

A photograph of two construction workers in safety gear (hard hats, high-visibility vests) working on a large concrete structure. One worker is on the left, reaching up to adjust a chain. The other is on the right, standing and observing. The background shows a stone wall and a concrete barrier with the number '1043+85' written on it. A large white arrow graphic points from the left towards the right, partially overlapping the text.

BUILDING FOR SUCCESS

Illinois Department of Transportation
DBE Certification

Presented by DBE Certification Unit Manager Lincoln E. Blackwell, Illinois Department of Transportation Bureau of Small Business Enterprises

Presented on October 30, 2023



Please remain muted
for the duration of
the webinar

Questions can be
submitted via the
chat box and will be
answered during the
Q&A portion



HOUSEKEEPING RULES

WELCOME AND INTRODUCTIONS

Illinois Department of Transportation DBE Certification

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Blackwell, Illinois Department of Transportation Bureau of
Small Business Enterprises



Illinois Department
of Transportation

Office of Business
and Workforce
Diversity



Disadvantaged Business Enterprises (DBE) Certification

A Road to Opportunity

DBE Program

In accordance with 49 CFR Part 26
the Illinois Department of Transportation
Office of Business and Workforce Diversity
administers the DBE program

The DBE regulations mandate that minority and women owned businesses be afforded the opportunity to participate in IDOT federally-assisted highway, transit and airport contracts.

Authority: Transportation Equity Act for the 21st Century (TEA-21), Sec. 1101(b), Pub. L. 105-178.

49 CFR Part 26 contains the rules by which the DBE program is administered.

www.idot.Illinois.gov

DBE Certification

A firm has to meet federal requirements concerning:

- Presumptive group membership or individual disadvantage
- Business size
- Personal Net Worth
- Ownership
- Control



Presumed Disadvantaged Groups

Black Americans

Hispanic Americans

Native Americans

Asian-Pacific Americans

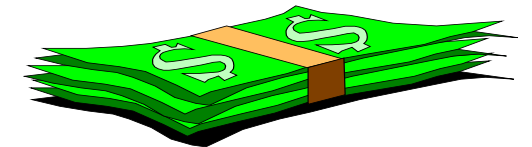
Subcontinent Asian Americans

Women

Other minorities found to be disadvantaged by the SBA

Personal Net Worth & Business Size

- The DBE program imposes a personal net worth (PNW) cap of **\$1.32 million**. Regardless of race, gender or the size of the firm, any individual whose PNW exceeds the cap is not eligible to participate in the DBE program.
- Each firm owner whose status is being relied upon for DBE certification must submit a USDOT signed notarized PNW statement.
- NAICS codes (industry size caps), are set by the **Small Business Administration (SBA)**. Business gross receipts are averaged over the preceding 5-years. If a firm's gross receipts exceeds any NAICS code, they are not eligible to perform any work covered under that code for DBE goal credit.
- The DBE program cap is **\$30.4 million**, any firm whose gross receipts, averaged over the preceding 3-years, exceeds the cap, is not eligible to participate in the DBE program



Certification Submittal & Process

Submit:

- Application signed & notarized
- Statement of Personal Net Worth, signed & notarized. Personal tax returns for the past three years must be submitted for each individual firm owner applying to participate as a DBE whose ownership and control is relied upon for DBE certification
- All applicable documentation identified on the USDOT application supporting documents checklist

Process:

- Intake
- Application assigned to Certification Analyst.
(This is your contact throughout the process.)
- On-site interview
- Written report
- Administrative Review Panel decision

Certification

Certification review process averages (90) days from receipt of a complete application. Once certified your firm's name, address, work categories and district work preference will appear in the IL UCP directory located at **www.idot.Illinois.gov**

Continued Certification

Once certified, a firm must (annually) submit a **No Change Affidavit (NCA)** by their anniversary date.

- Will receive an NCA notification containing the affidavit **60-days** prior the anniversary date
- Will receive a reminder notification **30-days** later
- Failure to submit the NCA may result in the removal of DBE certification



CERTIFICATION CONTACTS

Certification Section:
217-782-5490

Unit Manager
Lincoln Blackwell

Analysts
Dwayne Curry
Michael Handley

Supportive Services Assistance

Certification information/assistance is available at the Bureau of Small Business Enterprises' Resource Centers in Districts 1 - 9.

