2. Equipment Breakdowns

In the event of equipment breakdowns/IC system malfunctions/GNSS problems, the Contractor shall have a contingency plan to acquire the equipment or unit necessary in 3 days, but it is intended that IC stiffness mapping data shall be collected and provided for a minimum 80% of all compaction layers.

Xxx-6.0 IC MEASUREMENTS, OUTPUT, AND REPORTING

1. IC Measurements

The reported IC measurements shall be in situ design stiffness value(s) (modulus of subgrade reaction, elastic modulus, or resilient modulus as provided from the Engineer) that are calibrated using independent in situ testing. Calibration shall be performed over the full range of ground stiffness conditions anticipated on the project site. Calibration work shall be performed by the IC technology professional or independent experienced professional.

2. Data Collection, Export, and Onboard Display

Electronic copies of the following shall be provided to the engineer:

a. Calibration report(s) that summarizes:

- i. Dates of testing,
- ii. Names of field personnel conducting tests,
- iii. Description of tests,
- iv. Plots of test results,
- v. Calibration test results comparing IC measurements and stiffness values and a record of certification.

b. IC stiffness mapping reports that summarizes:

- i. Dates of testing,
- ii. Names of field personnel conducting roller operations and in situ verification tests,
- iii. Description of verification tests, if any,
- iv. Geo-referenced spatial color-coded maps of in situ stiffness values covering the entire mapping area overlaid on a recent aerial photo of the project.
- c. IC data shall be exported from the vendor's software in mapping data files. Contractor to provide a laptop to the Department with applicable software installed for their sole use during the project to evaluate data and observe real-time results.
 - i. Minimum Computer Specifications:
 - ii. Processor Intel i5 (or equivalent) Dual Core
 - iii. Graphics Card AMD Radeon R7 M360 (or equivalent)
 - iv. Memory 12GB RAM
 - v. Hard Drive 128GB SSD

Xxx-7.0 PRE-PRODUCTION TEST SECTION(S)

HAPS Correlation and Verification. Prior to the start of mapping, the Contractor, HAPS representative and/or IC roller technology provider shall conduct the following to check the proper setup of the HAPS and IC roller(s) using the same datum:

- 1. On a location, nearby or within the project limits, as approved by the Engineer, the HAPS to be used on the project shall be set up and calibrated. Verification that the roller positioning system is working properly and that there is communication with all HAPS.
- 2. The coordinates of the roller from the on-board display shall be recorded.
- 3. The coordinates for both sides of the front drum shall be measured with a rover, and recorded.
- 4. The roller and rover coordinates shall be compared to confirm horizontal accuracy of no more than 1 ft. Work shall not begin until proper verification has been obtained.

5. Accuracy verification testing shall be conducted as requested by the Engineer during production operations.

Xxx-8.0 PERSONNEL

The Contractor shall coordinate for on-site technical assistance from the IC technology provider during the initial three (3) days of production and then as needed during the remaining operations. As a minimum, the roller representative shall be present during the initial setup and verification testing of the IC roller(s). The roller representative shall also assist the Contractor and the Engineer with data management using the data analysis software including IC data input and processing.

Xxx-9.0 TRAINING

The Contractor shall coordinate for on-site training for Contractor's and Tollway project personnel related to operation of the IC technology. Contractor's personnel shall include the IC Field manager or IC Program Administrator, IC technician(s), and roller operator(s). Tollway personnel shall include the Project Engineer and field inspector(s). Tollway will provide a location for the training. Training shall be at least 4 hours duration.

Topics shall include the following as a minimum;

- 1. Background information for the specific IC system(s) to be used.
- 2. Setup and checks for IC system(s), GNSS or RTS equipment operation. Operation of the IC systems on the roller, i.e. setup data collection, start/stop of data recording, and on-board display options.
- 3. Operation of analysis software to review IC coverage maps, compare point test data, perform statistics analysis, and produce reports for project requirements.
- 4. Coverage and uniformity requirements.

Xxx-10.0 ACCEPTANCE OF WORK

IC Roller Data: The procedure for obtaining the IC roller data shall be established between the contractor and the Engineer prior to the pre-construction meeting. The frequency of obtaining the data from each roller shall be a minimum of once each day of compaction or at the completion of each lift in each construction area, whichever is greater. The data is to be date/time stamped, to allow review later, and an electronic compaction report showing the color-coded mapping results from each roller is to be provided to the Engineer.

Xxx-11.0 METHOD OF MEASUREMENT

Progress payments will be made based on a schedule of values approved by the Engineer up to 80% of the bid amount. The remaining 20% of the bid amount will be paid after all submittals required are: submitted, in compliance with this special provision, and accepted by the Engineer.

Xxx-12.0 BASIS OF PAYMENT

- 1. Payment for Intelligent Compaction stiffness mapping will be the lump sum contract price.
- 2. Payment is full compensation for all work associated with providing IC equipment, training, reports, and in situ calibration testing.

Payment will be made under:

Pay Item	Pay Unit
Intelligent Compaction (IC) Stiffness Mapping	Lump Sum