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Record of Closed Meeting | December 17, 2020

Pursuant to exceptions provided in Sections 2(c)8, 11, 21 and 29 of the Open Meetings Act (5 ILCS 120/2(c)5, 11, 21), on Thursday, December 17, 2020 at approximately 10:55 a.m., the Tollway Board of Directors met telephonically in Executive Session to discuss Tollway matters related to security procedures, pending or probable litigation, the minutes of closed meetings and internal audit controls.

[Bolded entries indicate issues which may require follow-up to present or report to Directors.]

Executive Session Attendance

Board Members Present:	Staff Present for all or portions of the Meeting:
Chairman Willard S. Evans, Jr.	Kathleen R. Pasulka-Brown (General Counsel)
Director James Connolly	José Alvarez (Executive Director)
Director Stephen Davis	Christi Regnery (Board Secretary)
Director Alice Gallagher	Cassandra Rouse (Chief Strategy Officer)
Director Karen McConnaughay	Shaun Farmer (Chief Internal Auditor)
Director Gary Perinar	
Director James Sweeney	

Directors discussed the Enterprise Risk Management Program

Approval of authorization to implement the Enterprise Risk Management Program (Chairman’s Item 6): Staff presented for consideration the Enterprise Risk Management Program, an organization-wide approach to monitor, identify, assess and manage issues and uncertainties that threaten fulfillment of our mission, programs and operations. The overview included the Enterprise Risk Manage (“ERM”) framework, risk identification, key areas of review



Minutes of the
Executive Session of the Board of Directors Meeting

and risk mitigation plans. Directors and staff discussed the use of outside consultants, the program’s timing and the formal structure of risk communication to Directors.

[Cassandra Rouse and Shawn Farmer departed the meeting at this time.]

Directors discussed the minutes of a closed session meeting

Chairman Evans raised for consideration the Minutes of the Executive Session of the November 19, 2020 Board of Directors meeting and inquired whether there are any questions, concerns or requests for amendment. Hearing nothing, Chairman Evans advised the Board that action on the Minutes will occur upon return to the public session of the meeting.

Directors discussed pending or probable litigation

[Discussions related to litigation and litigation strategy are redacted.]

Re-Enter Public Session

There being no further Executive Session business, Chairman Evans entertained a motion to re-enter the public session of the regular Board Meeting. Director McConnaughay made such a motion, seconded by Director Connolly. Chairman Evans asked that the roll be called on the motion, the vote of yeas and nays being as follows:

Yeas: Director Connolly, Director McConnaughay, Director Davis, Director Gallagher, Director Perinar, Director Sweeney, Chairman Evans (7)

Nays: (0)

The motion PASSED.

The Executive Session concluded at approximately 11:55 a.m.

Minutes taken by: _____ /s/ on original

Christi Regnery
Board Secretary
Illinois State Toll Highway Authority



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Record of Closed Meeting | January 28, 2021

Pursuant to exceptions provided in Sections 2(c)5, 11, 21 and 29 of the *Open Meetings Act* on Thursday, January 28, 2021 at approximately 11:20 a.m., the Tollway Board of Directors met telephonically in Executive Session to discuss Tollway matters related to the purchase or lease of real property, pending or probable litigation, minutes of closed meetings and internal audit controls.

[Bolded entries indicate issues which may require follow-up to present or report to Directors.]

Executive Session Attendance

Board Members Present:	Staff Present for all or portions of the Meeting:
Chairman Willard S. Evans, Jr.	Kathleen R. Pasulka-Brown (General Counsel)
Director James Connolly	José Alvarez (Executive Director)
Director Stephen Davis	Christi Regnery (Board Secretary)
Director Alice Gallagher	Cassandra Rouse (Chief Strategy Officer)
Director Karen McConnaughay	Shaun Farmer (Chief Internal Auditor)
Director Gary Perinar	Chris Jeffery (Baker Tilly)
Director James Sweeney	Eric Wunderlich (Baker Tilly)
	Melanie Varghees (Baker Tilly)

Directors discussed Internal Audit Controls

Sox Internal Audit Assistance and Compliance Controls: Staff reported that the Tollway engaged Baker Tilly to conduct a SOX internal audit assistance and a compliance control review of the Tollway’s procurement processes. Staff then introduced members of the Baker Tilly consulting team to provide a progress report to the Directors.



[Discussion related to information security procedures are redacted.] Upon conclusion of the presentation, Chairman Evans noted that Baker Tilly consultants are available to meet individually with Directors if requested.

