Notice



Identification

Reference Number: 22039948

Request ID: 17-000000101081

Date First Offered 01/12/2017

Title: THA - 16-0155 Bond Underwriting Services

Agency Reference THA - 17-00000101081

Number:

Agency: THA - Toll Highway Authority Purchasing Agency: THA - Toll Highway Authority

Purchasing Agency Victoria Santiago

SPO:

Status: Published

Overview

Description and Specifications:

The Illinois Tollway is giving notice of intent to award contracts to thirteen (13) financial firms available to provide services as Senior Managing Underwriter and fourteen (14) financial firms available to provide services as Co-Managing Underwriter.

The two pools of financial firms include: (1) a "Senior Pool" of firms qualified to serve as Senior Managing Underwriter, Co-Senior Manager Managing Underwriter and (2) a "Co-Manager Pool" of firms qualified to serve as Co-Managing Underwriter.

This award notice only lists eleven (11) of the thirteen (13) firms for the Senior Managers and all of the fourteen (14) firms for the Co-Managers. An addendum to add the names of the remaining two (2) Senior Managers will be published at a later date.

The total amount of award for the eleven (11) Senior Managers: \$3,850,000.00 The total amount of award for the fourteen (14) Co-Managers: \$2,100,000.00

For each Senior-Manager: The initial term of the contract shall not exceed \$240,000.00 without a formal change order.

For each Co-manager: The initial term of the contract shall not exceed \$103,200.00 without a formal change order.

Senior Pool:

- 1. Citigroup Global Markets Inc.
- 2. Goldman, Sachs & Co. LLC.
- 3. Jefferies, LLC
- 4. J.P. Morgan Securities LLC
- 5. Loop Capital Markets LLC
- 6. Merrill Lynch Pierce Fenner & Smith Incorporated
- 7. Piper Jaffray & Co.
- 8. RBC Capital Markets, LLC
- 9. Samuel A. Ramirez & Co., Inc.
- 10. Siebert Cisneros Shank & Co. LLC;
- 11. Wells Fargo Bank, N.A.

Co-Manager Pool:

- 1. Academy Securities, Inc.
- 2. Bernardi Securities Inc.
- 3. Blaylock Van, LLC
- 4. Cabrera Capital Markets, LLC
- 5. George K. Baum & Company
- 6. Hutchinson Shockey Erley & Co.
- 7. Janney Montgomery Scott LLC
- 8. KeyBanc Capital Markets Inc.
- 9. Mesirow Financial, Inc.
- 10. Oppenheimer & Co. Inc.
- 11. Raymond James & Associates, Inc.
- 12. Rice Securities LLC
- 13. Robert W. Baird & Co. Incorporated
- 14. Stifel Nicolaus & Company, Inc.

The contract start and end dates are estimated and will begin upon execution of the contract.

Key Information

Notice Type: Contract Award Notice

Published: 08/08/2017 Notice Expiration Date: 08/22/2017

Professional & Artistic: No Small Business Set-Aside: No

Does this solicitation contain a BEP or DBE requirement?:

Does this solicitation contain No a Veteran requirement?:

Relevant Category: General Services

Total Amount of Award: \$5,950,000.00 (Total Dollar Value Only/Includes Any

Renewal Options)

Estimated/Actual Value

Description:

Length of Initial Term: 36

Contract Begin Date: 09/01/2017 Contract End Date: 08/31/2020

Renewal Terms: 12

Bidder(s)

Number of Responding 41

Bidders:

Number of Unsuccessful 14

Bidders:

Total Number Awarded: 27

Listing of All Bidders/Offerors Considered But Not Selected:

- 1. Barclays Capital, Inc.
- 2. Drexel Hamilton, LLC
- 3. Fifth Third Securities, Inc.
- 4. First Tennessee Bank N.A.
- 5. J.J.B. Hilliard, W.L. Lyons, LLC
- 6. Melvin Securities LLC
- 7. Mischler Financial Group, LLC
- 8. North South Capital
- 9. Podesta & Co.
- 10.Stern Brothers & Co
- 11. The Williams Capital Group, LP
- 12.U.S. Bank / Bancorp Investments, Inc.
- 13. Valdes & Moreno Inc.
- 14. William Blair & Company, LLC.