IDOT's Supportive Service program offers certification assistance to any businesses seeking to apply for DBE certification.

Once a firm becomes certified, IDOT's Supportive Service program provides management, technical, technology, financial and bonding assistance, among others.



QUESTION AND ANSWER

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DIVERSITY AND STRATEGIC DEVELOPMENT

PROGRAMS

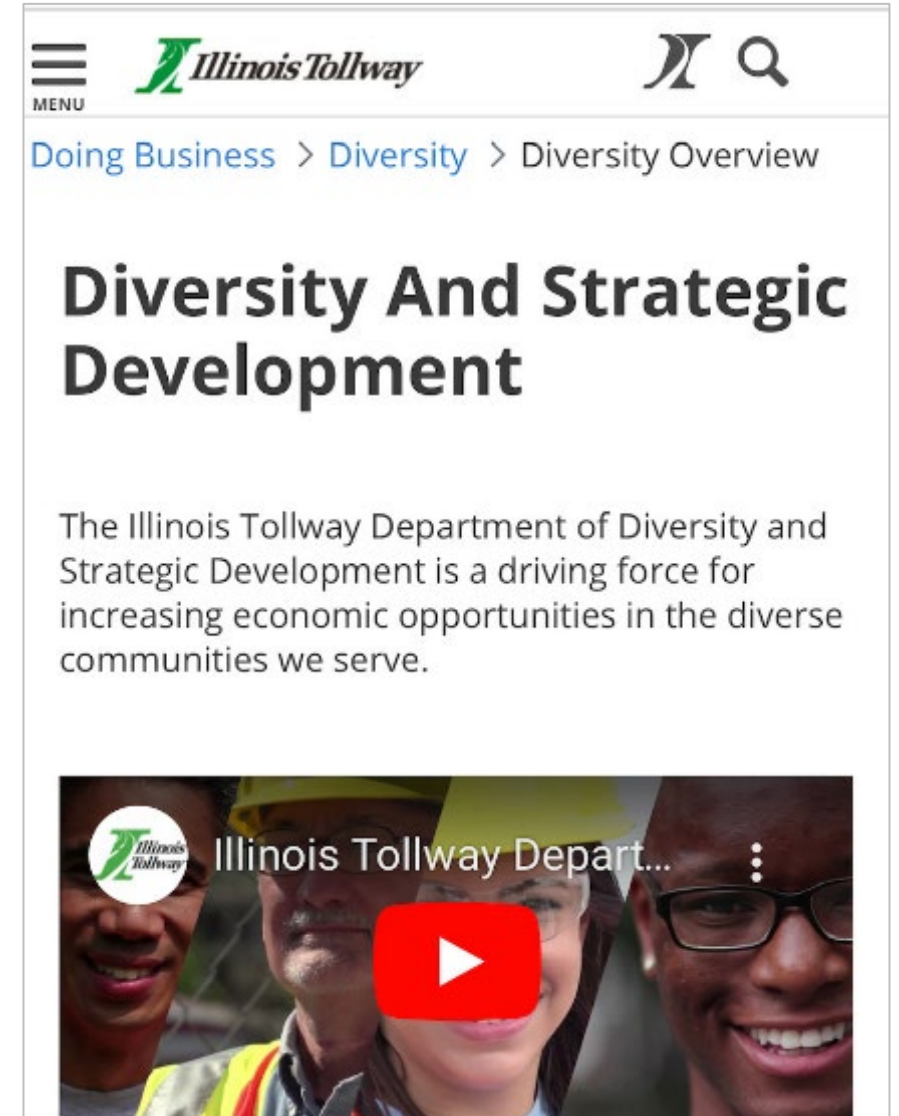
- Business assistance
- Mentoring and training
- Construction industry training and hiring opportunities

INITIATIVES

- Virtual outreach sessions
- Training webinars
- Contracting opportunity emails

REGISTER FOR TOLLWAY DIVERSITY ALERTS!

lperez@getipass.com



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SOCIAL MEDIA



THANK YOU

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