[Cassandra Rouse, Shaun Farmer and Baker Tilly staff departed the meeting at this time.]

Directors discussed land acquisition activities

Identification of Real Estate Parcels (Legal Item 3): Pursuant to the Tollway's obligation (arising from *ISTHA v. DiBenedetto*, 275 Ill. App. 3d 400, 405 (1st Dist. 1995)) to identify real estate interests it may need to acquire by condemnation, General Counsel provided a summary table for the Central Tri-State Tollway (I-294) Project parcel identification report, noting the addition of four (4) parcels.

Directors discussed pending or probable litigation

[Discussions related to litigation and litigation strategy are redacted.]

Directors discussed pending or probable litigation

General Counsel refreshed the Directors on a lawsuit filed against the Tollway in August 2019 by the Teamsters Health and Welfare Fund and the Teamsters Legal & Educational Assistance Fund, wherein plaintiffs claimed the Tollway was liable for an amount well in excess of \$25,000,000 for insufficient contribution payments and unpaid past and future contributions. She reported that on January 12, 2021, the court granted the Tollway's motion for reconsideration and dismissed the plaintiffs' remaining claims and their lawsuit.

[Discussions related to litigation and litigation strategy are redacted.]

Directors discussed the minutes of a closed session meeting

Chairman Evans raised for consideration the Minutes of the Executive Session of the December 17, 2020 Board of Directors meeting and inquired whether there are any questions, concerns or requests for amendment. Hearing nothing, Chairman Evans advised the Board that action on the Minutes will occur upon return to the public session of the meeting.

Directors discussed the executive session minutes for public release



Chairman Evans next raised for consideration the executive session minutes proposed for public release, explaining that, in accordance with the Open Meetings Act, the Board performs a semiannual review of the written minutes of all closed session meetings to determine if there is any content for which confidential treatment is no longer required. A Director inquired generally about older content of minutes that remains redacted and whether any of the minutes of closed session meetings have been released previously. Staff explained that the redacted minutes of all closed session meetings are reviewed for release semiannually. Staff clarified that contents which are indicated as redacted (red-lined in Directors copy) still require confidential treatment and will not be disclosed. Hearing no further questions, Chairman Evans advised the Board that action on the minutes for public release will occur upon return to the public session of the meeting.

Re-Enter Public Session

There being no further Executive Session business, Chairman Evans entertained a motion to re-enter the public session of the regular Board Meeting. Director McConnaughay made such a motion, seconded by Director Connolly. Chairman Evans asked that the roll be called on the motion, the vote of yeas and nays being as follows:

Yeas: Director Connolly, Director McConnaughay, Director Davis, Director Gallagher, Director Paddock, Director Perinar, Director Sweeney, Chairman Evans (8)

Nays: (0)

The motion PASSED.

The Executive Session concluded at approximately 12:51 p.m.

Minutes taken by: _____ /s/ on original

Christi Regnery
Board Secretary
Illinois State Toll Highway Authority



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Record of Closed Meeting | February 25, 2021

Pursuant to exceptions provided in Sections 2(c)1, 8, 11, 21 and 29 of the *Open Meetings Act* on Thursday, February 25, 2021 at approximately 11:20 a.m., the Tollway Board of Directors met telephonically in Executive Session to discuss Tollway matters related to personnel, security procedures, pending or probable litigation, minutes of closed meetings and internal audit controls.

[Bolded entries indicate issues which may require follow-up to present or report to Directors.]

Executive Session Attendance

Board Members Present:	Staff Present for all or portions of the Meeting:
Chairman Willard S. Evans, Jr.	Kathleen R. Pasulka-Brown (General Counsel)
Director James Connolly	José Alvarez (Executive Director)
Director Stephen Davis	Christi Regnery (Board Secretary)
Director Alice Gallagher	Joe Kambich (Chief of Information Technology)
Director Jacqueline Gomez	
Director Karen McConnaughay	
Director Scott Paddock	
Director Gary Perinar	
Director James Sweeney	

Inspector General’s Summary Activity Report

Chairman Evans informed Directors that due to a family emergency, T.J. Hengesbach, Tollway Inspector General, would not be available to provide a briefing for the Board on items likely to be included in the Office of Inspector General’s (“OIG’s”) Summary Activity Report (to be formally



presented in March). He noted that Directors have been provided a summary memo prepared by Mr. Hengesbach and may contact him directly if they have questions.