Source Selection: RFP

Vendor(s) Selected for Award

Vendor Name Amount of Award BEP Goal % DBE

Wells Fargo Bank, N. A. \$350,000.00 0% 0%

		201	
Stifel, Nicolaus and Company, Inc.	\$150,000.00	0%	0%
Siebert Cisneros Shank & Co., L.L.C.	\$350,000.00	0%	0%
Samuel A. Ramirez & Co., Inc.	\$350,000.00	0%	0%
Robert W. Baird & Co. Incorporated	\$150,000.00	0%	0%
Rice Securities, LLC	\$150,000.00	0%	0%
RBC Capital Markets, LLC	\$350,000.00	0%	0%
Raymond James & Associates, Inc.	\$150,000.00	0%	0%
Piper Jaffray & Co.	\$350,000.00	0%	0%
Oppenheimer & Co. Inc	\$150,000.00	0%	0%
Mesirow Financial Inc.	\$150,000.00	0%	0%
Merril Lynch Piecre, Fenner & Smith Incorporated	\$350,000.00	0%	0%
Loop Capital Markets LLC	\$350,000.00	0%	0%
KeyBanc Capital Markets Inc.	\$150,000.00	0%	0%
J. P. Morgan Securities LLC	\$350,000.00	0%	0%
Jefferies, LLC	\$350,000.00	0%	0%
Janney Montgomery Scott LLC	\$150,000.00	0%	0%
Hutchinson Shockey Erley & Co.	\$150,000.00	0%	0%
Goldman Sachs & Co. LLC.	\$350,000.00	0%	0%
George K. Baum Company	\$150,000.00	0%	0%
Citigroup Global Markets Inc.	\$350,000.00	0%	0%
Cabrera Capital Markets LLC	\$150,000.00	0%	0%
Blaylock Van, LLC	\$150,000.00	0%	0%
Bernardi Securities Inc.	\$150,000.00	0%	0%
Academy Securities Inc.	\$150,000.00	0%	0%

Notice Contact

Name: Desiree Liberti
Street Address: 2700 Ogden Avenue
City: Downers Grove

State: IL

Zip Code: 60515

Phone: 630-241-6800 Fax Number: 630-795-7908

EMail Address: Desiree.Liberti@getipass.com

Class Code

Class Codes:

NIGP Code

NIGP Commodity/Service	946 30 Cash/Securities and Bonding Services
Code:	946 36 Credit Investigation and Reporting
	946 38 Custom Brokerage Services (Incl; Stock and

Bonds) 946 49 Financial Services (Not Otherwise Classified)

Attachments

To download file(s), click on filename(s) located below. Not all Notices will have files to download.

File Attachments:





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Wells Fargo Bank, N. A.

Vendor Contact Name: Larry Richardson

Vendor Street 10 S. Wacker Dr. 15th Floor

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60606

Vendor Phone 312-920-3535

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors N

Do Hilizada

No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Stifel, Nicolaus and Company, Inc.

Vendor Contact Name: Omar Daghestani Vendor Street 501 N Broadway

Address:

Vendor City St Louis
Vendor State: MO
Vendor Zip Code: 63102

Vendor Phone 312-454-3845

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Siebert Cisneros Shank & Co., L.L.C.

Vendor Contact Name: Karen Walker

Vendor Street 111 East Wacker Drive Suite 2605

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60601

Vendor Phone 312-759-1044

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Samuel A. Ramirez & Co., Inc.

Vendor Contact Name: Phil Cuppepper

Vendor Street 200 N. LaSalle St. Suite 1900

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60601

Vendor Phone 312-630-20004

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

No

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Robert W. Baird & Co. Incorporated

Vendor Contact Name: Thomas Gavin

Vendor Street 300 East Fifth Avenue Suite 200

Address:

Vendor City Naperville

Vendor State: IL Vendor Zip Code: 60563

Vendor Phone 630-778-9100

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Rice Securities, LLC Vendor Contact Name: Kevin Schuyler

Vendor Street 55 Broad Street, 27th Floor

Address:

Vendor City New York

Vendor State: NY Vendor Zip Code: 10004

Vendor Phone 212-908-9200

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: RBC Capital Markets, LLC

Vendor Contact Name: Kevin Hoecker

Vendor Street 500 W. Madison Street, Suite 2500

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60661

Vendor Phone 312-559-3877

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors N

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Raymond James & Associates, Inc.

Vendor Contact Name: Kevin Thompson

Vendor Street 50 North Front Street, Suite 1600

Address:

Vendor City Memphis

Vendor State: TN Vendor Zip Code: 38103

Vendor Phone 901-579-4393

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Piper Jaffray & Co.