Directors discussed security procedures

Chief of Information Technology, Joe Kambich, presented to Directors an overview of cybersecurity governance measures and how they have been adapted to respond to the Covid-19 crisis. Mr. Kambich addressed the agency's top cybersecurity risks, how these risks are being managed, measurement of cyber risk and related financial impacts, response protocols and future plans for the Information Technology department.

[Discussion related to information security procedures are redacted.]

[Mr. Kambich departed the meeting at this time.]

Directors discussed the minutes of a closed session meeting

Chairman Evans raised for consideration the Minutes of the Executive Session of the January 28, 2021 Board of Directors meeting and inquired whether there are any questions, concerns or requests for amendment. Hearing nothing, Chairman Evans advised the Board that action on the Minutes will occur upon return to the public session of the meeting.

Directors discussed pending or probable litigation

Settlement Agreement (Legal Item 3 – Martam Construction Company, Inc.) *[Discussions related to litigation and litigation strategy are redacted.]* She stated that the parties have agreed to settle Martam's lawsuit, and any and all claims associated therewith, for \$120,000.00.

[Discussions related to litigation and litigation strategy are redacted.]

Settlement Agreement (Legal Item 4 – Oracle America, Inc. and Mythics, Inc.) General Counsel stated that *[Discussions related to litigation and litigation strategy are redacted.]* Tollway, Mythics and Oracle have now finalized a global settlement agreement regarding support service fees for Oracle products going forward.

[Discussions related to litigation and litigation strategy are redacted.]

Re-Enter Public Session



Executive Session of the Board of Directors Meeting

There being no further Executive Session business, Chairman Evans entertained a motion to re-enter the public session of the regular Board Meeting. Director McConnaughay made such a motion, seconded by Director Connolly. Chairman Evans asked that the roll be called on the motion, the vote of yeas and nays being as follows:

Yeas: Director Connolly, Director Gomez, Director Davis, Director Gallagher, Director McConnaughay, Director Paddock, Director Perinar, Director Sweeney, Chairman Evans (9)

Nays: (0)

The motion PASSED.

The Executive Session concluded at approximately 12:30 p.m.

Minutes taken by: _____ /s/ on original

Christi Regnery
Board Secretary
Illinois State Toll Highway Authority



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Record of Closed Meeting | March 25, 2021

Pursuant to exceptions provided in Sections 2(c)5, 11 and 21 of the *Open Meetings Act* on Thursday, March 25, 2021 at approximately 11:26 a.m., the Tollway Board of Directors met telephonically in Executive Session to discuss Tollway matters related to purchase or lease of real property, pending or probable litigation and the minutes of closed meetings.

[Bolded entries indicate issues which may require follow-up to present or report to Directors.]

Executive Session Attendance

Board Members Present:	Staff Present for all or portions of the Meeting:
Chairman Willard S. Evans, Jr.	Kathleen R. Pasulka-Brown (General Counsel)
Director James Connolly	José Alvarez (Executive Director)
Director Stephen Davis	Christi Regnery (Board Secretary)
Director Alice Gallagher	Rocco Zuccherro (Chief Planning Officer)
Director Jacqueline Gomez	
Director Karen McConnaughay	
Director Scott Paddock	
Director Gary Perinar	

Directors discussed the minutes of a closed session meeting

Chairman Evans raised for consideration the Minutes of the Executive Session of the February 25, 2021 Board of Directors meeting and inquired whether there are any questions, concerns or requests for amendment. Hearing nothing, Chairman Evans advised the Board that action on the Minutes will occur upon return to the public session of the meeting.



Directors discussed land acquisition activities

Identification of Real Estate Parcels (Legal Item 4): Pursuant to the Tollway's obligation (arising from *ISTHA v. DiBenedetto*, 275 Ill. App. 3d 400, 405 (1st Dist. 1995)) to identify real estate interests it may need to acquire by condemnation, General Counsel provided a summary table for the Central Tri-State Tollway (I-294) Project parcel identification report, noting the addition of one (1) parcel.

Directors discussed pending or probable litigation

Workers' Compensation – Jeffery Jaworski (Legal Item 5): General Counsel provided a summary of the workers' compensation matter of Jeffery Jaworski, *[Discussions related to litigation and personnel matters are redacted.]*

General Counsel reported that a negotiated settlement between the parties has been reached for \$108,748.25, *[Discussions related to litigation and personnel matters are redacted.]*

[Mr. Zucchero joined the meeting at this time.]

Directors discussed pending or probable litigation

[Discussions related to litigation and litigation strategy are redacted.]

[Director Davis departed the meeting during the Executive Session.]

Re-Enter Public Session

There being no further Executive Session business, Chairman Evans entertained a motion to re-enter the public session of the regular Board Meeting. Director McConnaughay made such a motion, seconded by Director Connolly. Chairman Evans asked that the roll be called on the motion, the vote of yeas and nays being as follows:

Yeas: Director McConnaughay, Director Connolly, Director Gallagher, Director Gomez, Director Paddock, Director Perinar, Chairman Evans (7)

Nays: (0)

The motion PASSED.



The Executive Session concluded at approximately 12:48 p.m.

Minutes taken by: _____ /s/ on original _____

Christi Regnery
Board Secretary
Illinois State Toll Highway Authority



Minutes of the
Executive Session of the Board of Directors Meeting

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Record of Closed Meeting | April 22, 2021

Pursuant to exceptions provided in Sections 2(c)5, 11 and 21 of the Open Meetings Act, 5 ILCS 120/2(c)5, 11 and 21, on Thursday, April 22, 2021 at approximately 11:10 a.m., the Tollway Board of Directors met telephonically in Executive Session to discuss Tollway matters related to purchase or lease of real property, pending or probable litigation and the minutes of closed meetings.

[Bolded entries indicate issues which may require follow-up to present or report to Directors.]

Executive Session Attendance

Board Members Present:
Chairman Willard S. Evans, Jr.
Director James Connolly
Director Stephen Davis
Director Alice Gallagher
Director Jacqueline Gomez
Director Karen McConnaughay
Director Scott Paddock
Director Gary Perinar

Staff Present for all or portions of the Meeting:
Kathleen R. Pasulka-Brown (General Counsel)
José Alvarez (Executive Director)
Christi Regnery (Board Secretary)
Rocco Zuccherro (Chief Planning Officer)

Directors discussed the minutes of a closed session meeting

Chairman Evans raised for consideration the Minutes of the Executive Session of the March 25, 2021 Board of Directors meeting and inquired whether there are any questions, concerns or requests for amendment. Hearing nothing, Chairman Evans advised the Board that action on the Minutes will occur upon return to the public session of the meeting.



Directors discussed pending or probable litigation

Settlement Agreement – ISTHA v. Bailey Development, L.L.C (Legal Item 2): General Counsel provided a summary of an Administrative Real Estate Settlement relative to Parcel WA-1D-12-039 located at 11825 Franklin Avenue, Bensenville, IL, *[Discussion related to land acquisition and litigation strategy are redacted.]* General Counsel advised the Board that the parties have agreed to settle the matter for \$9,600,000.00, the amount established by the court as Preliminary Just Compensation.

Authorization to Enter into Mediation – Estate of Samuel Gradman (Legal Item 3): General Counsel provided a summary of this wrongful death action filed by the Estate of Samuel Gradman as the result of a multi-vehicle accident that occurred on December 15, 2017 on the Reagan Memorial Tollway (I-88). *[Discussions related to litigation and litigation strategy are redacted.]*

Workers' Compensation – John Bocskovits (Legal Item 4): General Counsel provided a summary of the workers' compensation claims of John Bocskovits, *[Discussions related to litigation and personnel matters are redacted.]*

General Counsel reported that two pre-trial conferences with an arbitrator resulted in a negotiated tentative lump-sum settlement of \$450,000.00, *[Discussions related to litigation and personnel matters are redacted.]*

Re-Enter Public Session

There being no further Executive Session business, Chairman Evans entertained a motion to re-enter the public session of the regular Board Meeting. Director Gallagher made such a motion, seconded by Director Gomez. Chairman Evans asked that the roll be called on the motion, the vote of yeas and nays being as follows:

Yeas: Director Gallagher, Director Gomez, Director Connolly, Director Davis, Director McConnaughay, Director Paddock, Director Perinar, Chairman Evans (8)

Nays: (0)

The motion PASSED.

The Executive Session concluded at approximately 11:34 a.m.



ILLINOIS STATE TOLL HIGHWAY AUTHORITY

Meeting Date
April 22
2021



Minutes of the

Executive Session of the Board of Directors Meeting

Minutes taken by: _____ /s/ on original _____

Christi Regnery
Board Secretary
Illinois State Toll Highway Authority