Vendor Contact Name: Neil Pritz

Vendor Street 800 Nicollet Mall Suite 800

Address:

Vendor City Minneapolis

Vendor State: MN Vendor Zip Code: 55402

Vendor Phone 312-267-5193

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Oppenheimer & Co. Inc Vendor Contact Name: Alistair Featherstone Vendor Street 85 Broad Street

Address:

Vendor City New York

Vendor State: NY Vendor Zip Code: 10007

Vendor Phone 212-667-6139

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors N

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Mesirow Financial Inc. Vendor Contact Name: Todd E. Waldrop Vendor Street 353 N. Clark Street

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60654

Vendor Phone 312-595-6242

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Merril Lynch Piecre, Fenner & Smith Incorporated

Vendor Contact Name: Phillip Rooney

Vendor Street 540 West Madison Street, 28th Floor

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60661

Vendor Phone 312-537-6363

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Loop Capital Markets LLC

Vendor Contact Name: Clarence Bourne

Vendor Street 111 W. Jackson Blvd Suite 1901

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60604

Vendor Phone 312-931-4900

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: KeyBanc Capital Markets Inc.

Vendor Contact Name: Thomas Coverick

Vendor Street 227 W. Monroe Suite 1700

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60606

Vendor Phone 312-730-2715

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: J. P. Morgan Securities LLC

Vendor Contact Name: Matthew D. Couch Vendor Street 10 S. Dearborn Street

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60603

Vendor Phone 312-385-8484

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Jefferies, LLC Vendor Contact Name: Nic Malas

Vendor Street 520 Madison Avenue

Address:

Vendor City New York

Vendor State: NY Vendor Zip Code: 10022

Vendor Phone 212-336-7421

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Janney Montgomery Scott LLC

Vendor Contact Name: Vivian Altman Vendor Street 1717 Arch Street

Address:

Vendor City Philadelphia

Vendor State: PA Vendor Zip Code: 19103

Vendor Phone 646-840-3202

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

No

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

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Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Hutchinson Shockey Erley & Co.

Vendor Contact Name: Douglas P. DeAngelis

Vendor Street 222 West Adams Street Suite 1700

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60606

Vendor Phone 312-443-1567

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors I

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Goldman Sachs & Co. LLC.

Vendor Contact Name: Carlos Pineiro Vendor Street 200 West Street

Address:

Vendor City New York

Vendor State: NY Vendor Zip Code: 10282

Vendor Phone 312-655-6156

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Citigroup Global Markets Inc.

Vendor Contact Name: Thomas Coomes

Vendor Street 227 West Monroe Street 25th Floor

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60606

Vendor Phone 312-876-3562

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$350,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$200,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$150,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: George K. Baum Company

Vendor Contact Name: Anthony N. Fratto

Vendor Street 1 N. La Salle St Suite 1725

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60602

Vendor Phone 312-641-3611

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Cabrera Capital Markets LLC

Vendor Contact Name: Brian King

Vendor Street 10 S. LaSalle Suite 1050

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60603

Vendor Phone 312-236-8888

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors 1

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Blaylock Van, LLC Vendor Name: Vendor Contact Name: Richard Hanley

600 Lexington Avenue Vendor Street

Address:

New York Vendor City

Vendor State: NY Vendor Zip Code: 10022

Vendor Phone 212-526-2134

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

\$86,000.00 (Total Dollar Amount of Initial Term) Cost of Initial Term: \$64,000.00 (Total Dollar Amount of Renewals) Cost of Renewals:

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Bernardi Securities Inc.

Vendor Contact Name: Robert P. Vail

Vendor Street 20 S. Clark Street Suite 2700

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60603

Vendor Phone 312-281-2014

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

Cost of Initial Term: \$86,000.00 (Total Dollar Amount of Initial Term)
Cost of Renewals: \$64,000.00 (Total Dollar Amount of Renewals)

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors No





Created Date: 08/08/2017 Created By: Julia Shaw

Identification

Reference Number: 22039948

Title: THA - 16-0155 Bond Underwriting Services

Vendor Selected for Award

Vendor Name: Academy Securities Inc.

Vendor Contact Name: Frank Paul

550 W. Van Buren Suite 1410 Vendor Street

Address:

Vendor City Chicago

Vendor State: IL Vendor Zip Code: 60607

Vendor Phone 312-635-0867

Number:

Vendor Fax Number: 000-000-0000

Key Information

Amount of Award: \$150,000.00 (Total Dollar Value Only)

\$86,000.00 (Total Dollar Amount of Initial Term) Cost of Initial Term: \$64,000.00 (Total Dollar Amount of Renewals) Cost of Renewals:

BEP Goal Amount 0.00%

(Percentage):

DBE Goal Amount 0.00%

(Percentage):

Will Sub-Contractors

Be Utilized?

Yes